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**State of Iowa**  
**Terry E. Branstad, Governor**

**Temporary Assistance for Needy Families**  
**State Plan**



**November 1996**

**Submitted Pursuant to Public Law 104-193**  
**The Personal Responsibility and Work Opportunity**  
**Reconciliation Act of 1996**



## OFFICE OF THE GOVERNOR

STATE CAPITOL

DES MOINES, IOWA 50319

515 281-5211

TERRY E. BRANSTAD  
GOVERNOR

November 14, 1996

The Honorable Donna E. Shalala  
Secretary  
U.S. Department of Health and Human Services  
200 Independence Ave. SW  
Washington, D.C. 20201

Dear Secretary Shalala:

I am pleased to submit Iowa's State Plan for Temporary Assistance for Needy Families. The plan was developed in accordance with Section 402 of the Social Security Act, as amended by the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Public Law 104-193), and describes Iowa's Family Investment Program.

Iowa believes in family stability, strong work ethics, providing opportunities for education and training, and empowering families to make decisions and take personal responsibility. The Family Investment Program reflects and reinforces these values.

The goal of Iowa's existing welfare reforms is to aggressively move recipients into employment quickly. Our Family Investment Program has in place strategies, including community service, to accomplish that goal. We already have over 35% of all recipients in paid employment. In light of this success, Iowa chooses to opt out of the community service provision in Section 402(a)(1)(B)(iii) at this time to maintain maximum flexibility in our existing strategies and service delivery.

We look forward to a continued partnership with both the communities and the federal government as we launch this next era of welfare reform.

Sincerely,

A handwritten signature in black ink that reads "Terry E. Branstad".

Terry E. Branstad  
Governor

## **STATE OF IOWA**

### **STATE PLAN FOR TEMPORARY ASSISTANCE FOR NEEDY FAMILIES**

This State plan was developed in accordance with Section 402 of the Social Security Act, as amended by the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Public Law 104-193). The information submitted below restates the pertinent requirements of Section 402 and then provides the information that outlines the provisions of Iowa's program.

#### **IOWA DEPARTMENT OF HUMAN SERVICES**

The Iowa Department of Human Services (IDHS) is a single state agency responsible for providing a broad array of services to the State. Included are institutional and community-based programs; mental health resources, including psychiatric hospital and hospital schools for the mentally retarded, and programs delivered by regional and county offices which are responsible for most of the direct services provided, including those covered by Title IV-A. The Divisions of (1) Economic Assistance, (2) Medical Services, (3) Adult, Children and Family Services and (4) Mental Health and Developmental Disabilities are responsible for program development. The Division of Economic Assistance administers Title IV-A, now Temporary Assistance for Families (TANF) which, in Iowa, is the Family Investment Program (FIP).

Support services are provided by units under the Deputy Director for Administration as well as the Division of Policy Coordination and the Office of Field Support under the Deputy Director for Services.

IDHS contracts with the Iowa Department of Inspections and Appeals (IDIA) to conduct hearings, eligibility investigations and recovery of overpayments. However, IDHS is not delegating authority to IDIA to exercise administrative discretion for supervision of the state plan or to issue program policies, rules or regulations. IDIA contract personnel are not IDHS employees. To the extent that they perform IDHS work, they are agents and representatives of IDHS.

PROMISE JOBS services are provided under contract with IDHS by Iowa Workforce Development (IWD) and IWD's Job Training Partnership Act program (JTPA), and by the Bureau of Refugee Services (BRS), part of the IDHS Division of Policy Coordination.

The Iowa Department of Human Services is headed by a Director, selected by the Governor and confirmed by the Senate. A Council on Human Services acts in policy-making and advisory capacity to IDHS. Council members are appointed by the Governor and confirmed by the Senate. Division administrators are appointed by the Director of IDHS and are not subject to a set term in office. The two Deputy Directors are also appointed by the IDHS Director without a set term in office.

## SECTION 402 - STATE PLAN REQUIREMENTS

### (a)(1) OUTLINE OF FAMILY ASSISTANCE PROGRAM

**(A) General Provisions - A written document that outlines how the State intends to do the following:**

**(i) Conduct a program, designed to serve all political subdivisions in the State (not necessarily in a uniform manner), that provides assistance to needy families with (or expecting) children and provides parents with job preparation, work, and support services to enable them to leave the program and become self-sufficient.**

#### Iowa's Family Investment Program (FIP)

Iowa legislation as passed by the 75th General Assembly, 1993 Session, signed by the Governor on May 4, 1993, authorized the Iowa Department of Human Services to seek a series of AFDC federal waivers which, if approved, would result in an extensive redesign of Iowa's AFDC program. The waivers, which are part of Iowa's continuing welfare reform efforts, were initially approved on August 13, 1993, for the period of October 1, 1993, through September 30, 1998. On October 1, 1993, Iowa implemented the welfare reform waivers statewide. To obtain approval of the waivers, both a control and a treatment group were required by the U.S. Department of Health and Human Services (DHHS). Many requirements of the new federal law coincide with Iowa's welfare reform waivers already in place and approved by DHHS in August of 1993. Since August of 1993, the waiver program has been amended several times. The waiver program is titled "Iowa's Family Investment Program Demonstration."

Iowa believes all waivers are consistent with the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (P.L. 104-193). Also, the Welfare Reform Advisory Group (see Attachment A) is recommending elimination of the control group upon certification of the State plan. Iowa intends to give further notification of the decision in writing subsequent to submission of the State plan.

Initially, IDHS will retain all existing policies and procedures as outlined in the current IV-A State Plan and the waivers. By this reference, these policies are incorporated and made a part of this Plan. A detailed description of all policies and procedures is found in the Family Investment Program manual which is available for viewing by the public in any of the Department's local offices located in all ninety-nine counties.

Initial goals and objectives of Iowa's Family Investment Program are:

- Provide an incentive to work and make work pay to lead families to self-sufficiency.
- Encourage family stability and not undermine the formation of families.
- Encourage and increase asset-building to protect clients from everyday accidents and illnesses that otherwise would become crises, thereby lessening the need for and reliance on public assistance.
- Provide clients with the opportunity and expectation to take personal responsibility to become self-sufficient and also provide them with the necessary tools to move out of poverty. Provide for consequences for those who do not comply with program requirements.
- Empower clients to take advantage of options by making real choices.
- Remove policies that present barriers to families reaching independence.

The initial goals and objectives above may change over time with public input. We currently focus measurements of success on earned income increases and whether families are leaving and remaining off the Family Investment Program.

#### Eligibility Requirements

Iowa's Family Investment Program provides cash assistance to families with dependent children.

A "dependent" child is one who is:

(1) Under age 18, or is under age 19 and a full-time student in secondary school or the equivalent level of vocational or technical training and reasonably expected to complete high school before reaching age 19. A child under age 18 is eligible for assistance without regard to school attendance. However, a child 16 through 18 who does not attend school full-time must cooperate with PROMISE JOBS and complete a Family Investment Agreement as described in (ii).

The child becomes an adult when the child marries, unless the marriage is annulled.

(2) Deprived of parental support or care of at least one parent by reason of death, continued absence, physical or mental incapacity, or unemployment.

(3) In financial need according to Iowa's Family Investment Program

(4) Living with a specified relative within the degree of relationship defined by Iowa's program. A specified relative must be related to the child either by blood or marriage even if the marriage is terminated by death or divorce, and must maintain and share the home with the child.

"Living with" implies the existence of a relationship in which the relative accepts responsibility for the care and control of the child even while the child or relative is temporarily absent.

Other eligibility requirements:

(1) The following persons **must** be included in the eligible group, if living together and meeting nonfinancial eligibility criteria of the program:

- the dependent child;
- any sibling of the dependent child (of whole or half-blood, or adoptive) who meets the deprivation, age and school attendance requirements);
- any natural or adoptive parent of the dependent child.

Included in the eligible group are the needs of persons who are temporarily absent because they are:

- in a medical institution;
- securing education or training; or
- for any other reason not to exceed required time frames.

The following persons **may** be included in the eligible group:

- the needy relative who assumes the role of a parent when the child's parent is not in the home;
- the needy relative who acts as payee when the parent is in the home but unable to act as payee;
- the incapacitated stepparent;
- the non-incapacitated stepparent who is needed to care for a child in the eligible group while the parent works or attends school.

(2) Each member of the eligible group must be a citizen or qualified alien.

TANF  
State of Iowa

- (3) Each member must be a resident of Iowa.
- (4) Each member must have a social security number or proof of application for a number.
- (5) The parent or caretaker must assign all rights to support to IDHS and must cooperate in obtaining support.
- (6) Each member must apply for and accept benefits from certain other sources, if eligible.
- (7) Each member must cooperate with Quality Control and the IDIA Front End Investigations Unit.
- (8) When parent of the dependent child is under age 18, never married (or marriage was annulled), the minor parent must live with the adult parent or a legal guardian or establish good cause for not living with the adult parent or legal guardian.

Persons whose needs are met by any other public assistance program administered by IDHS or another state, including IV-E or state-funded foster care, or who receive SSI must be excluded from the eligible group.

Persons participating in a strike are ineligible. If the striker is a parent, the entire family is ineligible.

The eligible group must meet income and resource limits established for Iowa's Family Investment Program. The income and resources of a parent who lives in the home with the dependent child but whose needs are excluded must be considered unless the parent is an SSI recipient.

The assistance grant is based on the number of persons in the eligible group. The income of persons in the eligible group and others whose income must be considered (e.g., excluded parents, ineligible stepparents, self-supporting parents of a minor parent), after appropriate disregards, must meet Iowa's income tests.

For persons working, child care is allowed as an income disregard or persons may receive a state subsidy.

The assistance grant is issued monthly, but may include a separate payment for special needs. Payment is issued in the form of a state warrant, by direct deposit or electronic benefit transfer.

Iowa will not use TANF funds for any family with an adult who has received TANF-funded assistance for sixty months whether or not consecutive, after the TANF-funded program commences in the state unless the family meets the criteria for extending assistance beyond the sixty-month time limit.

Iowa's cash assistance program is available statewide.

**(ii) Require a parent or caretaker receiving assistance under the program to engage in work (as determined by the State) once the State determines the parent or caretaker is ready to engage in work, or once the parent or caretaker has received assistance under the program for 24 months (whether or not consecutive), whichever is earlier.**

For purposes of Section 402(a)(1)(A)(ii), "engaged in work," as defined by Iowa, means:

- participation in Family Investment Agreement activities, or
- participation in paid or unpaid employment, whether in a Family Investment Agreement or not.

Participants who are exempt from the Family Investment Agreement process are "engaged in work" while carrying out the actions required by their exemption, e.g., caring for an infant or child, caring for themselves if disabled, etc.

The goal of Iowa's PROMISE JOBS work and training program is to assure that needy families with children obtain the training, education and employment that will help them become self-sufficient and avoid long-term dependence on public assistance. A key element is the establishment of the Family Investment Agreement. The Family Investment Agreement is an individualized agreement between IDHS and the recipient. The agreement addresses a particular family's needs, the services to be provided by IDHS, the actions the family will take and the time frame to be met by the family to attain self-sufficiency. Families who do not achieve self-sufficiency at that point after making a good faith effort to do so will have their Family Investment Agreement renegotiated and amended.

Unless determined to be exempt, all public assistance recipients must participate in PROMISE JOBS and develop a Family Investment Agreement. Exemptions are granted to parents or other relatives caring for a child under three months of age, persons working 30 hours or more per week, or persons disabled and unable to participate. Persons who are exempt may volunteer to participate.



TANF  
State of Iowa

The Family Investment Agreement requires each mandatory person to participate in one or more of the following activities after orientation and assessment are completed:

- Full-time or part-time employment.
- Job-seeking skills training and group or individual job search.
- High school completion or GED.
- Adult basic education.
- English as a second language.
- Post-secondary education.
- Family development programs.
- Work experience placement.
- On-the-job training.
- Unpaid community service.
- Parenting skills training.
- Family planning counseling services.
- Mentoring program services

In addition, recipients have access to all services offered by the PROMISE JOBS provider agencies. Recipients in work or training programs outside of PROMISE JOBS can include those in their Family Investment Agreement. Barriers to participation in the Family Investment Agreement cannot be used to excuse or exempt a mandatory person from participation but must be resolved so that participation can result.

IDHS contracts with the Iowa Workforce Development to provide the PROMISE JOBS services listed above. The Department's Bureau of Refugee Services provides the services, to the extent possible with resources available, to persons who entered the United States with refugee status.

The provider agencies determine PROMISE JOBS participants' needs for supportive services and authorize payment for the services.

**(iii) Ensure that parents and caretakers receiving assistance under the program engage in work activities in accordance with Section 407.**

Based on the PROMISE JOBS assessment, individuals will include appropriate work activities (as defined by the Personal Responsibility and Work Opportunity Reconciliation Act of 1996) in their Family Investment Agreement. In some cases, Iowa may include activities in addition to those in Section 407 which will enable the adult to succeed. Policies and procedures are in place to protect workers from displacement.

Persons who are required to participate in PROMISE JOBS who fail to sign a Family Investment Agreement, or who do sign a Family Investment Agreement but fail to carry out the terms of the agreement, enter a Limited Benefit Plan. A first Limited

Benefit Plan results in three months of reduced benefits with the needs of the agreement-responsible person removed (and the needs of the second parent in the home, if applicable), followed by six months of ineligibility for the entire family. A second or subsequent Limited Benefit Plan results in six months of ineligibility for the entire family. If the agreement-responsible person is someone other than the parent or caretaker, only the individual will be ineligible.

**(iv) Take steps to restrict the use and disclosure of information about individuals and families receiving assistance.**

All IDHS records are open to the public except those containing confidential information. Confidential information cannot be disclosed except to administer programs of the Department. The following information is confidential:

- Names, addresses and the type of assistance provided.
- Client's social and economic circumstances.
- Agency evaluations.
- Medical or psychiatric data.

Information may be released to:

- Public officials to administer program requirements.
- Law enforcement officials under certain limited conditions.
- The public in the form of a quarterly list of the names, addresses and quarterly total amounts of assistance.

**(v) Establish goals and take action to prevent and reduce the incidence of out-of-wedlock pregnancies, with special emphasis on teenage pregnancies, and establish numerical goals for reducing the illegitimacy ratio of the State for calendar years 1996 through 2005.**

Goals will be established in consultation with the public and the Iowa Legislature. Iowa already has programs that address reduction of out-of-wedlock births at a state and community level. Also, information about family planning counseling services is provided by PROMISE JOBS and the Family Development and Self-Sufficiency program.

**(vi) Conduct a program that provides education and training on the problem of statutory rape so that teenage pregnancy prevention programs may be expanded in scope to include men.**

Iowa already has adolescent pregnancy prevention and parenting programs at a state and community level that include adolescent males. We will work to identify opportunities for appropriate program expansion. Also, information about family planning counseling services is provided by PROMISE JOBS and the Family Development and Self-Sufficiency program.

**(B) Special Provisions**

**(i) The document shall indicate whether the State intends to treat families moving into Iowa from another state differently than other families under the program, and if so, how the State intends to treat such families under the program.**

The Iowa Legislature twice previously directed IDHS to seek a waiver from DHHS to apply the following restrictions: Limit the assistance grant for parents and caretakers who received public assistance in another state within the 12 calendar months before the month of applying for assistance in Iowa to the lesser of Iowa's payment standard or the payment standard of the person's previous state of residence for a period of six months. In both instances, the waiver requests were denied.

Iowa will not include this provision upon implementation, pending further direction from the Iowa Legislature.

**(ii) The document shall indicate whether the State intends to provide assistance under the program to individuals who are not citizens of the United States, and if so, shall include an overview of such assistance.**

Eligible non-citizens of the United States will have eligibility and benefits determined in the same manner as citizens. Qualified aliens who entered the U.S. before August 22, 1996, are eligible for TANF-funded assistance. Qualified aliens who enter the U.S. on or after August 22, 1996, are not eligible for TANF-funded assistance for five years following the date of entry except for the following:

- Refugees admitted under Section 207 of the INA.
- Asylees admitted under Section 208 of the INA.
- Aliens whose deportation has been withheld under Section 243(h) of the INA.
- Veterans of the U.S. Armed Forces who were honorably discharged for reasons other than alienage, their spouses and dependent children.
- Active duty personnel of the U.S. Armed Forces, their spouses and dependent children.

For those ineligible for services funded under TANF, the state will not provide comparable benefits unless directed to do so by the Iowa Legislature.

**(iii) The document shall set forth objective criteria for the delivery of benefits and the determination of eligibility and for fair and equitable treatment, including an explanation of how the State will provide opportunities for recipients who have been adversely affected to be heard in a State administrative or appeal process.**

At this time, IDHS will retain existing policies and procedures as outlined in the IV-A State Plan and the waivers.

Clients have the right to appeal any decision and any adverse action taken by IDHS on their case and request a hearing. "Adverse actions" include:

- Denial of assistance.
- Delay in acting on the client's application within required time frames.
- Suspension, reduction or termination of assistance.
- Existence and the amount of an overpayment.
- Recovery of an overpayment.
- Appointment of a protective payee.

Aggrieved persons may request a review of the Department's appeal decision or appeal the decision to the district court in the client's county of residence.

**(iv) Not later than 1 year after the date of enactment of this Act, unless the chief executive officer of the State opts out of this provision by notifying the Secretary, a State shall, consistent with the exception provided in Section 407(e)(2), require a parent or caretaker receiving assistance under the program who, after receiving such assistance for two months is not exempt from work requirements and is not engaged in work, as determined under Section 407(c), to participate in community service employment, with minimum hours per week and tasks to be determined by the State.**

Iowa has chosen to opt out of this provision at this time. See Attachment A.1 for details.

**(a)(2) CERTIFICATION THAT THE STATE WILL OPERATE A CHILD SUPPORT ENFORCEMENT PROGRAM. - A certification by the chief executive officer of the State that, during the fiscal year, the State will operate a child support enforcement program under the State plan approved under part D.**

As certified by the Governor in the attached State Plan Certifications, Iowa will operate a child support enforcement program approved under part D of the State plan.

**(a)(3) CERTIFICATION THAT THE STATE WILL OPERATE A FOSTER CARE AND ADOPTION ASSISTANCE PROGRAM. - A certification by the chief executive officer of the State that, during the fiscal year, the State will operate a foster care and adoption assistance program under the State plan approved under Part E, and that the State will take such actions as are necessary to ensure that children receiving assistance under such part are eligible for medical assistance under the State plan under title XIX.**

As certified by the Governor in the attached State Plan Certifications, Iowa will operate a foster care and adoption assistance program approved under Part E of the State plan and take actions as are necessary to ensure that children receiving foster care or adoption assistance are eligible for medical assistance under the Title XIX State plan.

**(a)(4) CERTIFICATION OF THE ADMINISTRATION OF THE PROGRAM. - A certification by the chief executive officer of the State specifying which State agency or agencies will administer and supervise the program referred to in paragraph (a)(1) for the fiscal year, which shall include assurance that local governments and private sector organizations**

**(A) have been consulted regarding the plan and design of welfare services in the State so that services are provided in a manner appropriate to local populations; and**

**(B) have had at least 45 days to submit comments on the plan and the design of such services.**

As certified by the Governor in the attached State Plan Certifications, the Iowa Department of Human Services (IDHS) is the State agency that will administer the program referred to in paragraph (a)(1) for the fiscal year. Iowa has convened a group which includes members from local governments and private organizations to achieve the objective in subparagraph (A). (See Attachment A.)

As certified by the Governor in Attachment B, local governments and private sector organizations are being consulted and will have at least 45 days to submit comments on the plan and design of Iowa's welfare services.

We are issuing a press release in mid-November announcing submission of the State plan. Copies of the State plan will be available to the public at all IDHS offices and will be sent to county governments and certain service providers and organizations.

Public forums will be held around the state to make the plan public as described in subparagraph (B). For the purpose of the 45-day period, forums will focus on the content of the State plan and its practical impact. However, Iowa intends to continue a public input process beyond the 45 days--not for the purpose of the State plan completion but for the purpose of gathering public input on how Iowa might continue to adjust its welfare reform to better move families toward self-sufficiency.

**(a)(5) CERTIFICATION THAT THE STATE WILL PROVIDE INDIANS WITH EQUITABLE ACCESS TO ASSISTANCE.** - A certification of the chief executive officer of the State that, during the fiscal year, the state will provide each member of an Indian tribe, who is domiciled in the State and is not eligible for assistance under a tribal family assistance plan approved under section 412, with equitable access to assistance under the State program funded under this part attributable to funds provided by the Federal Government.

As certified by the Governor in the attached State Plan Certifications, each member of an Indian tribe who is not eligible for assistance under a tribal family assistance program under Section 412 of the Social Security Act, as amended, shall have equitable access to the State program funded under this part by funds provided by the Federal Government.

**(a)(6) CERTIFICATION OF STANDARDS AND PROCEDURES TO ENSURE AGAINST PROGRAM FRAUD AND ABUSE.** - A certification by the chief executive officer of the State that the State has established and is enforcing standards and procedures to ensure against program fraud and abuse, including standards and procedures concerning nepotism, conflicts of interest among individuals responsible for the administration and supervision of the State program, kickbacks, and the use of political patronage.

As certified by the Governor in the attached State Plan Certifications, Iowa has established and is enforcing standards and procedures to ensure against program fraud and abuse, including standards and procedures concerning nepotism, conflicts of interest among individuals responsible for the administration and supervision of the State program, kickbacks and the use of political patronage.

**(a)(7) OPTIONAL CERTIFICATION OF STANDARDS AND PROCEDURES TO ENSURE THAT THE STATE WILL SCREEN FOR AND IDENTIFY DOMESTIC VIOLENCE.**

**(A) IN GENERAL** - At the option of the State, a certification by the chief executive officer of the State that the State has established and is enforcing standards and procedures to:

- (i) screen and identify individuals receiving assistance under this part with a history of domestic violence while maintaining the confidentiality of such individuals;
- (ii) refer such individuals to counseling and supportive services; and
- (iii) waive, pursuant to a determination of good cause, other program requirements such as time limits (for so long as necessary) for individuals receiving assistance, residency requirements, child support cooperation requirements, and family cap provisions, in cases where compliance with such requirements would make it more difficult for individuals receiving assistance under this part to escape domestic violence or unfairly penalize such individuals who are or have been victimized by such violence, or individuals who are at risk of further domestic violence.

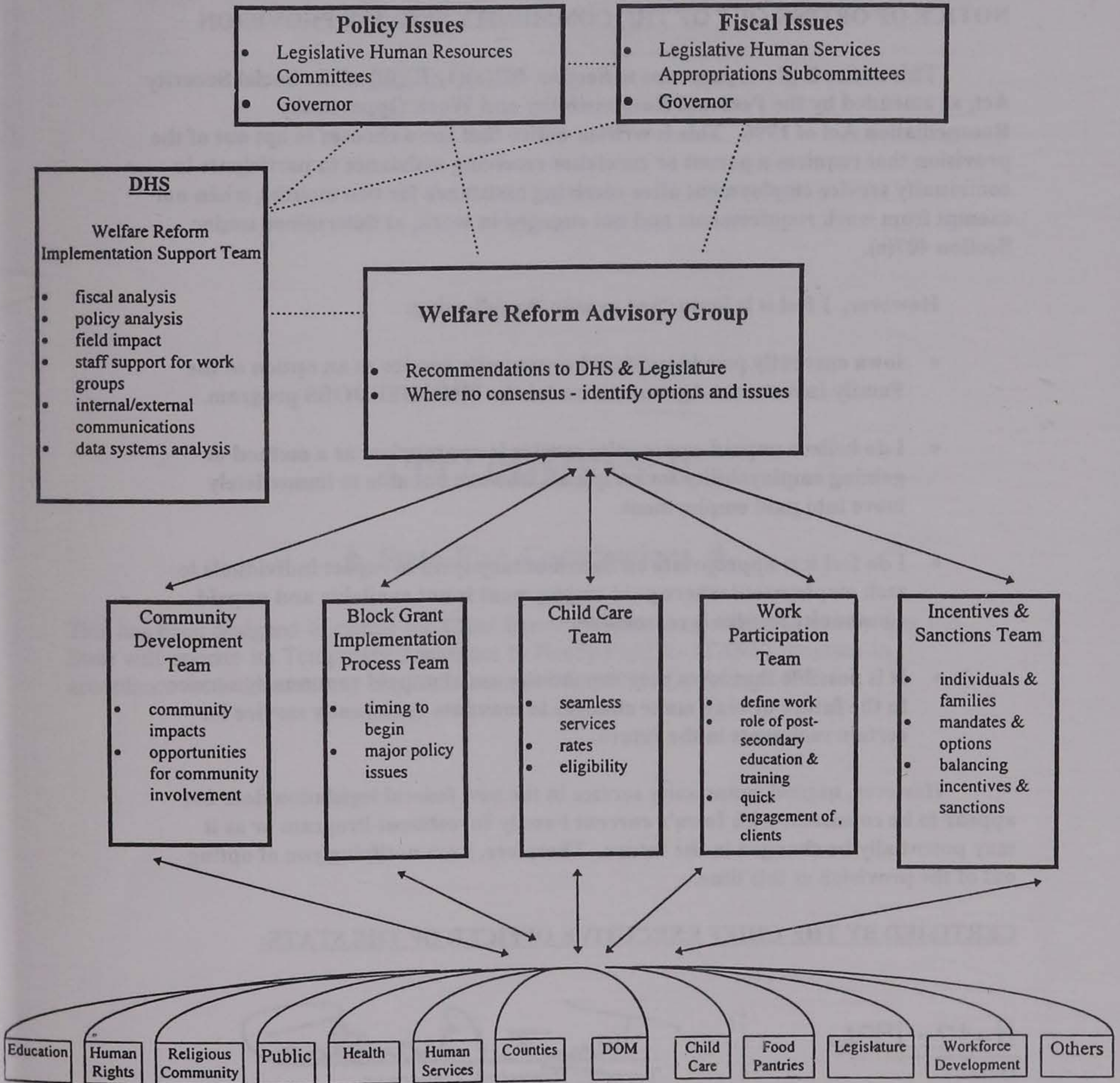
**(B) DOMESTIC VIOLENCE DEFINED** - For purposes of this paragraph, the term 'domestic violence' has the same meaning as the term 'battered or subjected to extreme cruelty,' as defined in section 408(a)(7)(c)(iii).

It would be consistent with the provision of services under Iowa's Family Investment Program (FIP) to refer victims of domestic violence to counseling and supportive services. This option will be reviewed during the 1997 Iowa legislative session.

**(b) PUBLIC AVAILABILITY OF STATE PLAN SUMMARY.** - The State shall make available to the public a summary of any plan submitted by the State under this section.

Iowa will make available to the public a summary of any plan submitted by the State under this section. (Also see response under paragraph (a)(4).)

# Personal Responsibility & Work Opportunity Reconciliation Act of 1996 Work Group Structure





**Attachment A.1**

**NOTICE OF OPTING OUT OF THE COMMUNITY SERVICE PROVISION**

**This notice is given pursuant to Section 402(a)(1)(B)(iii) of the Social Security Act, as amended by the Personal Responsibility and Work Opportunity Reconciliation Act of 1996. This is written notice that Iowa chooses to opt out of the provision that requires a parent or caretaker receiving assistance to participate in community service employment after receiving assistance for two months, when not exempt from work requirements and not engaged in work, as determined under Section 407(c).**

**However, I feel it is important to note the following:**

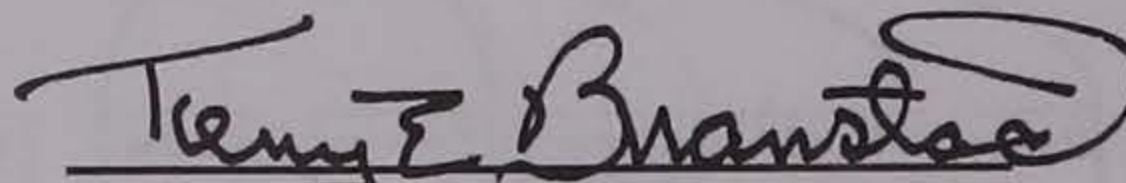
- Iowa currently provides unpaid community service as an option of the Family Investment Agreement under the PROMISE JOBS program.**
- I do believe unpaid community service is appropriate as a method of gaining employability for recipients who are not able to immediately move into paid employment.**
- I do feel it is appropriate on behalf of taxpayers to expect individuals to seek employment where paid employment is not available and unpaid community service is reasonable.**
- It is possible that Iowa may expand the use of unpaid community service in the future or may make changes to mandate community service for certain recipients in the future.**

**However, unpaid community service in the new federal legislation does not appear to be consistent with Iowa's current Family Investment Program or as it may potentially be changed in the future. Therefore, I am notifying you of opting out of the provision at this time.**

**CERTIFIED BY THE CHIEF EXECUTIVE OFFICER OF THE STATE:**

**11-12-1996**

**Date**



**Terry E. Branstad, Governor  
State of Iowa**

## ATTACHMENT B

### ◆ State Plan Certifications ◆

This has been designed to enable the Chief Executive Officer of a State to certify that the State will operate its Temporary Assistance to Needy Families (TANF) program in accordance with the statutory requirements in section 402(a)(2) through (7).

## CERTIFICATIONS

The State will operate a program to provide Temporary Assistance to Needy Families (TANF) so that the children may be cared for in their own homes or in the homes of relatives; to end dependence of needy parents on governments benefits by promoting job preparation, work, and marriage; to prevent and reduce the incidence of out-of-wedlock pregnancies and establish annual numerical goals for preventing and reducing the incidence of these pregnancies; and encourage the formation and maintenance of two-parent families.

This program is known as the Iowa Family Investment Program.

Executive Officer of the State: Terry E. Branstad, Governor, State of Iowa.

In administering and operating a program which provides Temporary Assistance for Needy Families with minor children under title IV-A of the Social Security Act, the State will:

1. Specify which State agency or agencies will administer and supervise the program under part A in all political subdivisions of the State.

The Iowa Department of Human Services is the agency responsible for administering the program.

The Iowa Department of Human Services is the agency responsible for supervising the program.

2. Assure that local governments and private sector organizations:
  - (a) Will be consulted regarding the plan and design of welfare services in the State so that services are provided in a manner appropriate to local populations; and
  - (b) Will have at least 45 days to submit comments on the plan and the design of such services.
3. Operate a Child Support Enforcement program under the State plan approved under part D.
4. Operate a Foster Care and Adoption Assistance program in accordance with part E, and certify that the State will take all necessary actions to ensure that children receiving assistance are eligible for medical assistance.

5. Provide each member of an Indian tribe, who is domiciled in the State and is not eligible for assistance under a Tribal Family Assistance plan approved under Section 412, with equitable access to assistance under the State program funded under this part attributable to funds provided by the Federal Government.
6. Establish and enforce standards and procedures to ensure against program fraud and abuse, including standards and procedures concerning nepotism, conflicts of interest among individuals responsible for the administration and supervision of the State program, kickbacks, and the use of political patronage.
7. Make available to the public a summary of the State plan.

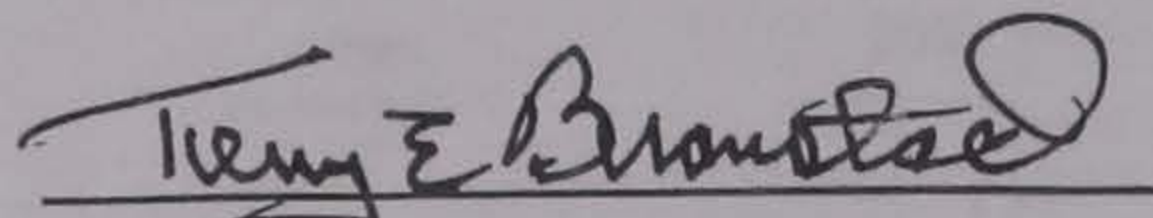
### OPTIONAL CERTIFICATION

- [ ] The State has established and is enforcing standards and procedures to:
- (1) Screen and identify individuals receiving assistance under this part with a history of domestic violence while maintaining the confidentiality of such individuals;
  - (2) Refer such individuals to counseling and supportive services; and
  - (3) Waive, pursuant to a determination of good cause, other program requirements such as time limits (for as long as necessary) for individuals receiving assistance, residency requirements, child support cooperation requirements, and family cap provisions, in case where compliance with such requirements would make it more difficult for individuals receiving assistance under this part to escape domestic violence or unfairly penalize such individuals who are or have been victimized by such violence, or individuals who are at risk of further domestic violence.

CERTIFIED BY THE CHIEF EXECUTIVE OFFICER OF THE STATE:

11-12-1996

Date



Terry E. Branstad, Governor  
State of Iowa

## ATTACHMENT C

### ◆ Funding ◆

## FUNDING

Section 403(a)(1)(A) provides that each eligible State shall be entitled to receive for each of the fiscal years 1996 through 2002, a grant in an amount equal to the State family assistance grant as defined in section 403(a)(1)(B).

### I. Payments to Agency Administering the TANF Program

- ▶ Payments for the TANF program will be made to the organization managing the AFDC/JOBS programs as of August 22, 1996, unless the State indicates that the TANF administering agency is changed. If a change is made, describe the name, address and EIN number of the new organization.

### II. State Payments for TANF Program

- ▶ Section 405 requires that grants be paid to States in quarterly installments, based on State estimates. The State's estimate for each quarter of the fiscal year by percentage is:

For FY 1998 and Future Years-

<u>1st quarter</u>	<u>2nd quarter</u>	<u>3rd quarter</u>	<u>4th quarter</u>
<u>25%</u>	<u>25%</u>	<u>30%</u>	<u>20%</u>

- ▶ For FY 1997, States should indicate below the percentage of TANF funds requested for only those quarters in which they plan to operate the program.

For FY 1997

<u>1st quarter</u>	<u>2nd quarter</u>	<u>3rd quarter</u>	<u>4th quarter</u>
<u>15%</u>	<u>30%</u>	<u>30%</u>	<u>25%</u>



STATE

Section 470.1 (1) provides that any... the local government... from an effort to...

CHAPTER 470.1

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**Please send comments to:**  
  
**TANF State Coordinator**  
**Department of Human Services**  
**Economic Assistance**  
**Hoover State Office Building, 5th Floor**  
**Des Moines, Iowa 50319-0114**



ATTACHMENT

Section 40301 (10A) provides that any document which is subject to records for each of the fiscal years (1995 through 2000) a copy of the document shall be made available to the public as defined in section 40301 (10A).

I. Department of Human Services - Department of Economic Assistance

Department of Economic Assistance (DEA) programs are subject to the organization's management information system (MIS) program as of August 15, 1994. When the MIS program was implemented, a copy of the program was made available to the public as defined in section 40301 (10A). If a change is made, describe the change, along with the date of the change.

II. Statewide TANF Program

Department of Economic Assistance (DEA) programs are subject to the organization's management information system (MIS) program as of August 15, 1994. When the MIS program was implemented, a copy of the program was made available to the public as defined in section 40301 (10A). If a change is made, describe the change, along with the date of the change.

For FY 1995-1996 TANF Program

Program	1995	1996	1997	1998
...	...	...	...	...
...	...	...	...	...
...	...	...	...	...

For FY 1997-1998 TANF Program, please indicate the percentage of TANF funds expended for each program. If you have data to report the program, please provide the data.

For FY 1997

Program	1997	1998	1999	2000
...	...	...	...	...
...	...	...	...	...
...	...	...	...	...

Please send comments to:

**TANF State Coordinator  
Department of Human Services  
Economic Assistance  
Hoover State Office Building, 5th Floor  
Des Moines, Iowa 50319-0114**