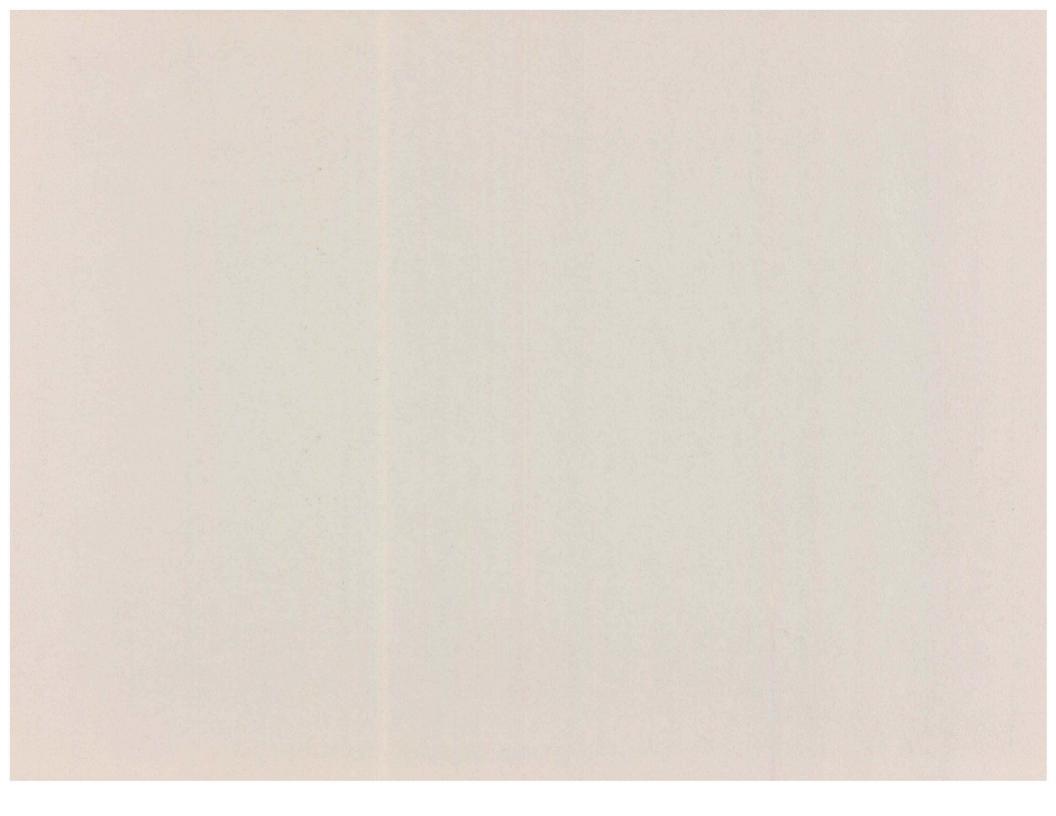


submitted January, 1981





IOWA MERIT EMPLOYMENT DEPARTMENT GRIMES STATE OFFICE BUILDING EAST FOURTEENTH & GRAND DES MOINES, IOWA 50319

-Foreward-

Despite the nationally recognized leadership of the Iowa Merit Employment Department in the field of public personnel administration, there have been long-standing concerns about the problems of fragmentation and inconsistency in the total personnel management of Iowa State government. With the advent of collective bargaining and the growth of several personnel systems and functions within the framework of Iowa State government, there have been numerous studies and reviews of personnel operations which have unanimously recommended some basic revisions.

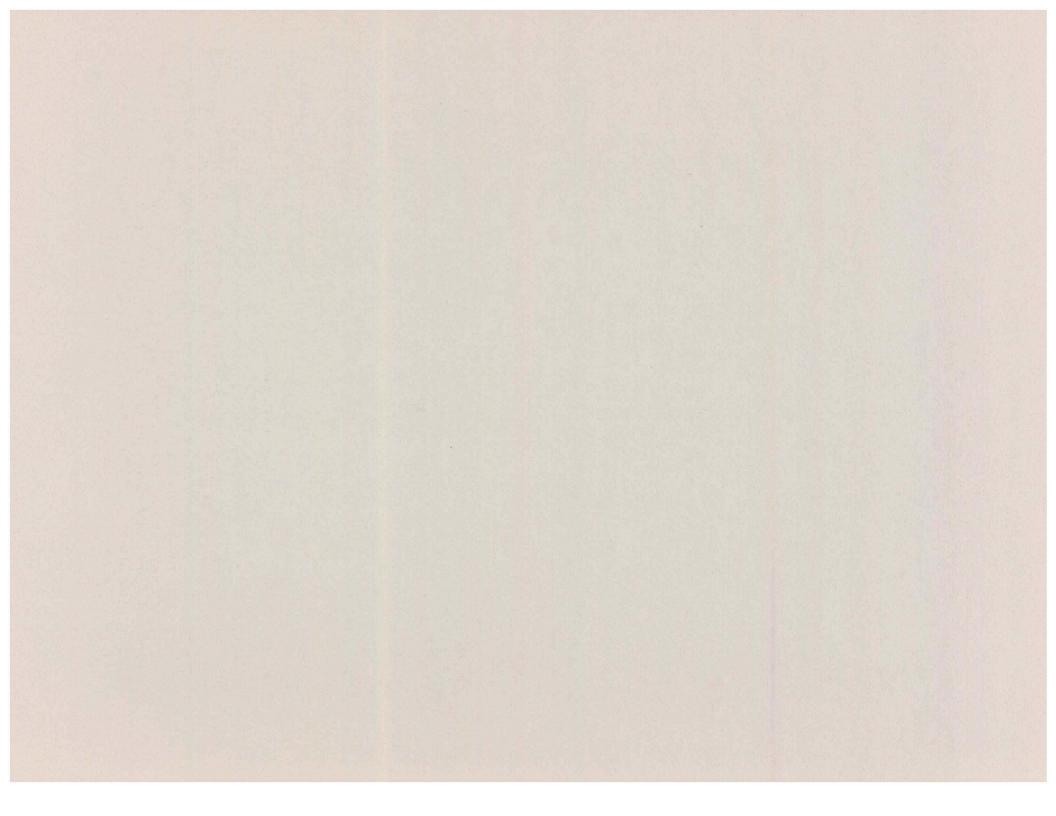
A Task Force was appointed in August, 1980, which was to look at the problems associated with the various Iowa personnel operations, work through the issues, and develop a proposal for a new Department of Personnel. The goals included: integration and coordination of all personnel management functions; uniform and consistent administration; greater flexibility, responsiveness, and streamlining of the system for user groups and the public; and an ongoing planning and problem resolution forum through interaction of user agencies and the new department.

Altogether there were sixteen Task Force sessions which involved approximately seventy hours together and probably half again that much time spent individually or in subgroups doing research, reporting, hearings, discussing, writing, and recapping. Although some differences of opinion were expressed initially, compromises and balances were eventually achieved.

The recommendation was finalized in early November and has undergone considerable review and some revision by agency directors, the Executive Council and the Governor since that time. It is the belief of the majority of those working on the bill that this current version provides for a decidedly improved and more effective personnel management system. Inter-agency cooperation and effort have produced a bill that the majority can support and will want to help implement. Following is a complimentary copy of the proposed bill. For reference, the items included in this package are:

- Executive Summary of the Proposed Bill
- Chapter 19A, Code 1979
- Proposed Bill for a New Department of Personnel

Fran Van Winkle, Chair Personnel Department Task Force January 30, 1981 Executive Summary Proposed State Department of Personnel Bill



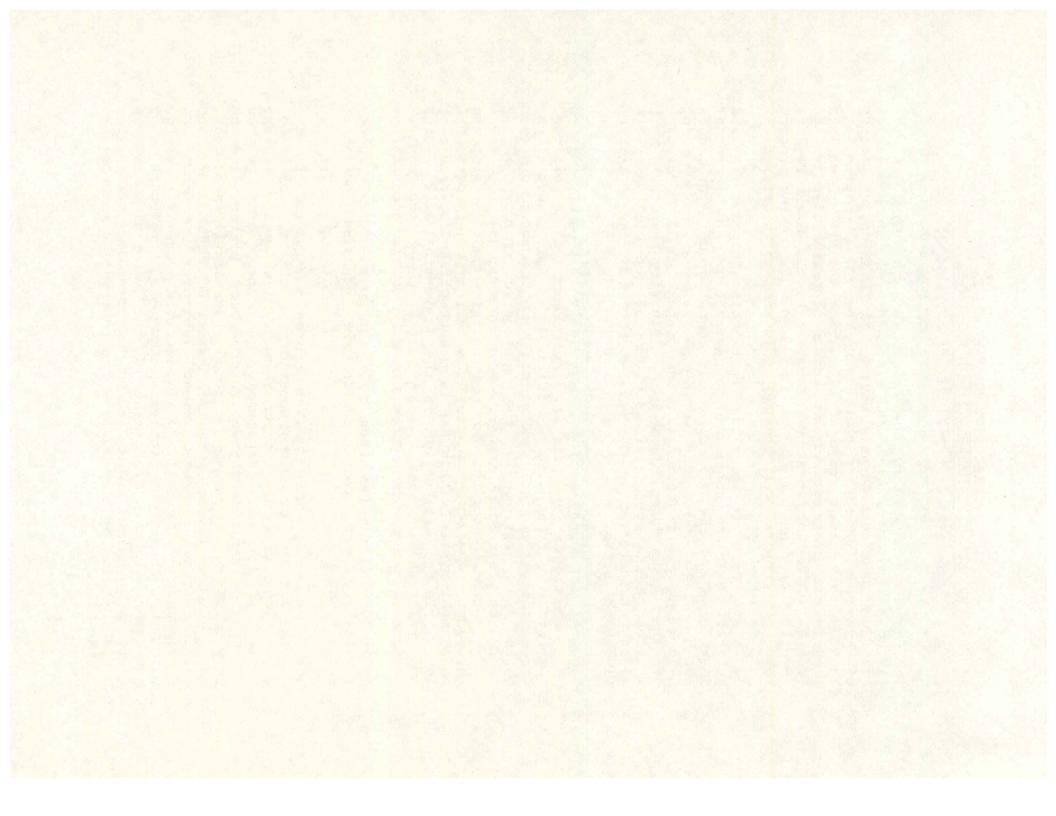
## EXECUTIVE SUMMARY PROPOSED STATE DEPARTMENT OF PERSONNEL BILL January 30, 1981

The three-month work of the Personnel Task Force was completed in November, 1980, and since then the proposed bill has undergone considerable review and revision by a group of Department Directors chaired by the State Comptroller. The Task Force was composed of representatives of various agencies in state government and a representative of the federal Office of Personnel Management, St. Louis Region. (See list on final page). To facilitate the review process that this proposal will undergo, this summary is offered.

It seems safe to conclude that this proposal in its entirety has the consensual acceptance of the Personnel Task Force and the Department Directors' group. This does not mean, however, that legitimate reservations were not held on specific sections of the proposed Bill by several of the Task Force members. "Minority Reports" were written on the appropriate sections of the bill and were given consideration in the review process by the Department Directors' group.

Language for some sections, especially that which related to exceptions (exemptions), represents a significant compromise from some initially held positions. Consequently the final product might be the second or even third best option in the considered opinion of an individual Task Force member. Nevertheless, the Task Force as a whole subscribes to the belief that the proposed revisions constitute a decidedly strengthened personnel management system. This has been accomplished by providing for a clearer delineation of the responsibilities, roles and relationships that will exist between the central personnel agency, the system for which it is accountable, and the agencies that use it. Within this strengthened system, provision has been made for greater user agency flexibility and communication in managing personnel activities.

Throughout its work the Task Force was guided by the recommendations of the Governor's Economy Committee, suggestions from the Comptroller, review by the federal Office of Personnel Management, personnel study by the Director of Merit Employment, user agency interests and the principles of contemporary public personnel management. Some of the finest personnel expertise both inside and outside State government went into the research and development of the bill. A considerable store of knowledge was built about the problems, successes, philosophy and operations of the management of our human resources in State government. This shared understanding became the basis for some of the balances and compromises incorporated in the bill.



### Summary of Key Provisions

# 19A.3 Applicability - Exemptions

According to the recommended bill, several additional agencies would become either partially or fully covered by the State's central personnel management system: the Blind Network, Commission, Iowa Public Broadcasting the Development Vocational Rehabilitation Commission, the Branch of the Department of Public Instruction, State Auditor's Office, the Crime Commission, the Public Employment Relations Board, the Attorney General's Office and all employees currently covered by the Board of Regents merit system as well as non-academic professional and scientific staff employed in various Board of Regents institutions. Approximately 14,000 additional positions and ten additional agencies would be covered. This would bring the total of covered positions to approximately 40,000.

Partial coverage and services of the State's central personnel management system would be provided to Peace Officers of the Department of Public Safety, those positions within the Department of Public Instruction that require a current and valid teaching certificate, all practicing physicians and psychiatrists, division directors in principal administrative policy-making positions, as well as elected officials in the executive branch. The Department of Personnel would provide basic document processing services for such positions and employees.

#### Comment:

Of the issues considered this was undoubtedly the most difficult and controversial. The proposed language is the result of compromise and reflects the Task Force's efforts to balance differing, if not conflicting, viewpoints. It represents the furthest point of compromise the members of this appointed group felt they could go and not exceed their responsibility to represent agency interests while working toward the objectives established for the Task Force. The changes in this section are made primarily to bring about a more uniform and consistent administration of State personnel.

# 19A.5 Director - Appointment, Qualifications and Removal

In Section 19A.4, a successor agency to the Merit Employment Department is created. This section provides for the appointment by the Governor of a qualified person to serve as Director of the Department of Personnel subject to conformation by the Senate. The Director will serve at the pleasure of the Governor.

#### Comment:

The feeling of the Task Force was that, since overall responsibility for the State's personnel management system was so closely tied to Executive Branch policy-making, the Director should be, like many other major Department directors subject to appointment and removal by the Governor rather than the Board. This concurs with the recommendation of the Governor's Economy Committee.

#### 19A.6 State Personnel Board - Membership, Organization and Duties

A State Personnel Board is established within the Department of Personnel. However, no superior or subordinate relationship exists between the Board or its members and the Director of the Department of Personnel. Board members are part-time and appointed by the Governor subject to confirmation by the Senate. Persons appointed to the Board must be knowledgeable in the field of personnel management. Other qualifications follow those currently required for membership on the Merit Employment Commission.

The primary responsibility of the Board is to adjudicate employee's appeals and grievances with the assistance of hearing officers. The Board may advise the Governor, Legislature and Director of Personnel on personnel management related issues and shall represent the public interest in the improvement of personnel administration in the State.

### Comment:

While recognizing that a Board is conducive to impartial resolution of employee appeals and grievances, the Task Force felt that such a Board, because it was part-time, would need the assistance of hearing officers if it was to professionally and effectively discharge its responsibilities. In the interest of expediting user agency requests for advanced appointment rates, class and pay plan changes, detail to special duty, extra meritorious increases, etc. the Task Force gave those responsibilities to the Director of Personnel rather than the Board for that official final action.

### 19A.7 Duties of the Department

This Section authorizes the Department of Personnel to establish and provide central direction for the State's personnel management programs. It extends the scope of services and programs to include currently fragmented or non-existent functions which the Governor's Economy Committee recommended as cost-effective moves. Among the additional programmatic responsibilities are: collective bargaining, management development and employee training, productivity and organizational effectiveness, equal employment opportunity and affirmative action, personnel management system evaluation, employee communications, safety program, and human resource data base. The Department is also charged with providing coordination for employee benefit programs. These were generally in keeping with the recommendations of the Governor's Economy Committee.

#### Comment:

It was concluded by the Task Force that the central personnel agency, in consultation with user agencies, should establish and maintain overall programmatic direction for the State's personnel management system. The proposed language of Section 7 mandates the Department of Personnel to have the responsibility for several management programs that can only be inferred from the current language of 19A as being the responsibility of the Merit Employment Department. It is expected that this clarification of responsibility will result in a more cohesive and integrated system for the State.

In the field of employee retirement programs, the Task Force felt that the Department of Personnel could not be expected to do more than begin to gather information on the various systems in this area, so as to be able to serve as a center of information and coordination, with possible legislative recommendations proposed at a later date.

#### 19A.8 Duties of the Director

In addition to directing the activities of the Department, and being responsible for the State's personnel management system, the Director serves as the Governor's and Legislature's chief advisor on personnel management related issues. Additionally, the position is charged with the responsibility to conduct investigations, make recommendations and direct corrective action. And finally, the Director is charged with the responsibility to organize and coordinate a Personnel Management Advisory Council composed of user agencies.

#### Comment:

If the concept of a strengthened yet more integrated personnel system is to be a reality, it is going to depend in large measure on the ability of the Director of Personnel to function effectively with the Governor, the Legislature and the user agencies. The mechanism for accomplishing this is established in this section.

#### 19A.9 Rules Adopted

There are several additional areas that now by statute would require the promulgation of rules. Most significant among these are in the areas of performance planning and evaluation, disciplinary actions based on the principles of just cause and progressive discipline, and a code of ethics. A significant change is the limitation of veterans' preference to the original appointment only, rather than as now for original and promotional appointments. Another change provides for special internship programs.

#### Comment:

The veterans' preference issue is potentially sensitive. Although for many it is increasingly difficult to justify veterans' preference beyond the original appointment, it is often politically difficult to get legislatures to approve limiting benefits for veterans.

#### 19A.11 Interagency Cooperation

This Section authorizes the decentralization of any responsibilities and authorities conferred on the Director of Personnel or the Department to other appointing authorities.

#### Comment:

Although a short section, this provision has the potential for becoming the statutory authorization for centralizing and decentralizing the State's personnel management system in accordance with the State's needs. It can also provide the means for transitioning recently covered agencies and positions into the central personnel system.

#### 19A.13 Appeals to Appointing Authority and the Board

See summary and comments under 19A.17.

#### 19A.14 Public Records

This section now includes applications for employment as confidential records.

# 19A.17 Discrimination Prohibited

The forms of these two Sections (19A.13 and 19A.17) delineates what rights of appeal in disciplinary cases a non-bargaining unit employee has and the time limits within which those rights must be exercised. Likewise, in cases of alleged discrimination, the appeal process for applicants and employees is clearly stated. Bargaining unit employees with non-discrimination articles in their negotiated agreements are excluded from this avenue of redress.

#### Comment:

Both of these sections codify and clarify the State's appeal processes and should eliminate confusion over which agency has jurisdiction over hearing appeals in cases of alleged discrimination. It also eliminates an agency's potential for administrative "double jeopardy" since it provides for a one track appeal system.

#### Transition

The final sections of the bill provide for the transition of current State employees and positions to coverage under the new Department and provide status for those currently holding a fully covered position who would be holding a partially covered position under the provisions of this Act. Provisions are also made for those people in the executive branch not currently covered by a merit system to be granted status based upon their continuous state service at the time of transition. In the face of many other changes surrounding implementation, it will be important that the transition of personnel be as orderly and uncomplicated as possible. Effective date is July 1, 1983.

#### PERSONNEL DEPARTMENT TASK FORCE 8/4/80 - 11/5/80

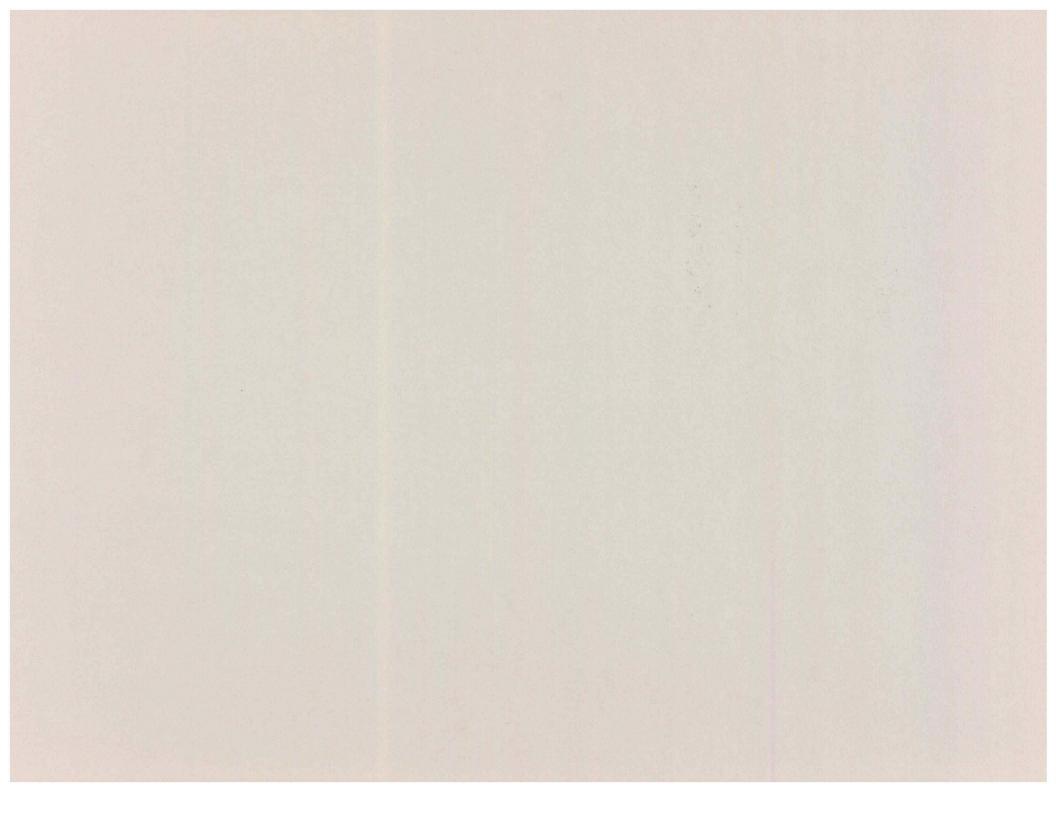
Fran Van Winkle, Chair

Department of Transportation - Tom Sally Department of Social Services - Tom Maudsley Department of Revenue - Richard Markham/Dave Griffiths Job Service of Iowa - Shirley Miller Department of Public Instruction - Jim Mitchell Department of General Services - Cindy Morton Office of the State Comptroller - Helyne Fiscel Department of Public Safety - Wayne Peterson Merit Employment Department - Ray Wilson Clint Davis Board of Regents - Don Volm U.S. Office of Personnel Management, St. Louis Region -

Tom Donahue

Member-at-Large: Dan Adair

# CURRENT CHAPTER 19A, Code of IOWA MERIT System of Personnel Administration



# CHAPTER 19A

#### STATE MERIT SYSTEM OF PERSONNEL ADMINISTRATION

# Referred to in §§7A.1, 17A.11, 18.8, 18.74, 18.115, 18.163, 20.5, 20.18, 47.8, 56.9, 88.2, 88A.6, 89.1, 91A.9, 93.3, 96.11(4), 103A.6, 104.4, 123.20(5), 135C.16, 135C.18, 147.98, 147.103, 147.114, 147.116, 157.7, 158.6, 217.23, 220.6, 257A.7, 303.6, 303A.5, 303A.6, 307.12, 307A.8, 455B.2, 455B.3, 502.601, 524.208, 601H.4, 691.1

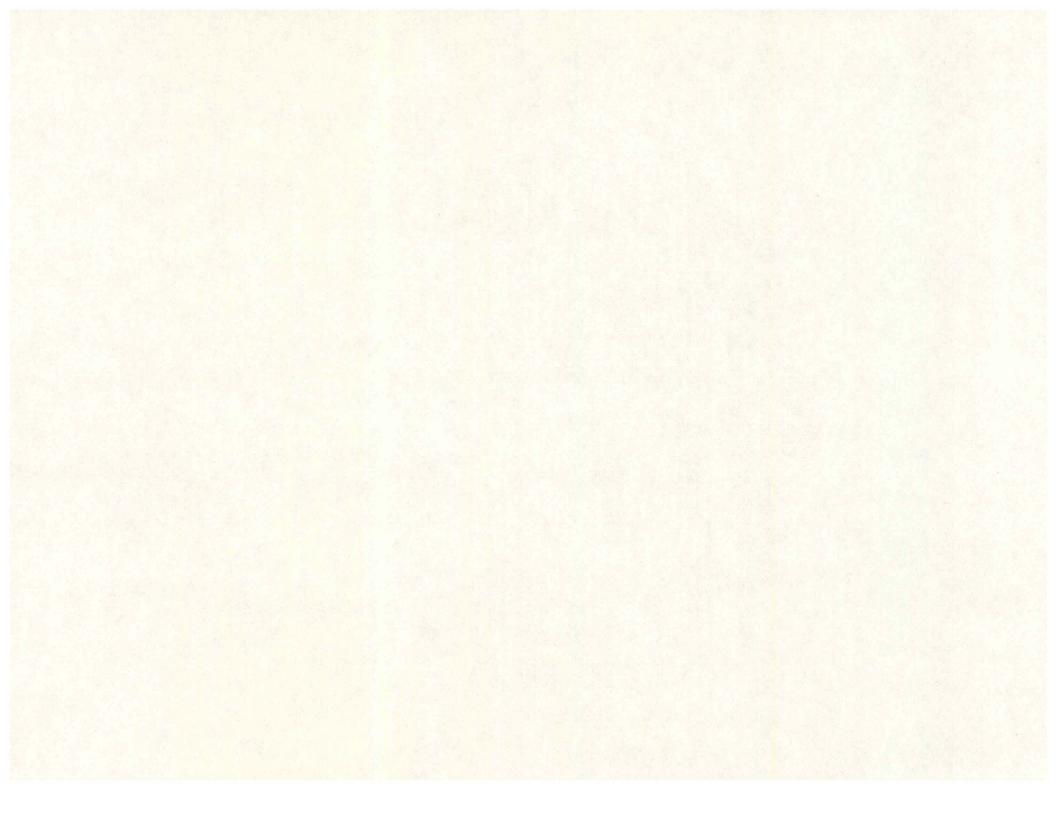
The Iowa merit employment commission shall promulgate rules to carry out such reassignment or transfer and shall arbitrate and decide any written appeal made by any employee concerning any transfer, reassignment, or reclassification made necessary by the department of transportation Act. No employee shall lose any benefits he may have accrued, including but not limited to salary, retirement, vacation, sick leave, or longevity, because of reassignment; see 65GA, ch 1180, §199.

- 19A.1 General purpose.
- 19A.2 Definitions.
- 19A.3 Applicability-exceptions.
- 19A.4 Merit employment department created.
- 19A.5 Director-appointment and removal.
- 19A.6 Qualifications of commissioners-appointment.
- 19A.7 Commission duties.
- 19A.8 Director's duties.
- 19A.9 Rules adopted.
- 19A.10 Use of buildings for examinations, etc.
- 19A.11 Aid by state employees-records and informa-
- 19A.12 All two-year employees covered.
- 19A.13 Certification of payrolls-actions.

- 19A.14 Appeal to appointing authority.
- 19A.15 Records public.
- 19A.16 Services to political subdivisions.
- 19A.17 Oaths and subpoenas.
- 19A.18 Discrimination prohibited.
- 19A.19 Prohibited actions.
- 19A.20 Penalty.
- 19A.21 Acceptance of grants.
- 19A.22 Collective bargaining agreements—other inconsistent laws.
- 19A.23 Longevity pay prohibited—exception.

FEDERAL PROGRAMS EXEMPT

19A.24 Temporary emergency employment.



#### 19A.25 Political activity prohibited.

19A.26 Penalty applicable.

19A.1 General purpose. The general purpose of this chapter is to establish for the state of Iowa a system of personnel administration based on the merit principles and scientific methods governing the appointment, promotion, welfare, transfer, layoff, removal and discipline of its civil employees, and other incidents of state employment. All appointments and promotions to positions in the state service shall be made solely on the basis of merit and fitness, to be ascertained by competitive examinations, except as hereinafter specified. [C71, 73, 75, 77, §19A.1]

Referred to in §19A.24

19A.2 Definitions. When used in this chapter, unless the context otherwise requires:

1. "Department" means the Iowa merit employment department.

2. "Director" means the director of the Iowa merit employment department.

3. "Commission" means the Iowa merit employment commission.

4. "Merit system" means the merit system established under this chapter.

5. "Appointing authority" means the chairman or person in charge of divisions of the state government including, but not limited to, boards, bureaus, commissions, departments and other divisions or an employee designated to employ persons by such an appointing authority. [C71, 73, 75, 77, §19A.2]

Referred to in §19A.24

19A.3 Applicability—exceptions. The merit system shall apply to all employees of the state and to all positions in the state government now existing or hereafter established except the following:

1. The general assembly, employees of the general assembly, other officers elected by popular vote, and persons appointed to fill vacancies in elective offices.

2. All board members and commissions whose appointments are otherwise provided for by the statutes of the state of Iowa, and one stenographer or secretary for each full-time member of each board and commission, and one principal assistant or deputy in each department.

3. Three principal assistants or deputies for each elective official and one stenographer or secretary for each elective official and each principal assistant or deputy thereof, also all supervisory employees and their confidential assistants.

4. The personal staff of the governor.

5. All employees under the supervision of the attorney general or his assistants.

6. All presidents, deans, directors, teachers, professional and scientific personnel, and student employees under the jurisdiction of the state board of regents.

7. The superintendent of public instruction and members of the professional staff of the department of public instruction, appointed under the provisions of section 257.24, who possess a current, valid teacher's certificate or who are assigned to vocational activities or programs.

8. Patients or inmates employed in state institutions or persons on parole employed in work experience positions in state government for a period of time not to exceed one year.

9. Persons employed by the commission for the blind and the division of vocational rehabilitation or any successor thereto.

10. Part-time professional employees who are paid a fee or who are under contract for service basis and are not engaged in administrative duties.

11. Officers and enlisted personnel of the armed services under state jurisdiction.

12. All judges and all employees of the courts.

13. All physicians, psychiatrists, and heads of institutions under the jurisdiction of the department of social services.

14. All appointments other than boards or commissions which are by law made by the governor or executive council; one stenographer or secretary for each; one principal assistant or deputy for each; and all administrative assistants or deputies employed by the director of the Iowa development commission.

15. Members of the Iowa highway safety patrol and other peace officers employed by the department of public safety.

16. Employees of the educational radio and television facility board.

17. Summer employment appointments during the period May 15 through September 15.

18. The administrative head of each of the divisions of the department of social services.

19. The director of transportation, his deputy, and his divisional administrators, one secretary or stenographer for each, and one administrative assistant or deputy for each.

20. The chief administrative officer of each board or commission who is appointed by the board or commission and one stenographer or secretary for the chief administrative officer.

21. Employees of the public employment relations board.

Nothing in this section shall authorize the employment of any stenographer, secretary, assistant or deputy not otherwise authorized by law.

Nothing herein shall be construed as precluding the appointing authority from filling any position in the manner in which positions in the merit system are filled.

The state board of regents and the educational radio and television facility board shall adopt rules for their employees, which rules shall not be inconsistent with the objectives of this chapter, and which shall be subject to approval of the Iowa merit employment commission. If at any time the director determines that the board of regents merit system or the educational radio and television facility boards merit system does not comply with the intent of this chapter, he, subject to the approval of the commission, shall have authority to direct correction thereof and the

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rules of the board shall not be in compliance until the corrections are made.

Institutions under the department of social services shall be authorized to qualify and employ applicants under rules adopted by the commission. [C71, 73, 75, 77,§19A.3; 67GA, ch 154,§26]

Referred to in \$\$17A.11, 19A.24, 56.9, 96.11, 224B.4, 249B.5

19A.4 Merit employment department created. There is hereby established a department of merit employment to be known as the "Iowa merit employment department," the executive head of which shall be the director of merit employment. In the department there shall be a merit employment commission of five members with the powers and duties hereinafter enumerated. The provisions of section 8.23 shall apply to this department. [C71, 73, 75, 77, §19A.4]

Referred to in §19A.24

19A.5 Director—appointment and removal. The merit employment commission shall appoint a director of merit employment who shall be experienced in the field of personnel administration, and who is in known sympathy with the application of merit principles in public employment. The commission shall establish for the class of director, minimum requirements of education and experience which are pertinent to the duties of the position. The restrictions as to political activity of the members of the commission shall likewise apply to the director. The director of merit employment shall serve at the pleasure of the commission. [C71, 73, 75, 77, §19A.5]

Referred to in \$19A.24

19A.6 Qualifications of commissioners-appointment.

1. The members of the commission shall be citizens of the United States and residents of Iowa and shall be in sympathy with the application of merit principles to public employment. No member of the commission shall be a member of any local, state, or national committee of a political party or an officer or member of a committee in any partisan political club or organization, or hold or be a candidate for any paid elective public office. The commission shall be nonpartisan in its scope and function, it being provided, however, that no more than three members thereof shall be from the same political party.

2. The governor shall appoint members of the merit employment commission. Members appointed to the commission shall be subject to approval of twothirds of the members of the senate. The merit cmployment commission appointed shall hold office in the following manner: One member until July 1, 1969, one member until July 1, 1971, and one member until July 1, 1973.\* Thereafter, each member shall be appointed for a term ending six years from the date of expiration of the term for which his predecessor was appointed. Where a vacancy may exist, the governor shall appoint for the unexpired portion of the term, and if the general assembly is not then in session, the governor shall, upon the convening of the general assembly, promptly report the appointment to the senate for confirmation.

\*Three original members. Two additional members terms; one to serve until July 1, 1973 and one to serve until July 1, 1975.

3. A member of the commission may be removed by the governor only for cause, after being given a copy of charges against him and an opportunity to be heard publicly on such charges before the governor. A copy of the charges and transcript of the record of the hearing shall be filed with the secretary of state.

4. Members of the commission shall receive per diem while engaged in their official duties, the same rate as paid members of the general assembly. They shall be paid their actual and necessary travel and other official expenditures necessitated by their official duties.

5. The commission shall elect one of its members as chairman. It shall meet at such time and place as shall be specified by call of the chairman or the director. At least one meeting shall be held bimonthly. All meetings shall be open to the public. Notice of each meeting shall be given in writing to each member by the director at least three days in advance of the meeting. Three commissioners shall constitute a quorum for the transaction of business. [C71, 73, 75, 77,§19A.6]

Referred to in §19A.24

19A.7 Commission duties. In addition to the duties expressly set forth elsewhere in this chapter, the commission shall:

1. Represent the public interest in the improvement of personnel administration in the state merit system.

2. Advise the governor and the director on problems concerning personnel administration.

3. Foster the interest of institutions of learning and of industrial, civic, professional, and employee organizations in the improvement of personnel standards in the state merit system.

4. Make any investigation which it may consider desirable concerning the administration of personnel in the state merit system and make recommendations to the director with respect thereto.

5. Make an annual report and special reports and recommendations to the governor. [C71, 73, 75, 77,§19A.7]

Referred to in §19A.24

Annual report, §17.4

19A.8 Director's duties. The director, as executive head of the department, shall direct and supervise all of the administrative and technical activities of the department. In addition to the duties imposed by the director elsewhere in this chapter, it shall be his duty:

1. To apply and carry out this law and the rules adopted thereunder.

2. To attend meetings of the commission and to act as its secretary and keep minutes of its proceedings.

3. To establish and maintain a roster of all employees in the state merit system in which there shall be set forth, as to each employee, the class title, pay or status, and other pertinent data.

4. To appoint such employees of the department and such experts and special assistants as may be necessary to carry out effectively the provisions of this chapter. Staff employees shall be appointed in accordance with the provisions of this chapter.

5. To foster and develop, in co-operation with appointing authorities and others, programs for the im-

provement of employee effectiveness, including training, safety, health, counseling, and welfare.

6. To encourage and exercise leadership in the development of effective personnel administration within the several departments in the state merit system, and to make available the facilities of the department of merit employment to this end.

7. To investigate the operation and effect of this law and of the rules made thereunder and to report semiannually his findings and recommendations to the commission.

8. To make an annual report to the commission regarding the work of the department and such special reports as he may consider desirable.

9. To perform any other lawful acts which he may consider necessary or desirable to carry out the purposes and provisions of this chapter.

The director shall designate, with the approval of the commission, an employee of the department to act for him in his absence or inability from any cause to discharge the powers and duties of this office.

The director shall utilize appropriate persons, including officers and employees in the state merit system to assist in the preparation and rating of tests. The director shall confer with agency personnel to assist in preparing examinations for professional and technical classes. An appointing authority may excuse any employee in his division from his regular duties for the time required for his work as an examiner. Such officers and employees shall not be entitled to extra pay for their services as examiners but shall be paid their necessary traveling and other expenses.

The director shall quarterly render a statement to those covered departments which operate in whole or in part from other than general fund appropriations for a pro rata share of the cost of administration of the merit employment department. Such expense shall be paid by the state departments or agencies in the same manner as other expenses of such department are paid and the moneys received shall be deposited in the general fund of the state. [C71, 73, 75, 77,§19A.8]

#### Referred to in \$19A.24

19A.9 Rules adopted. The merit employment commission shall adopt and may amend rules for the administration and implementation of this chapter in accordance with chapter 17A. The director shall prepare and submit proposed rules to the commission. The rules shall provide:

1. For the preparation, maintenance, and revision of a position classification plan from a schedule by separate department for each position and type of employment not otherwise provided by law in state government as approved by the executive council for all positions in the merit system, based upon duties performed and responsibilities assumed, so that the same qualifications may reasonably be required for and the same schedule of pay may be equitably applied to all positions in the same class, in the same geographical area. After such classification has been approved by the commission, the director shall allocate the position of every employee in the merit system to one of the classes in the plan. Any employee or agency officials affected by the allocation of a position to a class shall, after filing with the director a written request for reconsideration thereof in such manner and form as the director may prescribe, be given a reasonable opportunity to be heard thereon by the director. An appeal may be made to the commission or to a qualified classification committee appointed by the commission. No allocation or reallocation of a position by the director to a different classification shall become effective if such allocation or reallocation may result in the expenditure of funds in excess of the total amount budgeted for the department of the appointing authority until approval has been obtained from the state comptroller.

Whenever the public interest may require a diminution or increase of employees in any position or type of employment not otherwise provided by law, or the creation or abolishment of any such position or type of employment, the governor with the approval of the executive council, acting in good faith, shall so notify the commission. Thereafter such position or type of employment shall stand abolished or created and the number of employees therein reduced or increased. Schedules of positions and type of employment not otherwise provided by law shall be reviewed at least once each year by the governor and submitted to the executive council for continuing approval.

For a pay plan within the purview of an appropriation made by the general assembly and not otherwise provided by law for all employees in the merit system, after consultation with appointing authorities with due regard to the results of a collective bargaining agreement negotiated under the provisions of chapter 20 and after a public hearing held by the commission. Such pay plan shall become effective only after it has been approved by the executive council after submission from the commission. Review of the pay plan for revisions shall be made in the same manner at the discretion of the director, but not less than annually. The annual review by the director shall be made available to the governor a sufficient time in advance of collective bargaining negotiations to permit its recommendations to be considered during such negotiations. Each employee shall be paid at one of the rates set forth in the pay plan for the class of position in which employed and, unless otherwise designated by the commission, shall begin employment at the first step of the established range for the employee's class. Unless otherwise established by law, the governor, with the approval of the executive council, shall establish a pay plan for all exempt positions in the executive branch of government except for employees of the governor, board of regents, the state educational radio and television facility board, the superintendent of public instruction and members of the professional staff of the department of public instruction, appointed under the provisions of section 257.24, who possess a current, valid teacher's certificate or who are assigned to vocational activities or programs, the commission for the blind, members of the Iowa highway safety patrol and other peace officers, as defined in section 97A.1, employed by the department of public safety, and officers and enlisted personnel of the armed services under state jurisdiction.

Referred to in §§114.9, 116.3, 118.2, 118A.5, 120.3, 147.102, 152.2, 313.4

#### §19A.9, STATE MERIT SYSTEM OF PERSONNEL ADMINISTRATION

3. For open competitive examinations to test the relative fitness of new applicants for the respective positions. Such examinations shall be practical in character and shall relate to such matters as will fairly test the ability of the applicant to discharge the duties of the position to which appointment is sought.

Where the Code of Iowa establishes certification, registration and licensing provisions, such documents shall be considered prima-facie evidence of basic skills accomplishment and such persons shall be exempt from further basic skills testing.

Examinations need not be held until after the rules have been adopted, the service classified, and a pay plan established, but shall be held no later than one year after September 1, 1967. Such examinations shall be announced publicly at least fifteen days in advance of the date fixed for the filing of applications therefor, and shall be advertised through the communications media. The director may, however, in his discretion, continue to receive applications and examine candidates for a period adequate to assure a sufficient number of eligibles to meet the needs of the system, and may add the names of successful candidates to existing eligible lists in accordance with their respective ratings.

4. For promotions which shall give appropriate consideration to the applicant's qualifications, record of performance, and conduct. Vacancies shall be filled by promotion whenever practicable and in the best interest of the system and shall be by competitive or noncompetitive examination. Such examinations shall be of the same nature and content as those used in establishing competitive registers for the class. A promotion means a change in the status of an employee, from a position in one class to a position in another class having a higher entrance salary.

5. For the establishment of eligible lists for appointment and promotion, upon which lists shall be placed the names of successful candidates in the order of their relative excellence in the respective examinations. Eligibility for appointment from any such list shall continue for at least one year and not longer than three years.

6. For the rejection of candidates or eligibles who fail to comply with reasonable requirements such as physical condition, training and experience, or who are habitual criminals or alcoholics who have not been rehabilitated from the use of alcohol for a period of six months, or addicted to narcotics, or who have attempted any deception or fraud in connection with an examination.

7. For the appointment by the appointing authority of a person standing among the highest ten percent on the appropriate eligible list to fill a vacancy or among highest five if there are less than fifty on the list.

8. For a probation period of six months, excluding educational or training leave, before appointment may be made complete, and during which period a probationer may be discharged or reduced in class or rank, or replaced on the eligible list. The appointing authority shall within ten days prior to the expiration of an employee's probation period notify the director in writing whether the services of the employee have been satisfactory or unsatisfactory. If the employee's services are unsatisfactory, he shall be dropped from the payroll on or before the expiration of his probation period. If satisfactory, the appointment shall be deemed permanent. The determination of the appointing authority shall be final and conclusive.

9. For emergency employment for not more than sixty calendar days in any twelve-month period without examination, and for intermittent employment for not more than one hundred twenty calendar days in any twelve-month period. For intermittent employment the employee must have had a probationary, permanent, or temporary appointment.

10. For provisional employment without competitive examination when there is no appropriate eligible list available. No such provisional employment shall continue longer than one hundred eighty calendar days nor shall successive provisional appointments be allowed, except during the first two years after September 1, 1967 in order to avoid stoppage of orderly conduct of the business of the state.

11. For transfer from a position in one department to a similar position in another department involving similar qualifications, duties, responsibilities, and salary ranges. Whenever an employee transfers or is transferred from one state department or agency to another state department or agency, his seniority rights, any accumulated sick leave, and accumulated vacation time, as provided in the law, shall be transferred to the new place of employment and credited to him.

12. For reinstatement of persons who have attained permanent status and who resign in good standing or who are laid off from their positions without fault or delinquency on their part, within a period equal to the period of their continuous employment with the state but for a period of not longer than two years.

13. For establishing in co-operation with the appointing authorities a system of service records of all employees in the classified service, which service records shall be considered in determining salary increases provided in the pay plan; as a factor in promotion tests; as a factor in determining the order of layoffs because of lack of funds or work and in reinstatement; as a factor in demotions, discharges or transfers; and for the regular evaluation, at least annually, of the qualifications and performance of all employees in the classified service.

14. For layoffs by reason of lack of funds or work, or organization, and for re-employment of employees so laid off, giving primary consideration in both layoffs and re-employment to performance record and secondary consideration to seniority in service. Any employee who has been laid off may keep his name on a preferred employment list for one year, which list shall be exhausted by the agency enforcing the layoff before selection of an employee may be made from the register in his classification.

15. For imposition, as a disciplinary measure, of a suspension from the service without pay for not longer than thirty days.

16. For discharge, suspension, or reduction in rank or grade for any of the following causes: Failure to perform assigned duties, inadequacy in performing assigned duties, negligence, inefficiency, incompetence, insubordination, unrehabilitated alcoholism or narcotics addiction, dishonesty, any act or conduct which adversely affects the employee's performance or the agency employing him, and any other good cause for discharge, suspension, or reduction. The person discharged, suspended, or reduced shall be given a written statement of the reasons for his discharge, suspension, or reduction within twenty-four hours after the discharge, suspension, or reduction. A copy thereof shall be filed with the director. All persons concerned with the administration of this chapter shall use their best efforts to insure that this chapter and rules hereunder shall not be a means of protecting or retaining unqualified or unsatisfactory employees, and to cause the discharge, suspension, or reduction in rank of all employees who should be discharged, suspended, or reduced for any of the causes stated in this subsection.

17. For establishment of a uniform plan for resolving employee grievances and complaints.

18. For attendance regulations, and special leaves of absence, with or without pay, or reduced pay in the various classes of positions in the classified service. Annual sick leave and vacation time shall be granted in accordance with section 79.1.

19. For the development and operation of programs to improve the work effectiveness and morale of employees in the merit system, including training, safety, health, welfare, counseling, recreation, and employee relations.

20. Notwithstanding any provisions to the contrary, no rule or regulation shall be adopted by the department which would deprive the state of Iowa, or any of its agencies or institutions of federal grants or other forms of financial assistance.

21. For veterans preference through a provision that honorably separated veterans who served on active duty in the armed forces of the United States in any war, campaign or expedition for which a campaign badge or service medal has been authorized by the government of the United States shall have five points added to the grade or score attained in qualifying examinations for appointment to jobs.

Veterans who have a service-connected disability or are receiving compensation, disability benefits or pension under laws administered by the veterans administration shall have ten points added to the grades attained in qualifying examinations. A veteran who has been awarded the Purple Heart for disabilities incurred in action shall be considered to have a serviceconnected disability.

22. For acceptance of the qualifications, requirements, regulations, and general provisions established under other sections of the Code pertaining to professional registration, certification, and licensing.

23. For the establishment of work test appointments for positions of unskilled labor, attendants, aides, janitors, food service workers, laundry workers, porters, elevator operators, custodial or similar types of employment when the character of the work makes it impracticable to supply the needs of the service effectively by written or other type of competitive examination. If this subsection conflicts with any other provisions of this chapter, the provisions of this subsection shall govern the positions to which it applies. All persons appointed to the positions specified in this subsection shall serve a probationary period in accordance with this chapter, may acquire permanent status, and are subject to the same rules as other classified employees. Such persons shall be required to pass promotional examinations as prescribed by this chapter and the rules adopted by the merit employment commission before they may be promoted to a higher classification. [C71, 73, 75, 77,§19A.9]

Referred to in §§19A.12, 19A.22, 19A.24, 114.9, 116.3, 118.2, 118A.5, 120.3, 147.102, 313.4

19A.10 Use of buildings for examinations, etc. All officers and employees of the state and of municipalities and political subdivisions of the state shall allow the department the reasonable use of public buildings under their control, and furnish heat, light, and furniture for any examination, hearing, or investigation authorized by this chapter. The department shall pay to a municipality or political subdivision the reasonable cost of any such facilities furnished. [C71, 73, 75, 77,§19A.10]

Referred to in §19A.24

19A.11 Aid by state employees—records and information. All officers and employees of the state shall comply with and aid in all proper ways in carrying out the provisions of this chapter and the rules and orders thereunder. All officers and employees shall furnish any records or information which the director or the commission may require for any purpose of this chapter. The director may institute and maintain any action or proceeding at law or in equity that he considers necessary or appropriate to secure compliance with this chapter and the rules and orders thereunder.

The director may, with the approval of the commission, delegate to a person under the merit system in any department, agency, board, commission, or installation thereof, located away from the seat of government any of the duties herein imposed upon the director. [C71, 73, 75, 77, \$19A.11]

Referred to in §19A.24

19A.12 All two-year employees covered. An employee holding a position covered by this chapter as of September 1, 1967, and who has held such position or other position covered by this chapter for two consecutive years or more immediately prior to September 1, 1967, shall be given permanent appointment as stated in section 19A.9, subsection 8, provided that:

1. The employee has been certified by the director as having met the minimum qualifications established for the classification of the position held, and the employee has been recommended by the appointing authority as having given satisfactory service during the prior period of employment, or

2. The employee who does not meet the minimum qualifications established for the classification of the position held, but has been recommended by the appointing authority as having given satisfactory service during the prior period of service and has been certified by the director as having passed a qualifying examination for the position.

An employee holding a position covered by this chapter who fails to obtain permanent status by either of the options described in subsections 1 and 2 of this section, or who has been employed for a period of less than two consecutive years immediately prior to September 1, 1967, shall be permitted to apply for the position held or any other position covered by this chapter through the qualifying and examining procedure established under this chapter, and may be appointed to such position on a noncompetitive basis.

Nothing herein shall preclude the reclassification or reallocation as provided by this chapter of any position held by any such incumbent. Appointments made subsequent to September 1, 1967 and prior to establishment of an eligible list shall be subject to the provisions of this chapter and the rules of the commission concerning provisional appointments. [C71, 73, 75, 77,§19A.12]

Referred to in §19A.24

19A.13 Certification of payrolls—actions. No state disbursing or auditing officer shall make or approve or take part in making or approving any payment for personal service to any person holding a position in the merit system unless the payroll voucher or account of such pay bears the certification of the director, or of his authorized agent, that the persons named therein have been appointed and employed in accordance with the provisions of this chapter and the rules and orders thereunder, and that funds are available for the payment of the persons.

The director may for proper cause withhold certification from an entire payroll or from any specific item or items thereon. The director may, however, provide that certification of payrolls may be made once every six months, and such certification shall remain in effect except in the case of any officer or employee whose status has changed after the last certification of his payroll. In the latter case no voucher for payment of salary to such employee shall be issued or payment of salary made without further certification by the director.

Any citizen may maintain an action in accordance with the terms of the Iowa administrative procedure Act to restrain a disbursing officer from making any payment in contravention of any provision of this chapter, rule or order thereunder. Any sum paid contrary to any provision of this chapter or any rule or order thereunder may be recovered in an action in accordance with the terms of the Iowa administrative procedure Act maintained by any citizen, from any officer who made, approved, or authorized such payment or who signed or countersigned a voucher, payroll, check, or warrant for such payment, or from the sureties on the official bond of any such officer. All moneys recovered in any such action shall be paid into the state treasury.

Any person appointed or employed in contravention of any provision of this chapter or of any rule or order thereunder who performs service for which he is not paid, may maintain an action in accordance with the terms of the Iowa administrative procedure Act against the officer or officers who purported so to appoint or employ him to recover the agreed pay for such services or the reasonable value thereof if no pay was agreed upon. No officer shall be reimbursed by the state at any time for any sum paid to such person on account of such services. If the director wrongfully withholds certification of the payroll voucher or account of any employee, such employee may maintain a proceeding in accordance with the terms of the Iowa administrative procedure Act in the courts to compel the director to certify such a payroll voucher or account. [C71, 73, 75, 77,§19A.13]

Referred to in §19A.24

19A.14 Appeal to appointing authority. Any employee who is discharged, suspended, or reduced in rank or grade, except during his probation period, may appeal to the appointing authority and if not satisfied, may, within thirty days after such discharge, reduction, or suspension appeal to the commission for review thereof. Upon such review, both the appealing employee and the appointing authority whose action is reviewed shall, within thirty days following the date of filing of the appeal to the commission, have the right to a hearing closed to the public, unless a public hearing is requested by the employee, and to present evidentiary facts thereat. Technical rules of evidence shall not apply at any hearing so held. If the commission finds that the action complained of was taken by the appointing authority for any political, religious, racial, national origin, sex, age or nonmerit reasons, the employee shall be reinstated to his former position without loss of pay for the period of the suspension. In all other cases the merit employment commission shall have jurisdiction to hear and determine the rights of merit system employees and may affirm, modify, or reverse any case on its merits. Judicial review of the action of the commission may be sought in accordance with the terms of the Iowa administrative procedure Act. [C71, 73, 75, 77,§19A.14]

Referred to in §19A.24

19A.15 Records public. The records of the department, except personal information in an employee's file if the publication of such information would serve no proper public purpose, shall be public records and shall be open to public inspection, subject to reasonable rules as to the time and manner of inspection which may be prescribed by the director. Each employee shall have access to his personal file.

Any applicant for a position subject to the provisions of this chapter shall be permitted to review, in accordance with such rules as the director may prescribe, any test, grade, or evaluation resulting from the application for employment. [C71, 73, 75, 77,§19A.15]

#### Referred to in §19A.24

19A.16 Services to political subdivisions. Subject to the rules approved by the commission, the director may enter into agreements with any municipality or political subdivision of the state to furnish services and facilities of the agency to such municipality or political subdivision in the administration of its personnel on merit principles. Any such agreement shall provide for the reimbursement to the state of the reasonable cost of the services and facilities furnished. All municipalities and political subdivisions of the state are authorized to enter into such agreements.

Nothing in this chapter shall affect any municipal civil service programs presently established under and pursuant to the provisions of chapter 400. [C71, 73, 75, 77,§19A.16]

Referred to in \$19A.24

19A.17 Oaths and subpoenas. The commission, each member of the commission, and the director shall have power to administer oaths, subpoena witnesses, and compel the production of books and papers pertinent to any investigation or hearing authorized by this chapter. Any person who shall fail to appear in response to a subpoena or produce any books or papers pertinent to any such investigation or hearing or who shall knowingly give false testimony therein shall be guilty of a misdemeanor. [C71, 73, 75, 77,§19A.17]

Referred to in \$19A.24

19A.18 Discrimination prohibited. No person shall be appointed or promoted to, or demoted or discharged from, any position in the merit system, or in any way favored or discriminated against with respect to employment in the merit system because of his political or religious opinions or affiliations or race or national origin or sex, or age.

No person holding a position in the classified service shall, during his working hours or when performing his duties or when using state equipment or at any time on state property, take part in any way in soliciting any contribution for any political party or any person seeking political office, nor shall such employee engage in any political activity that will impair his efficiency during working hours or cause him to be tardy or absent from his work. The provisions of this section do not preclude any employee from holding any office for which no pay is received or any office for which only token pay is received.

No person shall seek or attempt to use any political endorsement in connection with any appointment to a position in the merit system.

No person shall use or promise to use, directly or indirectly, any official authority or influence, whether possessed or anticipated, to secure or attempt to secure for any person an appointment or advantage in appointment to a position in the merit system, or an increase in pay or other advantage in employment in any such position, for the purpose of influencing the vote or political action of any person or for any consideration.

No employee shall use his official authority or influence for the purpose of interfering with an election or affecting the results thereof.

Any officer or employee in the merit system who violates any of the provisions of this section shall be subject to suspension, dismissal, or demotion subject to the right of appeal herein.

The commission shall adopt any rules necessary for further restricting political activities of persons holding positions in the classified service, but only to the extent necessary to comply with federal standards in order that the present Iowa merit system council shall be absorbed by the Iowa merit employment department. In any event all employees shall retain the right to vote as they please and to express their opinions on all subjects.

Any officer or employee in the merit system who shall become a candidate for any partisan elective office for remuneration shall, commencing thirty days prior to the date of the primary or general election and continuing until such person is eliminated as a candidate, either voluntarily or otherwise, automatically receive leave of absence without pay and during such period shall perform no duties connected with the office or position so held. [C71, 73, 75, 77, \$19A.18] Referred to in §\$19A.24, 19A.25

19A.19 Prohibited actions. No person shall make any false statement, certificate, mark, rating, or report with regard to any test, certification, or appointment made under any provision of this chapter or in any manner commit or attempt to commit any fraud preventing the impartial execution of this chapter and the rules hereunder.

No person shall, directly or indirectly, give, render, pay, offer, solicit, or accept any money, service, or other valuable consideration for or on account of any appointment, proposed appointment, promotion, or proposed promotion to, or any advantage in, a position in the merit system.

No employee of the department, examiner, or other person shall defeat, deceive, or obstruct any person in his right to examination, eligibility certification, or appointment under this chapter, or furnish to any person any special or secret information for the purpose of affecting the rights or prospects of any person with respect to employment in the merit system. [C71, 73, 75, 77,§19A.19]

Referred to in §§19A.24, 19A.25

19A.20 Penalty. Any person who willfully violates any provision of this chapter or any rules adopted in accordance with this chapter, where no other penalty is prescribed, shall be guilty of a simple misdemeanor. [C71, 73, 75, 77, §19A.20; 66GA, ch 1245(4),§6]

Referred to in \$\$19A.24, 19A.26

Constitutionality, 62GA, ch 95, §21

19A.21 Acceptance of grants. The department is authorized and directed to accept on behalf of the state any grant or contribution, federal or otherwise, made to assist in meeting the cost of carrying out the purpose of this chapter.

All federal grants to and the federal receipts of this department are hereby appropriated for the purpose set forth in such federal grants or receipts. [C71, 73, 75, 77,§19A.21]

Referred to in §19A.24

19A.22 Collective bargaining agreements—other inconsistent laws. A collective bargaining agreement entered into between the state and a state employee organization under chapter 20 made final after July 1, 1977 shall not adopt by reference any merit pay adjustment or step increase provided in a merit pay plan adopted under section 19A.9, subsection 2, longevity pay as provided in section 313.4, subsection 3, or any other pay plan or system in effect before July 1, 1977. [C71, 73, 75, 77, \$19A.22; 67ExGA, ch 1, \$24] Referred to in \$19A.24

19A.23 Longevity pay prohibited—exception. No state employee subject to the provisions of this chapter shall be entitled to longevity pay except those employees granted longevity pay pursuant to section 313.4, subsection 3. [C73, 75, 77,§19A.23]

Referred to in §19A.24

#### §19A.24, STATE MERIT SYSTEM OF PERSONNEL ADMINISTRATION

#### FEDERAL PROGRAMS EXEMPT

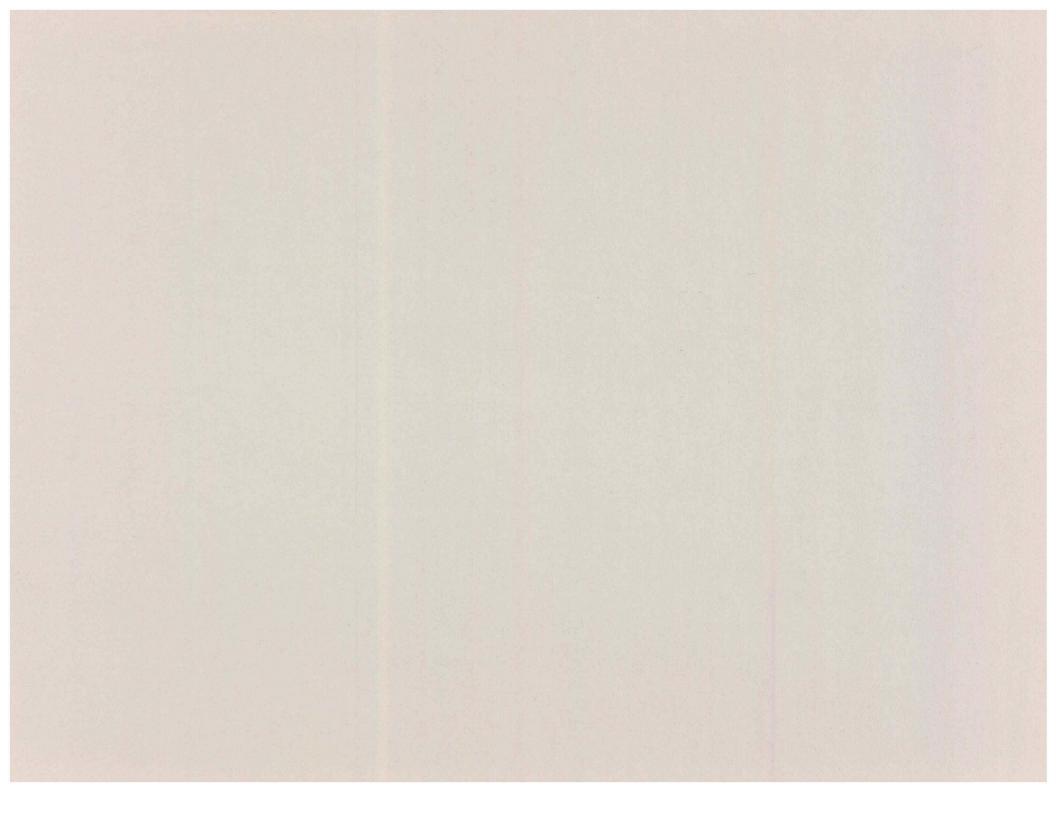
19A.24 Temporary emergency employment. Not-withstanding the provisions of sections 19A.1 to 19A.23, a person employed under a temporary, emergency employment utilization program funded by the federal government which program does not exceed one year and which program is not subject to merit system standards by federal law, shall be exempt from this chapter except as provided by this division. [C77,§19A.24]

Referred to in \$19A.25

19A.25 Political activity prohibited. The provisions of section 19A.18 relating to political activity and the civil penalties contained in such section shall apply to this division. Section 19A.19 relating to prohibited actions shall, where consistent with the provisions of section 19A.24, apply to this division. [C77,§19A.25]

19A.26 Penalty applicable. Any person violating the provisions of this division shall be subject to the penalty provided for in section 19A.20. [C77,§19A.26]

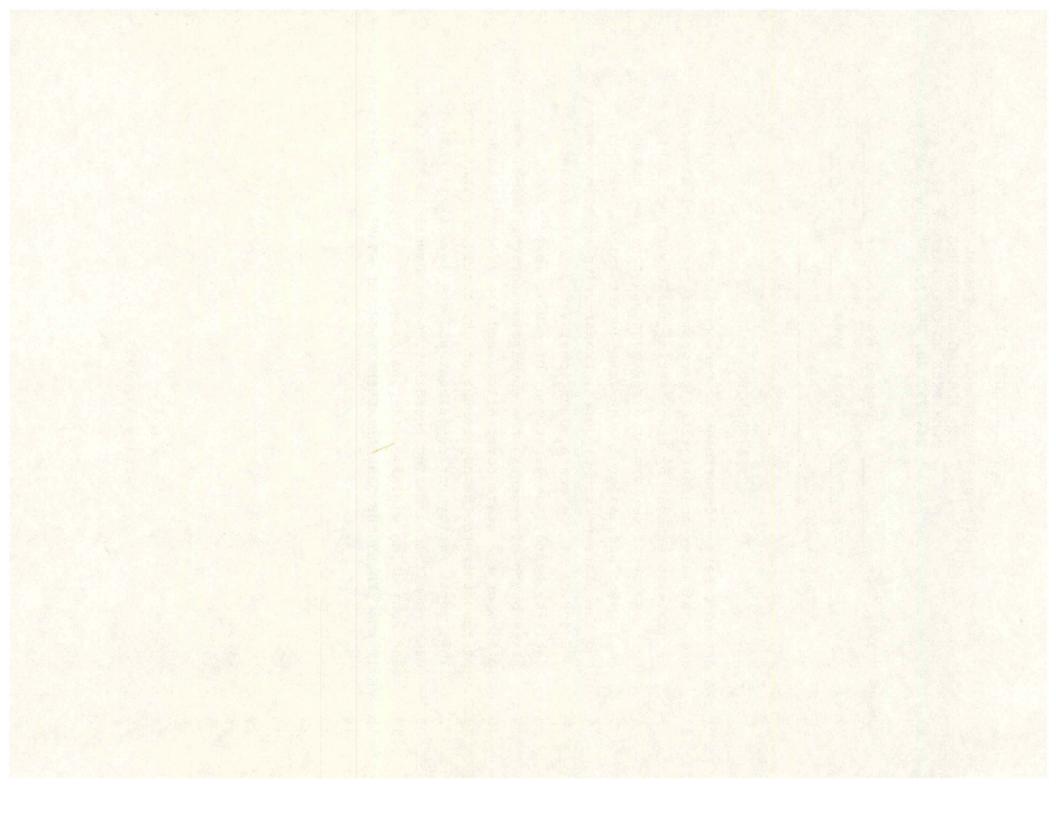
# Draft Proposal - Chapter 19A Department of Personnel



|        |        |          | PROP | PROPOSED HOUSE FILE SENATE FILE |       |                     |  |                |  |
|--------|--------|----------|------|---------------------------------|-------|---------------------|--|----------------|--|
|        |        |          | BY:  | CONSIDE                         | ERI   | NG GOVER<br>RECOMME | RSONNEL TAS<br>NOR'S ECON<br>ENDATIONS #<br>30, 31, 36 | OMY<br>24, 25, |  |
| Passed | House; | Date     |      | Pass                            | sed   | Senate;             | Date   |                |  |
| Vote:  | Ayes _ | Nays     |      | Vote                            | e : ' | Ayes                | Nays   |                |  |
|        |        | Approved |      |                                 |       |                     |  |                |  |

### A BILL FOR

1 An Act to create a department of personnel to design, implement 2 and administer an integrated program of personnel management 3 in state government by transferring the powers and duties of 4 the Iowa merit employment department and the Iowa merit 5 employment commission to the Iowa department of personnel, 6 and the state personnel board, transferring certain powers 7 and duties of the state educational radio and television 8 facility board, the department of public instruction, the 9 state board of regents, the commission for the blind, the 10 office of the state comptroller, and the executive council 11 of the state to the department of personnel, abolishing the 12 Iowa merit employment department and the Iowa merit employ-13 ment commission, making coordinating amendments to the Code, 14 and subjecting violators to penalties. 15 BE IT ENACTED BY THE GENERAL ASSEMBLY OF THE STATE OF IOWA: 16 17 18 19 20 21 22 23 24 25 January 30, 1981



H.F.\_\_\_\_ S.F.\_\_\_

Section 1. Section nineteen A point one (19A.1), Code 1979, a samended by striking the section and inserting in lieu thereof the following:

19A.1 GENERAL PURPOSE. The general purpose of this chapter
is to establish an integrated and coordinated system of personnel
administration for the state of Iowa, consistent with recognized
administrative practices and the principles of merit employment.
Sec. 2. Section nineteen A point two (19A.2), Code 1979, is
amended to read as follows:

10 19A.2 DEFINITIONS. When used in this chapter, unless the 11 context otherwise requires:

12 1. "Department" means the *iowa-merit-employment* depart 13 ment of personnel.

14 2. "Director" means the director of the <del>Iowa-merit-employ-</del> 15 ment department.

16 3. "Commission Board" means the Iowa-merit-employment-com-17 mission-state personnel board.

18 4. "Merit system" means the merit system <u>of personnel ad-</u> 19 ministration established under this chapter.

5. "Appointing authority" means the chairmanperson or per-21 son in charge of divisions agencies of the state government in-22 cluding, but not limited to, boards, bureaus, commissions, and 23 departments and-other-divisions-or an employee designated to 24 employee-persons-by-such-an-act for an appointing authority. 25 <u>6. "Fully covered" means those employees or positions</u> 26 subject to all of the provisions of this Act.

27 7. "Partially covered" means those employees or posi-28 tions that are exempted from the provisions of this Act 29 which require minimum qualifications, examination, competitive 30 selection, probation, and rights to grievance and appeal 31 procedures.

32 <u>8. "Covered" means both fully and partially covered</u> 33 positions or employees.

34 Sec. 3. Section nineteen A point three (19A.3), Code 1979, 35 is amended by striking the section and inserting in lieu there-

H.F. S.F.

1 of the following:

2 19A.3 APPLICABILITY - EXEMPTIONS. The general assembly, em-3 ployees of the general assembly, other officers elected by popular 4 vote and persons appointed to fill vacancies in elective offices, 5 and all judges and employees of the courts are exempted from all 6 of the provisions of this chapter.

7 The department of personnel shall administer this Act for all 8 other employees of the state and all other positions in state 9 government now existing and hereafter established. However, the 10 following positions and employees shall be exempted from the 11 provisions of this Act which require minimum qualifications, 12 examination, competitive selection, probation, and rights to 13 grievance and appeal procedures except those whose salaries 14 are paid in whole or in part by federal funds which require 15 that they be fully covered.

All board members and commissioners whose appointments
 are provided for by the statutes of the state of Iowa, and one
 secretary for each said board or commission.

All appointments other than to boards, commissions,
 bureaus or departments which are by law made by the governor.
 3. The personal staff of the governor.

4. The director of each board, commission, bureau, de23 partment, or agency who is appointed by a board or commission.

5. Deputy or assistant directors, each major division birector, and heads of institutions of a department or agency. To be exempt under this subsection, the position shall meet all of the following criteria:

28 a. Reports directly to the agency director or deputy29 director.

30 b. Functions as a principal member of the agency director's 31 upper management team.

32 c. Has major direct responsibility for agency policy33 development and implementation.

34 d. Requires a special sensitivity to the governor's 35 and/or agency director's goals with broad freedom to act pri-

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1 marily in the implementation of new programs, as opposed to 2 managing established on-going administrative programs where 3 continuity and precedent are essential.

6. One secretary and one administrative assistant for the 5 director and the principal deputy director of each department or 6 agency.

7 7. All presidents, deans, directors, teachers, academically 8 related professional personnel, and student employees under the 9 jurisdiction of the state board of regents.

10 8. Members of the staff of the department of public instruc-11 tion appointed to positions which require a current and valid 12 teachers' certificate.

9. The executive secretary of the state executive council.
14 10. Officers and enlisted personnel of the armed services
15 under state jurisdiction.

16 11. Peace officers employed by the department of public safety 17 as set forth in chapter eighty (80) of the Code.

18 12. Specialized services rendered by an individual to the 19 state under contract as an independent contractor and as a part 20 of the individual's regular profession, and not as a state 21 employee nor in an administrative or supervisory capacity.

13. Patients or inmates employed in state institutional programs, or persons on parole employed in work experience programs in state government.

25 14. Practicing physicians and psychiatrists.

26 15. Three principal deputies or assistants for each elected 27 official and one secretary for each, also all supervisory 28 employees of each elected official and their confidential 29 assistants.

30 16. The staff in the office of the attorney general.

Nothing in this section shall authorize the employment of any secretary, assistant or deputy not otherwise authorized by law. Nothing in this section shall be construed as precluding the director of a department or agency from filling or administering any position in the manner in which positions not excluded by 1 section 19A.3 are filled or administered.

2 Sec. 4. Section nineteen A point four (19A.4), Code 1979, is 3 amended by striking the section and inserting in lieu thereof the 4 following:

5 19A.4 DEPARTMENT CREATED. There is hereby established a 6 department of personnel to be known as the "Iowa department of 7 personnel", the executive head of which shall be the director of 8 the department. In the department, there shall be a state 9 personnel board with its powers and duties hereinafter enumer-10 ated. The provisions of section eight point twenty-three (8.23) 11 of the Code shall apply to this department.

12 Sec. 5. Section nineteen A point five (19A.5), Code 1979, is 13 amended by striking the section and inserting in lieu thereof the 14 following:

15 19A.5 DIRECTOR - APPOINTMENT, QUALIFICATIONS AND REMOVAL. 16 The director shall be appointed by the governor, subject to con-17 firmation by the senate. The director shall be appropriately 18 qualified by education and experience pertinent to the duties of 19 the position. The director shall not be a member of any local, 20 state or national committee of a political party, an officer or 21 member of a committee in any partisan political club or organi-22 zation, or hold or be a candidate for a paid elective public 23 office. The director shall serve at the pleasure of the 24 governor.

25 Sec. 6. Section nineteen A point six (19A.6), Code 1979, is 26 amended by striking the section and inserting in lieu thereof the 27 following:

19A.6 STATE PERSONNEL BOARD--MEMBERSHIP, ORGANIZATION AND DUTIES 1. There is created a state personnel board within the department. The board shall have five members appointed by the governor, subject to confirmation by the senate. The members shall be knowledgeable in the field of personnel management. The members shall select a chairperson of the board. The members hall be residents of this state and sympathetic with the application of merit principles in public employment. A board member

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H.F. S.F.

1 shall not be a member of a local, state or national committee 2 of a political party, an officer or member of a committee in a 3 partisan political club or organization, or hold or be a candidate 4 for a paid elective public office. Not more than three members 5 of the board shall be affiliated with the same political party.

6 2. The term of office of board members is four years except 7 that current merit employment commissioners at the time of the im-8 plementation of this Act shall serve out their terms as members 9 of the state personnel board. When a vacancy exists, the governor 10 shall appoint a successor for the unexpired part of the term.

3. A member of the board may be removed from office by the governor after the member has received a copy of the charges against him/her and has had an opportunity for a public hearing on the charges before the governor. A copy of the charges and a transcript of the record of the public hearing hearing hearing be entered in the executive journal.

4. A member of the board is entitled to a per diem of forty 18 dollars, and shall be reimbursed for necessary travel and other 19 expenses incurred while engaged in the performance of official 20 duties.

5. The board shall meet as often as necessary to conduct the business of the board at the time and place specified by call of the chairperson. A majority of the board constitutes a quorum, unless members disqualify themselves for reasons of conflict of interest, in which case those remaining will constitute a quorum. A quorum is required to transact official business with the concurrence of the majority sufficient to constitute a decision of the board.

6. The state personnel board shall decide on grievances and appeals brought under this chapter. The department shall provide the funding for hearing officers and other necessary support staff and services. The hearing officers shall be selected by the board in accordance with the provisions of this chapter, and will work with the board to do research, schedule hearings, prepare materials, conduct hearings in accordance with

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1 chapter 17A of the Code, advise the board, and write decisions.

7. The board may advise the governor, director, and
3 legislature on problems concerning personnel administration, and
4 shall represent the public interest in the improvement of
5 personnel administration in the state.

6 Sec. 7. Section nineteen A point seven (19A.7), Code 1979, 7 is amended by striking the section and inserting in lieu thereof 8 the following:

9 19A.7 DUTIES OF THE DEPARTMENT. The director is authorized 10 to establish, staff, administer and promulgate rules for the fol-11 lowing personnel management programs for all positions in state 12 government except those expressly exempted by other provisions 13 of this chapter:

14 1. Position classification.

15 2. Wage and salary research, recommendations, and administra-16 tion.

17 3. Research, development and validation of employee selec-18 tion devices.

Recruitment, examination and certification of persons
 seeking employment or promotion.

5. A system for equal employment opportunity and affirmativeaction programs related to state personnel administration.

6. Programs, in cooperation with appointing authorities and others, for management development and employee training, organiz zational development, position management, performance planning and evaluation, health, safety and welfare, as well as other programs designed to improve employee morale and productivity and organizational effectiveness.

7. Administration and coordination of employee benefit programs including, but not limited to, group medical, life, and long term disability insurance, workers' compensation, unemployment insurance, sick leave, annual leave, holidays, administrative leave, tuition reimbursement, deferred compensation and annuity contracts. Coordination among state employee retirement programs including, but not limited to, the Iowa department of public

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1 safety peace officers' retirement, accident and disability 2 system, the Iowa public employees' retirement system, and the 3 university funded retirement system for the purpose of communi-4 cation and planning on issues of mutual interest for the better-5 ment of the various retirement systems in government.

8. The negotiation and administration of collective bar7 gaining agreements on behalf of the state, its boards, com8 missions, agencies and departments as provided in chapter twenty
9 (20) of the Code.

9. A system for hearing and adjudicating grievances and
 11 appeals related to state personnel administration.

12 10. A personnel management evaluation program to monitor the 13 effectiveness of the operations of the state's personnel manage-14 ment system.

15 11. A state employee information system to inform state em-16 ployees of personnel policies, benefits, career opportunities, 17 training offerings, and other information of interest to 18 employees.

19 12. A comprehensive computer-based human resource system and 20 associated data base to support the programs of the department.

21 13. The provision of administrative services, including but 22 not limited to an employee records management system, employee 23 and position document review, correction and processing, and 24 general support services for the department.

25 14. Intergovernmental public personnel administration techni-26 cal assistance.

27 15. Any other programs considered necessary for the improve-28 ment of the state's personnel management system.

29 Sec. 8. Section nineteen A point eight (19A.8), Code 1979, 30 is amended by striking the section and inserting in lieu thereof 31 the following:

32 19A.8 DUTIES OF THE DIRECTOR. In addition to other powers 33 and duties expressly set forth elsewhere in this chapter the 34 director shall:

35 1. Advise the governor on matters relating to the state's

1 personnel management system.

2 2. Foster the interests of institutions of higher learning 3 and of industrial, civic and professional organizations in the 4 improvement of the state's personnel management system.

5 3. Organize, direct and supervise all of the administrative, 6 professional and technical activities of the department.

7 4. Apply and carry out the provisions of this chapter and 8 the rules adopted thereunder.

9 5. Establish and maintain a roster of all employees covered
10 by this chapter which shall include for each the position number,
11 class title, pay, status and other pertinent data.

12 6. Appoint all employees of the department.

13 7. Foster and develop in cooperation with agency directors 14 and others, programs for the improvement of employee effective-15 ness, including but not limited to training, safety, health, 16 counseling and welfare.

8. Encourage and exercise leadership in the development of
effective personnel management in government and make available
the resources and services of the department to this end.

9. Conduct any investigations or evaluations considered necessary or desirable concerning the administration of the personnel management programs in the state's personnel management system, make recommendations, and direct corrective action to agency directors as appropriate in those cases where a violation of rule or statute is found.

10. Make an annual report with recommendations to the governor regarding the work of the department, as well as any special reports considered desirable.

29 11. Perform any other lawful acts necessary or desirable to 30 carry out the purposes and provisions of this chapter.

31 12. Designate an employee of the department to act for the 32 director in the director's absence or inability from any cause to 33 discharge the powers and duties of the office.

34 13. Adopt procedures necessary for the effective and effi-35 cient administration of the department.

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1 14. Render declaratory rulings as provided for in chapter
 2 seventeen A (17A) of the Code.

3 15. Utilize appropriate persons, including state employees, 4 assist in the preparation and validation of employment examina-5 nations. The director shall confer with agency personnel to 6 assist in preparing examinations. An agency director may excuse 7 any employees under her/his jurisdiction from their normally 8 assigned duties for work in examination development. Such 9 employees are not entitled to extra pay for these services, but 10 shall be paid for travel and other necessary expenses by their 11 agency of employment.

12 16. Render a statement quarterly to those state agencies or 13 departments that operate in whole or in part from other than 14 general fund appropriations for a pro rata share of the cost of 15 administration of the department. The expense shall be paid by 16 the state departments or agencies in the same manner as other 17 expenses are paid and the money received shall be deposited to 18 the general fund of the state.

19 17. Organize and coordinate a personnel management advisory 20 council consisting of representatives from various state agencies 21 that come under the jurisdiction of the department for the pur-22 poses of planning and communication on issues of mutual interest 23 for the betterment of personnel administration in government.

18. Organize and coordinate a retirement system communications proup consisting of representatives from the various state retirement systems for the purpose of communication and planning on issues of mutual interest for the betterment of the various retirement systems in government.

29 Sec. 9. Section nineteen A point nine (19A.9), Code 1979, is 30 amended by striking the section and inserting in lieu thereof the 31 following:

32 19A.9 RULES ADOPTED. The director shall adopt and may amend 33 rules for the administration and implementation of this chapter 34 in accordance with chapter 17A. of the Code. The rules shall 35 provide:

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For the preparation, maintenance, administration and
 revision of uniform job classification plans for all positions
 covered by this Act. The same qualifications will be required,
 and the same schedule of pay equitably applied to all positions
 in the same class in the same geographical area within budget
 limitations. The director shall allocate all positions to one of
 the classes in the plans.

8 Any permanent employee or agency official affected by the 9 allocation of a position to a class shall be able to appeal such 10 allocation in a manner prescribed. No allocation or reallocation 11 of a position shall become effective if it results in the 12 expenditure of funds in excess of the total amount budgeted for 13 the agency.

When the director determines that changes are required in the 15 state's job classification plan, he/she shall notify agencies that 16 would be affected, and the executive council. Any amendments to 17 the plans which require the expenditure of funds above those 18 currently authorized shall require the approval of the 19 executive council.

When the public interest requires an increase or decrease in the number of positions in an agency, the appointing authority shall notify the department in the manner and form prescribed by the director. Such increases or decreases to the authorized table of organization in each agency shall require appropriate notification to and approval by the executive council.

26 2. For pay plans within the purview of appropriations 27 made by the general assembly for all employees covered by this 28 Act after consultation with appointing authorities and with due 29 regard to results of collective bargaining agreements nego-30 tiated under the provisions of chapter 20, comparability surveys, 31 current job market conditions, recruitment and retention data, 32 job evaluation, and after a public hearing held by the director. 33 Review of the pay plans shall be made at least annually by the di-34 rector and revisions shall become effective only after they have 35 been approved by the executive council after submission from the

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1 director. No revisions to the pay plans will be approved if the 2 revisions would result in the expenditure of funds in excess of 3 the total amount budgeted for all affected agencies. In the event 4 individual agencies cannot implement these changes, provisions 5 will be made to accommodate them during the next budget cycle.

6 Each employee shall be paid at one of the established rates 7 set forth in the pay plan for the class of position in which 8 employed and, unless otherwise approved by the director, shall 9 begin original employment at the first step of the established 10 range for the class to which assigned. Eligibility for 11 additional compensation shall be determined through policies and 12 rules established by the department of personnel.

13 The procedural aspects of pay plan administration for all 14 covered positions will be carried out by the department and be 15 consistent with policies and rules established by the department 16 of personnel.

3. For valid, job-related open and competitive selection devices to measure the relative fitness of applicants to perform the duties of the class of position to which appointment or promotion is sought. Such devices shall be announced publicly at least fifteen days in advance of the date fixed for the filing of applications. The director may continue to receive applications and measure candidates for a period adequate to assure a sufficient number of eligibles to meet staffing needs, and may combine the names of eligible candidates with existing registers.

4. For vacancies to be filled by promotion when practicable and in the best interest of the agency, either competitively or non-competitively giving appropriate consideration to an applicant's qualifications and record of job performance.

5. For the establishment of registers for appointment and promotion with the names of eligible candidates ranked in the order of final scores obtained. Eligibility for appointment from any such register shall continue for at least one year and not longer than three years as determined by the director.

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6. For the rejection of applicants or removal of eligible candidates from the registers who do not meet reasonable jobrelated requirements such as education and experience qualifications established for the class, and anyone who has attempted any deception or fraud in connection with the selection process.

7. For the appointment by the appointing authority of a
7 person ranking among the top six scores on the appropriate
8 register.

9 8. For probationary status of not less than six nor more 10 than twelve months, exclusive of time spent on any leave without 11 pay or educational leave with pay over thirty days as determined 12 by the director before the appointment is deemed to be perma-13 nent. During this period the employee may be terminated or 14 demoted by the appointing authority without right of appeal 15 unless the appeal is based on alleged illegal discrimination. 16 9. For emergency appointment not to exceed a period of sixty 17 consecutive days in any twelve month period and for summer 18 appointment during the pay periods which include May 15 to 19 September 15 of each year without competitive selection, and 20 for intermittent employment with competitive selection not to ex-21 ceed 960 hours in any twelve month period.

10. For project appointment by competitive selection where a particular job, project, grant or contract requires the services of an individual for a limited duration not to exceed two years as determined by the director. Such appointments shall not confer any right of position, transfer, demotion, promotion or appeal, but incumbents shall be eligible for vacation and sick leave and other covered employee fringe benefits.

11. For provisional appointment of a new employee who meets the minimum qualifications for a class, without competitive selection, when there is not a sufficient number of candidates on an appropriate register. Such employment shall not exceed 180 calendar days nor shall consecutive provisional appointments of the same individual be allowed.

35 12. For transfer from a position in one agency to a

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1 position in another agency with continuous employment 2 rights and all accumulated sick leave and vacation leave 3 transferred to the new agency of employment.

4 13. For reinstatement of persons who have attained permanent 5 status, and who resign in good standing or who are laid off through 6 no fault of their own, for a period equal to the time of their 7 continuous fully covered employment, but not to exceed two years.

8 14. For establishing a uniform system of performance planning 9 and evaluation of all employees covered by the provisions of this 10 Act, and for their evaluation at least annually.

11 15. For layoffs by reason of lack of funds, or work, or 12 reorganization, and for reemployment of employees so laid off, 13 giving consideration in both layoffs and reemployment to recent 14 performance records and to total continuous fully covered employ-15 ment. Any fully covered employee who has been laid off may keep 16 his/her name on a preferred employment register for the class 17 from which laid off or demoted in lieu of layoff for one year from 18 date of the layoff. Such register shall be exhausted by the agency 19 enforcing the layoff before selection of an eligible candidate for 20 that job class from the open competitive or promotional register. 21 16. For the establishment of uniform procedures for 22 disciplinary action based on just cause and the principles of

23 progressive discipline.

17. For the establishment of a uniform procedure for agency resolution of fully covered employee complaints and grievances, and for the establishment of a uniform procedure for hearing and resolving such grievances and appeals alleging the violation of a department rule or statute.

29 18. For attendance regulations, and leaves of absence, with 30 or without pay, or lower pay in the various classes of positions 31 covered by this Act.

32 19. For the development and operation of programs to improve 33 the work effectiveness and morale of employees covered by this 34 Act, including training, safety, health, welfare, counseling, 35 recreation, and employee relations.

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1 20. Notwithstanding any provisions to the contrary, no rule 2 or regulation shall be adopted by the department which would 3 deprive the state of Iowa or any of its agencies or institutions 4 of federal grants or other forms of financial assistance.

5 21. For veterans' preference through a provision that honor-6 ably separated veterans who served on active duty in the armed 7 forces of the United States during any war, campaign or expedi-8 tion that has been authorized by the government of the United 9 States shall have five points added to the score attained in 10 qualifying selection devices for original appointment. Veterans 11 who have a service-connected disability and therefore are re-12 ceiving compensation, disability benefits or pension under laws 13 administered by the veterans' administration shall have ten 14 points added to the score attained in qualifying selection 15 devices for original appointment.

16 22. For acceptance of the gualifications, requirements, 17 regulations, and general provisions established under other 18 sections of the Code pertaining to professional registration, 19 certification and licensing.

20 23. For the establishment of work test appointments to un-21 skilled job classes where the character of work makes it imprac-22 ticable to supply staffing needs effectively by competitive 23 selection processes. This provision may also be applied to the 24 hiring of severely handicapped persons into any fully covered 25 position as defined by rule.

26 24. For a statewide equal employment opportunity and 27 affirmative action program including technical assistance and 28 reporting systems.

29 25. For the development and administration of an employee 30 communications program to keep personnel informed of policies, 31 procedures, benefit programs, career opportunities, training 32 programs and other such information as deemed appropriate by 33 the director.

34 26. For determination within the provisions of this Act of 35 the proper coverage and employment status for all positions 1 within the state service and its divisions.

2 27. For a code of ethics governing the conduct of covered
3 employees during their tenure of employment with the state.
4 28. For prohibitions on partisan political activity consis5 tent with other Code provisions and the federal hatch act.

6 29. For the provision of certain rights and privileges 7 to fully covered employees acquired through permanent status 8 including, but not limited to, actions relating to promotion, 9 demotion, transfers, position allocation, layoffs, discipline 10 and discharge.

30. For the guarantee of right of return through reemployment to the fully covered service in the same or similar class and grade last held for permanent employees who accept positions in 14 state government outside the fully covered service.

15 31. For the development and administration of special intern-16 ship programs in conjunction with institutions of higher educa-17 tion to provide career development opportunities for students of 18 exceptional potential who have received professional training in 19 planning and managing public programs and policies.

20 Employees who are subject to current and valid collective 21 bargaining contracts negotiated under chapter twenty (20) of the 22 Code which include provisions enumerated in this section shall be 23 governed by those contract provisions.

Sec. 10. Section nineteen A point ten (19A.10), Code 1979, s amended by striking the section and inserting in lieu thereof the following:

19A.10 USE OF PUBLIC FACILITIES. All officers and employees of the state and of municipalities, public schools and political subdivisions of the state shall allow the department the reasonable use of public buildings under their control, and furnish heat, light, and furniture for any examination, hearing, or investigation authorized by this chapter.

33 Sec. 11. Section nineteen A point eleven (19A.11), Code 34 1979, is amended by striking the section and inserting in lieu 35 thereof the following:

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1 19A.11 INTERAGENCY COOPERATION. The director, in consulta-2 tion with affected agencies, may delegate to an appointing author-3 ity any of the responsibilities or authorities conferred on the 4 director or the department by this statute.

5 Sec. 12. Section nineteen A point thirteen (19A.13), Code 6 1979, is amended by striking the section and inserting in lieu 7 thereof the following:

8 19A.12 CERTIFICATION OF PAYROLLS--ACTIONS. No state dis-9 bursing or auditing officer shall make or approve or take part in 10 making or approving any payment for service to any person holding 11 a position fully covered under this Act unless the payroll voucher 12 or account of such pay bears the certification of the director, 13 or authorized agent, that the persons named therein have been 14 appointed and employed in accordance with the provisions of this 15 chapter and the rules and orders thereunder and that funds are 16 available for the payment of the persons.

17 The director may for proper cause withhold certification from 18 an entire payroll or from any specific item or items thereon. 19 The director may, however, provide that certification of payrolls 20 be made once every six months, and such certification shall 21 remain in effect except in the case of any officer or employee 22 whose status has changed after the last certification of the 23 payroll. In the latter case no voucher for payment of salary to 24 such employee shall be issued or payment of salary made without 25 further certification by the director or authorized agent.

Any citizen may maintain an action in accordance with the terms of the Iowa administrative procedure Act to restrain a disbursing officer from making any payment in contravention of any provision of this chapter, rule or order thereunder. Any sum paid contrary to any provision of this chapter or any rule or order thereunder may be recovered in an action in accordance with the terms of the Iowa administrative procedure Act maintained by any citizen, from any officer who made, approved, or authorized such payment or who signed or countersigned a voucher, payroll, check, or warrant for such payment, or from the sureties on the

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1 official bond of any such officer. All moneys recovered in any 2 such action shall be paid into the state treasury.

Any person appointed or employed in contravention of any 4 provision of this chapter or of any rule or order thereunder who 5 performs service for which he/she is not paid, may maintain an 6 action in accordance with the terms of the Iowa administrative 7 procedure Act against the officer or officers who purported to so 8 appoint or employ him/her to recover the agreed pay for such 9 services or the reasonable value thereof if no pay was agreed 10 upon. No officer shall be reimbursed by the state at any time 11 for any sum paid to such person on account of such services.

12 If the director wrongfully withholds certification of the 13 payroll voucher or account of any employee, such employee may 14 maintain a proceeding in accordance with the terms of the Iowa 15 administrative procedure Act in the courts to compel the director 16 to certify such a payroll voucher or account.

Sec. 13. Section nineteen A point fourteen (19A.14), Code 18 1979, is amended by striking the section and inserting in lieu 19 thereof the following:

20

19A.13 APPEAL TO APPOINTING AUTHORITY AND THE BOARD.

1. Any fully covered permanent employee who, as a result of 21 22 a disciplinary action, is discharged, suspended, demoted, or whose 23 pay is reduced as a result of such action, may appeal to the 24 appointing authority and if not satisfied, may, within thirty 25 days after such action, appeal to the board. Both the appealing 26 employee and the appointing authority whose action is being 27 appealed shall, within thirty days following the date of filing 28 of the appeal to the board, have the right to a hearing closed 29 to the public, unless a public hearing is requested by the 30 employee, and to present evidentiary facts. Technical rules 31 of evidence shall not apply at the hearing. The board shall 32 have jurisdiction to determine the rights of the employee and 33 may affirm, modify, or reverse any case on its merits. 34 Judicial review of the action of the board may be sought in 35 accordance with chapter seventeen A (17A) of the Code. The

director is entitled to seek such judicial review as well.
 The board shall refuse to hear the appeal of an employee

3 who is subject to a valid collective bargaining agreement nego-4 tiated under chapter twenty (20) of the Code.

5 Sec. 14. Section nineteen A point fifteen (19A.15), Code 6 1979, is amended by striking the section and inserting in lieu 7 thereof the following:

8 19A.14 PUBLIC RECORDS. All applications for employment, 9 test papers, scoring devices, selection devices and working 10 papers associated with the screening of applicants shall be 11 confidential records.

12 Applicants for fully covered positions subject to the 13 provisions of this chapter shall be permitted to review, in 14 accordance with such rules as the director may prescribe, their 15 own test materials or evaluation resulting from their applica-16 tion for employment.

17 All other records of the department shall be subject to the 18 provisions of chapter sixty-eight A (68A) of the Code.

19 Sec. 15. Section nineteen A point sixteen (19A.16), Code 20 1979, is amended by striking the section and inserting in lieu 21 thereof the following:

19A.15 SERVICES TO POLITICAL SUBDIVISIONS. The director may enter into agreements with any municipality or political subdivision of the state to furnish services and facilities of the department to the municipality or political subdivision in the administration of its personnel programs. This agreement shall provide for the recovery to the department of the reasonable cost of the services and facilities furnished. All municipalities and political sub divisions of the state may enter into these agreements.

Nothing in this chapter shall affect any municipal civil service programs presently established under and pursuant to the provisions of chapter four hundred (400) of the Code.

34 Sec. 16. Section nineteen A point seventeen (19A.17), Code 35 1979, is amended by striking the section and inserting in lieu

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1 thereof the following:

2 19A.16 INVESTIGATION AND COMPLIANCE. The board, each member 3 of the board, and hearing officers appointed to work with the 4 board may administer oaths, subpoena witnesses and compel the 5 production of books, papers or other information pertinent to an 6 investigation or hearing authorized by this chapter or its rules. 7 Procedures for discovery shall be subject to the provisions of 8 chapter seventeen A (17A) of the Code.

9 The director is authorized to conduct any investigation or 10 evaluations considered necessary or desirable concerning the 11 administration of the personnel management programs in the 12 state's personnel management system. The director is empowered 13 to administer oaths, subpoena witnesses and compel the production 14 of books, papers or other information pertinent to the conduct of 15 personnel management evaluations and investigations. A determi-16 nation of pertinence, upon resistance, shall be made by the board. 17 Any person within the state who fails to appear in response to a 18 subpoena or produce any books, papers or other pertinent informa-19 tion in the furtherance of such evaluation or investigation shall 20 be guilty of a simple misdemeanor. Subpoenas issued are not sub-21 ject to the distance limitations of sections 622.66 and 622.68 22 of the Code.

In those cases where a violation of statute or rule is found, the director is authorized to direct corrective action. The provisions of chapter 17A notwithstanding, disputes with regard to such rulings shall first be heard by the board.

27 Sec. 17. Section nineteen A point eighteen (19A.18), Code 28 1979, is amended by striking the section and inserting in lieu 29 thereof the following:

30 19A.17 DISCRIMINATION PROHIBITED. No person shall be appointed 31 or promoted to, or demoted or discharged from, any covered 32 position, or in any way be discriminated against with respect 33 to covered employment based upon age, race, creed, color, 34 sex, national origin, religion, and physical or mental disabil-35 ity.

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1 Any applicant or covered employee who feels that he/she 2 has been discriminated against with respect to employment on the 3 basis of one or more of the aforementioned factors shall first 4 appeal directly to the appointing authority within thirty days of 5 the alleged incident. If not satisfied or if a decision is not 6 forthcoming within thirty days of the filing of the appeal, the 7 appellant may either appeal to the board using the following 8 procedure or to the Iowa civil rights commission using the 9 procedure provided for in chapter 601A of the Code, not both. 10 Once either agency has accepted jurisdiction, that agency 11 shall notify the other, and the other agency shall not 12 thereafter accept the same appeal.

13 If the appellant chooses to appeal to the board, the board 14 will hear cases brought to it under this section within sixty 15 days, and will render a decision and notify all parties concerned 16 within ten working days after the close of the hearing. 17 Notwithstanding the provisions of chapter 601A of the Code, if 18 judicial review of the action of the board is sought, it shall be 19 in accordance with the provisions of section 17A.19 of the Code.

20 Employees covered by a current and valid collective bargaining 21 agreement negotiated under the provisions of chapter 20 of the 22 Code that contains a discrimination appeal provision shall be 23 subject to the procedures contained therein.

24 Sec. 18. Section nineteen A point nineteen (19A.19), Code 25 1979, is amended by striking the section and inserting in lieu 26 thereof the following:

27 19A.18 POLITICAL ACTIVITY PROHIBITED. No person shall be 28 appointed or promoted to, or demoted or discharged from, any 29 fully covered position, or in any way favored with respect to 30 employment based on political affiliation.

No person holding a fully covered position shall, during working hours or when performing job duties or when using state equipment or at any time on state property, take part in any way in soliciting any contribution for any political party or any person seeking political office, nor shall such employee engage

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1 in any political activity that will impair efficiency during work-2 ing hours or cause the employee to be tardy or absent from work. 3 No person shall seek or attempt to use any political 4 endorsement in connection with any appointment to a fully 5 covered position.

6 No person shall use or promise to use, directly or 7 indirectly, any official authority or influence, whether 8 possessed or anticipated, to secure or attempt to secure for any 9 person an appointment or advantage in appointment to a fully cov-10 ered position or an increase in pay or other advantage in employ-11 ment in any such position, for the purpose of influencing the vote 12 or political action of any person or for any consideration.

No person holding a fully covered position shall use his/her official authority or influence for the purpose of interfering swith an election or affecting the results thereof.

16 Any person holding a fully covered position who violates any 17 of the provisions of this section shall be subject to disciplinary 18 action subject to the right of appeal established herein.

19 The director shall adopt any rules necessary for further 20 restricting political activities of persons holding fully covered 21 positions, but only to the extent necessary to comply with feder-22 al law or standards. Any person holding a fully covered position 23 shall retain the right to vote as he/she pleases and to express 24 an opinion on all subjects.

Any person holding a fully covered position who shall become a candidate for any partisan elective office for renumeration shall, commencing thirty days prior to the date of any primary or general election and continuing until such person is eliminated as a candidate, either voluntarily or otherwise, automatically receive leave of absence without pay and during such period shall perform no duties connected with the office or position so held. No person holding a fully covered position shall hold a paid partisan political office for more than token pay while on active duty. The provisions of this section do not preclude any person from holding any office for which no pay is received or any 1 office for which only token pay is received.

2 In all other instances regarding political activity as it re-3 lates to employees of state government, the provisions of chapter 4 721 of the Code shall apply.

5 Sec. 19. Section nineteen A point twenty (19A.20), Code 6 1979, is amended by striking the section and inserting in lieu 7 thereof the following:

8 19A.19 PROHIBITED ACTIONS. No person shall make any false 9 statement, certificate, score, or report with regard to any test, 10 certification, appointment or other action made under any provi-11 sion of this chapter or in any manner commit or attempt to commit 12 any fraud preventing the impartial execution of this chapter and 13 the rules hereunder.

No person shall, directly or indirectly, give, render, pay, offer, solicit, or accept any money, service, or other valuable consideration for or on account of any appointment, proposed promotion to, or any advanappointment, promotion, or proposed promotion to, or any advantage in a fully covered position except as may be otherwise provided for in rules promulgated under the provisions of this Act.

21 No person shall defeat, deceive, or obstruct any person in 22 his/her right to examination, eligibility certification, or 23 appointment under this chapter, or furnish to any person any 24 special or confidential information for the purpose of affecting 25 the rights or prospects of any person with respect to fully 26 covered employment.

27 Sec. 20. Section nineteen A point twenty-one (19A.21), Code 28 1979, is amended by striking the section and inserting in lieu 29 thereof the following:

30 19A.20 PENALTY. Any person who willfully violates any 31 provision of this chapter or any rules adopted in accordance with 32 this chapter, where no other penalty is prescribed, shall be 33 guilty of a simple misdemeanor.

34 Sec. 21. Section nineteen A point twenty-two (19A.22), Code 35 1979, is amended by striking the section and inserting in lieu

1 thereof the following:

2 19A.21 ACCEPTANCE OF GRANTS. The department is authorized 3 and directed to accept on behalf of the state any grant or con-4 tribution, federal or otherwise, made to assist in meeting the 5 cost of carrying out the purpose of this chapter.

6 All federal grants to and the federal receipts of this 7 department are hereby appropriated for the purpose set forth in 8 such federal grants or receipts.

9 Sec. 22. Section nineteen A point twenty-three (19A.23),10 Code 1979, is amended to read as follows:

11 19A.22 LONGEVITY PAY PROHIBITED--EXCEPTIONS. No state em-12 ployee subject to the provisions of this chapter shall be entitled 13 to longevity pay except those employees granted longevity pay pur-14 suant to sections <u>eighty point eight (80.8) and</u> 343-4-subsec-15 tion-3-three hundred seven A point eight (307A.8) of the Code.

16 FEDERAL PROGRAMS EXEMPT

Sec. 23. Section nineteen A point twenty-four (19A.24), 8 Code 1979, is amended by striking the section and inserting in 19 lieu thereof the following:

19A.23 TEMPORARY EMERGENCY EMPLOYMENT. Notwithstanding the provisions of sections 19A.1 through 19A.22, a person employed under a temporary, emergency employment utilization program funded by the federal government which program does not exceed one year and which program is not subject to merit system standards by federal law shall be exempt from being fully covered by this chapter. Sec. 24. Section nineteen A point twenty-five (19A.25), Code

27 1979, is amended by striking the section and inserting in lieu 28 thereof the following:

19A.24 POLITICAL ACTIVITY PROHIBITED. The provisions of section 19A.18 relating to political activity and the civil penalties contained in such section shall apply to section 19A.23. Section 19A.19 relating to prohibited actions, where consistent with the provisions of section 19A.23, shall apply. Sec. 25. Section nineteen A point twenty-six (19A.26), Code 1979, is amended by striking the section and inserting in lieu 1 thereof the following:

2 19A.25 PENALTY APPLICABLE. Any person violating the pro-3 visions of section 19A.23 and 19A.24 shall be subject to the 4 penalties provided for in section 19A.20.

5 Sec. 26. Section seven A point one (7A.1), Code 1981, is 6 amended to read as follows:

7 7A.1 OFFICE CREATED. There is hereby created the office for 8 planning and programming which shall be directly attached to and 9 a part of the office of the governor. The governor may appoint a 10 director of planning and programming and-other-necessary-per-11 sonnel-who shall serve at the pleasure of the governor. All 12 other Eemployees of the office shall be appointed and adminis-13 tered pursuant to the provisions of chapter 19A serve-at-the 14 pleasure-of-the-governor. Where-required-by-federal-statutes, 15 employees-shall-be-covered-under-the-provisions-of-chapter-19A. 16 Sec. 27. Section twenty point four (20.4) subsection two (2), 17 Code 1979, is amended to read as follows:

2. Representatives of a public employer, including the 18 19 administrative officer, director or chief executive officer of a 20 public employer or major division thereof as well as his-any 21 deputy, first assistant, and any supervisory employees. 22 For the purposes of this chapter, Ssupervisory employee 23 means any individual having authority in the interest of the 24 public employer to hire, transfer, suspend, layoff, recall, 25 promote, discharge, assign, reward or discipline other public 26 employees, or the responsibility to direct them, or to adjust 27 their grievances, or effectively to recommend such action, if in 28 connection with the foregoing exercise of such authority is not 29 of a merely routine or clerical nature, but requires the use of 30 independent judgment. All school superintendents, assistant 31 superintendents, principals and assistant principals shall be 32 deemed to be supervisory employees.

33 Sec. 28. Section twenty point four (20.4) subsection five 34 (5), Code 1979, is amended to read as follows:

35 5. Temporary public employees employed-working full-time for

1 a period of four months or less, or working less than twenty 2 hours per week per year on an average. 3 Sec. 29. Section twenty point four (20.4), Code 1979, is 4 amended by adding the following new subsection: 5 11. Persons employed by the public employment relations board. 6 Sec. 30. Section twenty-eight D point eight (28D.8), Code 7 1979, is amended to read as follows: 28D.8 ADMINISTRATION. The Howa-merit-employment-department 8 9 of personnel is-hereby-directed-to-explore-means-of-implementing 10 shall administer this chapter and to-assist departments, agen-11 cies, and instrumentalities of the state and its political sub-12 divisions in participating in employee interchange programs. 13 Sec. 31. Section thirty-three point two (33.2), unnumbered 14 paragraph one (1), Code 1981, is amended to read as follows: 15 33.2 PAID HOLIDAYS. State employees, except those in an 16 intermittent, emergency, summer, or otherwise temporary status, 17 are granted, except as provided in the fourth paragraph of this 18 section, the following holidays off from employment with pay: 1. New Year's Day, January 1. 19 20 2. Memorial Day, the last Monday in May. Independence Day, July 4. 21 3. 22 Labor Day, the first Monday in September. 4. 23 5. Thanksgiving Day, the fourth Thursday in November. 24 Friday after Thanksgiving, the Friday following 6. 25 Thanksgiving Day. 26 7. Christmas Day, December 25. Two other holidays, each to be designated annually by 27 8. the executive council. 28 29 Two days of paid leave each year to be added to the 9. 30 vacation allowance and accrued under the provisions of section 79.1. 31 32 Sec. 32. Section sixty-eight A point seven (68A.7), sub-33 section eleven (11), Code 1979, is amended to read as follows: 34 11. Personal iInformation in confidential personnel records, 35 including applications for employment, of public bodies in-

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1 cluding but not limited to cities, boards of supervisors and 2 school districts.

3 Sec. 33. Section seventy point one (70.1), Code 1979, is 4 amended to read as follows:

70.1 APPOINTMENTS AND PROMOTIONS. In every public department 5 6 and upon all public works in the state, and of the counties, 7 cities, and school corporations thereof, honorably discharged men 8 and women from the military or naval forces of the United States 9 in any war in which the United States was or is now engaged, 10 including the Philippine Insurrection, China Relief Expedition, 11 and the Korean Conflict at any time between June 25, 1950 and 12 January 31, 1955, both dates inclusive, and the Vietnam Conflict 13 beginning at any time between August 5, 1964,-and-ending-on 14 the-date-the-armed-forces-of-the-United-States-are-directed-by 15 formal-order-of-the-government-of-the-United-States-to-cease 16 hostilities and May 7, 1975, both dates inclusive, who are citi-17 izens and residents of this state shall be entitled to preference 18 in appointment, original employment, and promotion over other 19 applicants of no greater qualifications. For the purposes of this 20 section World War II shall mean service in the armed forces of 21 the United States between December 7, 1941, and December 31, 22 1945, both dates inclusive.

23 Sec. 34. Section seventy-nine point one (79.1), unnumbered 24 paragraph one (1), Code 1979, as amended by Acts of the Sixty-25 eighth General Assembly, 1979 Session, chapter two (2) section 26 thirty-eight (38) and as the section is amended by Acts of the 27 Sixty-eighth General Assembly, 1979 Session, chapter two (2), 28 section thirty-nine (39), is amended to read as follows:

29 Salaries specifically provided for in an appropriation Act of 30 the general assembly shall be in lieu of existing statutory 31 salaries, for the positions provided for in the Act, and all 32 salaries, including longevity where applicable by express 33 provision in the Code, shall be paid according to the provisions 34 of chapter 91A and shall be in full compensation of all services, 35 including any service on committees, boards, commissions or 1 similar duty for Iowa government, except for members of the 2 general assembly. A state employee on an annual salary shall not 3 be paid for a pay period an amount which exceeds the employee's 4 annual salary transposed into a rate applicable to the pay period 5 by dividing the annual salary by the number of calendar days in 6 the fiscal year, and multiplying the result by the number of 7 calendar days in the pay period. Salaries for state employees 8 other than annual salaries shall be established on an hourly 9 basis.

10 All-Eligible employees of the state shall earn two weeks 11 vacation per year during the first year of employment and through 12 the fourth year of employment, and three weeks vacation per year 13 during the fifth and through the eleventh year of employment, and 14 four weeks vacation per year during the twelfth year through the 15 nineteenth year of employment, and four and four-tenths weeks va-16 cation per year during the twentieth year through the twenty-17 fourth year of employment, and five weeks vacation per year 18 during the twenty-fifth year and all subsequent years of employ-19 ment, with pay. One week of vacation shall be equal to the 20 number of hours in the employee's normal work week. Vacation 21 allowance shall be accrued according to the provisions of 22 chapter 91A as provided by the rules of the Howa-merit-employ-23 ment department of personnel. The vacations shall be 24 granted at the discretion and convenience of the head of the 25 department, agency or commission, except that an employee shall 26 not be granted vacation in excess of the amount earned by the 27 employee. Vacation leave earned under this paragraph shall not 28 be cumulated to an amount in excess of twice the employee's 29 annual rate of accrual. The head of the department, agency or 30 commission shall make every reasonable effort to schedule 31 vacation leave sufficient to prevent any loss of entitlements. 32 In the event that the employment of an employee of the state is 33 terminated the provisions of chapter 91A relating to the 34 termination shall apply.

35 Sec. 35. Section seventy-nine point one (79.1), unnumbered

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1 paragraphs three (3) and four (4), Code 1979, as amended by 2 Acts of the Sixty-eighth General Assembly, 1979 Session, chapter 3 two (2), section thirty-eight (38), and as the section is 4 amended by Acts of the Sixty-eighth General Assembly, 1979 ses-5 sion, chapter two (2), section thirty-nine (39), is amended to 6 read as follows:

7 Payments authorized by this section shall be approved by the 8 department <u>subject to rules of the department of personnel</u> and 9 paid from the appropriation or fund of original certification of 10 the claim.

11 Commencing-July-1,-1977,-permanent-full-time-Eligible
12 employees of state departments, boards, agencies, and commis13 sions, excluding employees covered under a collective bargaining
14 agreement which provides otherwise, shall accrue sick leave at
15 the rate of one and one-half days for each full complete month
16 of <u>full-time</u> employment. Sick leave shall not accrue during
17 any period of absence without pay. Employees may use accrued
18 sick leave for physical or mental personal illness, bodily injury,
19 medically-related disabilities, including disabilities resulting
20 from pregnancy and childbirth, or contagious disease:

21

1. Which require the employee's confinement,

Which render the employee unable to perform assigned
 duties, or

24

3. When performance of assigned duties would jeopardize the employee's health or recovery.

Sec. 36. Section seventy-nine point one (79.1), unnumbered paragraphs six (6) and seven (7), Code 1979, as amended by Acts of the Sixty-eighth General Assembly, 1979 Session, chapter two (2), section thirty-eight (38), and as the section is amended by Acts of the Sixty-eighth General Assembly, 1979 Session, chapter two (2), section thirty-nine (39), is amended to read as follows: State employees, excluding state board of regents' faculty members with nine-month appointments, and employees covered under

34 a collective bargaining agreement negotiated with the public 35 safety bargaining unit, who are eligible for accrued vacation

1 benefits and accrued sick leave benefits, who have accumulated 2 thirty days of sick leave, and who do not use sick leave during a 3 full month of employment may elect to accrue up to one-half day 4 of additional vacation. The accrual of additional vacation time 5 by an employee for not using sick leave during a month shall be 6 in lieu of the accrual of up to one and one-half days of sick 7 leave for that month. The state-comptroller-may director of 8 the department of personnel shall promulgate the necessary 9 rules and procedures for the implementation of this program 10 for all state employees except-employees-of-the-state-board 11 of-regents--The-state-board-of-regents-may-promulgate 12 necessary-rules-for-the-implementation-of-this-program-for 13 its-employees.

The head of any department, agency, or commission, subject 14 15 to rules of the department of personnel, may grant an educa-16 tional leave to employees for whom the head of the department, 17 agency, or commission is responsible and funds appropriated by 18 the general assembly may be used for such-purposes-this pur-19 pose. The head of such-the department, agency, or commission 20 shall notify the legislative council and the department of 21 personnel of all educational leaves granted within fifteen 22 days of-prior to the granting of the educational leave. If 23 the head of a department, agency, or commission fails to notify 24 the legislative council or the department of personnel of an 25 educational leave the expenditure of funds appropriated by the 26 general assembly for the educational leave shall not be allowed. Sec. 37. Section seventy-nine point two (79.2), Code 1979, 27 28 is amended by striking the section in its entirety.

Sec. 38. Section seventy-nine point twenty (79.20), unnumbered paragraph one (1), Code 1979, is amended to read as 31 follows:

32 There-is-created-a-state employees' disability insurance 33 program which shall be administered by the executive-council 34 <u>department of personnel</u> and which-shall provide disability 35 benefits in an amount and for the employees as provided in this 1 section. The monthly disability benefits shall provide twenty 2 percent of monthly earnings if employed less than one year, forty 3 percent of monthly earnings if employed one year or more but less 4 than two years, and sixty percent of monthly earnings thereafter, 5 reduced by primary and family social security determined at the 6 time social security disability payments commence, workers' 7 compensation if applicable, and any other state sponsored 8 sickness or disability benefits payable. No-subsequent-9 <u>Subsequent</u> social security increases shall not be used to 10 further reduce the insurance benefits payable. State em-11 ployees shall receive credit for the time they were contin-12 uously employed prior to and on July 1, 1974. The following 13 provisions shall apply to the employees disability insurance 14 programs:

Waiting period.....ninety working days of continuous
 sickness or accident disability or the expiration of accrued sick
 leave, whichever is greater.

18 2. Maximum period benefits paid.....sickness or accident 19 disability to age sixty-five.

3. Minimum and maximum benefits.....not less than fifty 21 dollars per month and not exceeding two thousand dollars per 22 month.

4. All permanent full-time state employees shall be covered under the employees disability insurance program, except the members of the general assembly, board members and members of commissions who are not full-time state employees, and state employees who on July 1, 1974, are under another disability program financed in whole or in part by the state.

Sec. 39. Section seventy-nine point twenty-three (79.23), 30 Code 1979, as amended by Acts of the Sixty-eighth General 31 Assembly, 1979 Session, chapter two (2), section forty-two (42), 32 is amended to read as follows:

33 79.23 CREDIT FOR ACCRUED SICK LEAVE. Commencing July 1, 34 1977, when a state employee, excluding an employee covered under 35 a collective bargaining agreement which-provides-otherwise,

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1 unless specifically provided in that agreement, retires under 2 the provisions of a retirement system in the state maintained in 3 whole or in part by public contributions or payments, the number 4 of accrued days of active and banked sick leave of the employee 5 shall be credited to the employee. When an employee retires, is 6 eligible and has applied for benefits under a retirement system 7 authorized under chapter 97A or 97B, including the teachers 8 insurance annuity association (TIAA) and the college retire-9 ment equity fund (CREF), the employee shall receive cash pay-10 ment for the employee's accumulated, unused sick leave in both 11 the active and banked sick leave accounts except when, in lieu of 12 cash payment, payment is made for monthly premiums for health or 13 life insurance or both as provided in a collective bargaining 14 agreement negotiated under chapter 20. The payment shall be 15 calculated by multiplying the number of hours of accumulated, 16 unused sick leave by the employee's hourly rate of pay at the 17 time of retirement. However, the total cash payment for 18 accumulated, unused sick leave shall not exceed two thousand 19 dollars and is payable upon retirement. Banked sick leave is 20 defined as accrued sick leave in excess of ninety days. A state 21 employee who retired on or after July 1, 1977, but before July 1, 22 1979, may file claims for the employee's accrued sick leave 23 credit authorized in this section. The claim of a state em-24 ployee paid-through-the-state-comptroller's-centralized-payroll 25 system-and-the-department-of-transportation-payroll-system 26 shall-be-filed-with-the-state-comptroller-on-forms-provided 27 by-the-state-comptroller.--The-claim-for-an-employee-of-the 28 state-board-of-regents-shall be filed with, and the-state 29 board-of-regents-on forms provided-prescribed by, the 30 board department of personnel. The director of the 31 department of personnel shall adopt the rules necessary 32 for the administration of this section, subject to chapter 33 seventeen A (17A) of the Code.

34 Sec. 40. Section seventy-nine point twenty-four (79.24), 35 unnumbered paragraph one (1), Code 1981, is amended to read as

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1 follows:

2 The state and any political subdivisions of the state shall 3 grant employees leave from employment to participate in olympic 4 competition sanctioned by the United States olympic committee. 5 Any leave granted shall not exceed the time required for actual 6 participation in the competition, plus a reasonable time for 7 travel to and return from the site of the competition, and a 8 reasonable time for precompetition training at the site. The 9 state or political subdivision shall compensate the employee at 10 the employee's regular rate of pay during any leave granted. 11 Pay for each week of leave shall not exceed the amount the 12 employee would receive for a normal work week, and the employee 13 shall not be paid for any day spent in olympic competition for 14 which he/she would not ordinarily receive pay as part of his 15 or her regular employment. The maximum leave granted per 16 fiscal year under this section shall not exceed ninety days. 17 Employees with approved leave shall retain all employment 18 benefits throughout the leave of absence. The director of 19 the-Iowa-merit-employment-commission department of personnel 20 shall promulgate rules for the implementation of this section, 21 subject to chapter seventeen A (17A) of the Code.

22 Sec. 41. Section eighty point eight (80.8), Code 1979, is 23 amended to read as follows:

80.8 PATROLMEN PEACE OFFICERS AND CIVILIAN EMPLOYEES -SALARIES. The commissioner, with the approval of the governor shall appoint such-deputies,-inspectors, peace officers, elerical-workers and other civilian employees as may-be reguired to properly discharge the duties of this department. The peace officers shall be appointed and administered pursuant to this chapter and the civilian employees shall be appointed and administered pursuant to chapter 19A.

32 The commissioner may delegate to the <u>peace officers</u> 33 members-of the <del>Iowa-highway-safety-patrol</del> <u>department</u> such 34 additional duties in the enforcement of this chapter as he-may 35 are deemed proper and incidental to the duties now imposed upon

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1 them by law.

2 The salaries of all peace officers members and civilian 3 employees of the department shall be provided for by the legis-4 lative appropriation therefore for that purpose. The compensa-5 tion of the peace officers members-of the highway-patrol depart-6 ment shall be fixed according to grades as to rank and length 7 of service by the commissioner with the approval of the governor. 8 The peace officers members of the highway-patrol department as 9 identified by section 97A.3(1) shall be paid additional compen-10 sation in accordance with the following formula: When peace 11 officers members of the highway-patrol department have served 12 for a period of five years their compensation then being paid 13 shall be increased by the sum of twenty-five dollars per month 14 beginning with the pay period month succeeding the foregoing 15 described five year period; when peace officers members-there-16 of have served for a period of ten years their compensation 17 then being paid shall be increased by the sum of twenty-five 18 dollars per month beginning with the pay period month suc-19 ceeding the foregoing described ten year period, such the sums 20 being in addition to the increase provided herein to be paid 21 after five years of service; when peace officers members 22 have served for a period of fifteen years their compensation 23 then being paid shall be increased by the sum of twenty-five 24 dollars per month beginning with the pay period month 25 succeeding the foregoing described fifteen year period, such 26 the sums being in addition to the increases previously pro-27 vided herein; when peace officers members thereof have served 28 for a period of twenty years their compensation then being paid 29 shall increase by the sum of twenty-five dollars per month be-30 ginning with the pay period month succeeding the foregoing 31 described twenty year period, such the sums being in addition 32 to the increase previously provided for herein. While on active 33 duty each patrolman trooper shall also receive a flat daily 34 per diem sum as fixed by the commissioner with the approval 35 of the governor. For-meals-while-away-from-the-office-to

1 which-he-has-been-assigned-and-within-his-district.

A collective bargaining agreement entered into between the state and a state employee organization under chapter 20 made final after July 1, 1977, shall not include any pay adjustment or increase authorized under this section.

6 Sec. 42. Section eighty point fifteen (80.15), Code 1979, is 7 amended to read as follows:

8 80.15 EXAMINATION - OATH - PROBATION - DISMISSAL. Ne 9 An applicant for peace officer membership in the department of 10 public safety, except elerieal-workers civilian employees and 11 railway special agents appointed under section 80.7, shall not 12 be appointed as a peace officer member until he the applicant 13 has passed a-satisfactory physical, and mental and other exami-14 nations determined by commissioner. In addition, such the 15 applicant must be a citizen of the United States, be of good 16 moral character, and except for capitol security peace officers, 17 be not less than twenty-two years of age. The mental examination 18 shall be conducted under the direction and or supervision of the 19 commissioner of public safety and may be oral, or written or 20 both. Each applicant shall take an oath on becoming a 21 peace officer member-of the force department, to uphold the 22 laws and Constitution of the United States and the state of Iowa. 23 During the period of twelve months after the appointment as a 24 peace officer, any peace officer member of the department of 25 public safety, except-members-of-the-present-highway-safety 26 patrol-who-have-served-more-than-six-months shall be subject 27 to dismissal at the will of the commissioner. After twelve 28 months of satisfactory service, no a peace officer member of 29 the department, who shall have been appointed after having 30 passed the before-mentioned examinations, shall not be sub-31 ject to the dismissal unless charges-have a charge has been 32 filed with the secretary of the executive council and a 33 hearing held before the executive council, if requested by 34 the peace officer member-of-the-department, at which time the 35 peace officer member shall have an opportunity to present a

1 defense to such the charge. The decision of the executive 2 council by majority vote shall be final, subject to the right of 3 judicial review in accordance with the terms of the Iowa admin-4 istrative procedure Act. All rules except employment provisions 5 negotiated pursuant to chapter 20, regarding the enlistment, 6 appointment and employment affecting the <u>peace officers per-</u> 7 sonnel of the department shall be established by the commis-8 sioner with the approval of the governor.

9 Sec. 43. Section eighty-six point two (86.2), Code 1979, is 10 amended to read as follows:

11 86.2 APPOINTMENT OF DEPUTIES HEARING OFFICERS. The com-12 missioner may appoint and administer deputy-industrial-commis-13 sioners hearing officers in accordance with the provisions of 14 chapters 17A and 19A for whose acts the commissioner shall be 15 responsible and-who-shall-serve-during-the-pleasure-of-the 16 commissioner7-and-all-such-deputies-must-be-lawyers-admitted 17 to-practice-in-this-state.

18 Sec. 44. Section ninety-seven A point five (97A.5), 19 subsection one (1), Code 1979, is amended to read as 20 follows:

21 97A.5 ADMINISTRATION.

1. Board of Trustees. The general administration and the responsibility for the proper operation of the system and for making effective the provisions of this chapter are hereby vested in a board of trustees to administer the system. Such board of trustees shall be constituted as follows: The commissioner of public safety, who shall be chairperson of said board, the director of the department of personnel, the state treasurer, and an two actively engaged members of the system, to be chosen by secret ballots by the members thereof for a terms of two years. One of the actively engaged members of the system shall be a supervisory peace officer at the time of election to the board and shall be elected by the supervisory members. One of the actively engaged members of the system shall be a nonsupervisory peace officer at the time of election to the

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| 1  | board and shall be elected by the non-supervisory members.       |
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| 2  | Sec. 45. Section ninety-seven B point five (97B.5), Code         |
| 3  | 1979, is amended to read as follows:                             |
| 4  | 97B.5 OFFICERS AND EMPLOYEES. Subject to other provisions        |
| 5  | of this chapter, the department is-authorized-to-may appoint,    |
| 6  | fix the compensation, and prescribe the duties and powers of     |
| 7  | such-the officers, accountants, attorneys, experts, actuaries    |
| 8  | and other persons as may-be necessary in the performance of its  |
| 9  | duties. The-department-shall-classify-its-positions-and          |
| 10 | shall-establish-salary-schedules-and-minimum-personnel-stan-     |
| 11 | dards-for-the-positions-so-classifiedAll-positions-shall         |
| 12 | be-filled-by-persons-selected-and-appointed-on-the-basis-of      |
| 13 | competency-and-fitness-for-the-position-to-be-filledThe          |
| 14 | staff shall be appointed and administered pursuant to chapter    |
| 15 | nineteen A (19A) of the Code. The department shall not appoint   |
| 16 | or employ any person who is an officer or committee member       |
| 17 | of any political party organization or who holds or is a         |
| 18 | candidate for any elective public office. The-department         |
| 19 | shall-establish-and-enforce-fair-and-reasonable-regulations      |
| 20 | based-upon-ratings-of-efficiency-and-fitness-and-for-termina-    |
| 21 | tions-for-cause The department may delegate to any-such-a        |
| 22 | person so appointed such-power-and-the authority as it deems     |
| 23 | reasonable and proper for the effective administration of this   |
| 24 | chapter, and may in-its-discretion bond any person handling      |
| 25 | moneys or signing checks hereunder-under this chapter. The       |
| 26 | department is authorized to enter into arrangements with the     |
| 27 | federal bureau of employment security whereby services performed |
| 28 | by the department and its employees both under this chapter and  |
| 29 | under the Iowa employment security chapter shall be equitably    |
| 30 | apportioned between the funds provided for the administration of |
| 31 | said chapters. That money spent for rentals, supplies and        |
| 32 | equipment used by both agencies shall be equitably apportioned   |
| 33 | and charged against said funds.                                  |
| 34 | Sec. 46 Section ninety-seven B point eight (97B 8)               |

34 Sec. 46. Section ninety-seven B point eight (97B.8), 35 unnumbered paragraph one (1), lines eleven, twelve, and thirteen

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1 (11, 12, and 13), Code 1979, are amended to read as follows: The board shall consist of seven-eight members. Five-Six of the members shall be appointed by the governor, one of whom shall be the director of the department of personnel, one of whom shall be an executive of a domestic life insurance company, one an executive of a state or national bank operating within the state of Iowa, one an executive of a major industrial corporation located within the state of Iowa, and two shall be active members of the system, one of whom shall be an employee of a school district, county school system, joint county system or merged area and one of whom shall not be an employee of a school district, county school system, joint county system or merged area.

14 Sec. 47. Section ninety-seven B point sixty-seven (97B.67), 15 subsection one (1), unnumbered paragraph two (2), Code 1979, is 16 amended to read as follows:

It is also the intent of the general assembly that the monthly benefit specified in section 97B.49, subsection 5 be reviewed annually by the general assembly and that the general assembly will consult with the Iowa public employees' retirement system compensation and employment division director of the <del>Iowa</del> department <del>of-job-service</del>, and-the consulting actuaries <u>and the</u> director of the department of personnel relating to the actuartial soundness of the system in order that the percent of the final five-year average covered wage used in determining monthly benefits will be increased by action of the general assembly as the contribution rates increase until the percent of the final five-year average covered wage used in determining monthly benefits equals fifty.

30 Sec. 48. Section ninety-seven B point seventy-two (97B.72), 31 Code 1979, amended by striking the section in its entirety. 32 Sec. 49. Section one hundred twenty three point twenty 33 (123.20), subsection five (5), Code 1979, is amended to read as 34 follows:

35 5. To appoint vendors,-elerks,-agents,-or-other all employ-

1 ees required for carrying out the provisions of this chapter; to 2 dismiss such employees for cause; to assign such employees to 3 such divisions as may be created by the director within the de-4 partment; and to designate their title, duties, and powers. The 5 All employees of the department, except-occasional-or-part-time 6 employees-and-the-director, shall be subject to the provisions 7 of chapter 19A.

Sec. 50. Section one hundred thirty seven point six (137.6), 8 subsection four (4), Code 1979, is amended to read as follows: 9 10 4. Employ such employees-persons as are necessary for the 11 efficient discharge of its duties. Employment practices shall 12 meet-the-requirements-of-the-Iowa-merit-system-council-or-any 13 eivil-service-provision-adopted-under-chapter-400-be under the 14 jurisdiction of and in accordance with the provisions of 15 chapter 400, or where no civil service system exists to pro-16 vide merit system coverage, the provisions of the Iowa de-17 partment of personnel.

18 Sec. 51. Section two hundred eighteen point ten (218.10), 19 Code 1979, is amended to read as follows:

20 218.10 SUBORDINATE OFFICERS-AND EMPLOYEES. The division 21 director in charge of a particular institution; with the consent 22 and approval of the commissioner of the department of social 23 services, shall determine the number and-compensation of subor-24 dinate officers-and employees for each institution. Subject to 25 the provisions of this chapter, such the officers-and employees 26 shall be appointed and discharged by the chief executive officer 27 or-business-manager pursuant to chapter nineteen A (19A) of the 28 Code. Such The officer shall keep, in the record of each sub-29 ordinate officer-and employee, the date of employment, the com-30 pensation, and the date of each discharge, and the reasons 31 therefor for discharge. All-of-these-employees,-except-physi-32 eians-and-surgeons7-shall-be-bona-fide-residents-and-citizens-of 33 the-state-of-Iowa-at-the-time-of-employment---An-exception-to 34 this-provision-of-residence-may-be-granted-by-such-division 35 director-for-the-sole-purpose-of-securing-professional-and/or

1 seientifie-services-or-both-which-are-unavailable-from-among
2 the-eitigens-of-the-state-of-lowa-

3 Sec. 52. Section two hundred eighteen point thirteen 4 (218.13), Code 1979, is amended by striking the section in 5 its entirety.

6 Sec. 53. Section two hundred nineteen point eleven (219.11), 7 Code 1979, is amended to read as follows:

8 219.11 EMPLOYEES' AND-OFFICERS- COMPENSATION. The comman-9 dant, subject to the director's approval, shall appoint <u>and may</u> 10 <u>remove</u> all subordinate <del>officers</del> <u>employees pursuant to chapter</u> 11 <u>nineteen A (19A) of the Code</u>. The employees shall be appointed 12 by the commandant who shall keep in the record of each <del>officer</del> 13 and employee, the date of employment, the compensation, and the 14 date of discharge and the reasons therefor. The commandant shall 15 have the power to <u>discipline or</u> discharge any <del>officer</del> em-16 ployee for insubordination, <del>or</del> neglect of duty or other good 17 cause and-his-acts-and-decisions-shall-be-reviewable-only-by 18 the-director-whose-decision-shall-be-final-subject to the 19 right of appeal established herein.

20 Sec. 54. Section two hundred fifty-seven point twenty-one 21 (257.21), Code 1979, is amended to read as follows:

22 257.21 EMPLOYEES OF DEPARTMENT. The state superintendent 23 shall appoint all employees, with due regard to their gualifi-24 cations for duties to be performed, designate their titles and 25 prescribe their duties. If deemed advisable, the state super-26 intendent may for cause effect the removal of any employee in 27 the state department of public instruction. The total amount of 28 compensation for employees shall be subject to the limitation 29 of the appropriation and other funds available for the mainten-30 ance of the department. The appointment, promotion, demotion, 31 change in salary status or removal for cause of any employee 32 shall be subject to the approval of the state board, and made 33 <u>pursuant to chapter nineteen A (19A) of the Code for employees</u> 34 fully covered by chapter 19A of the Code.

35 Sec. 55. Section two hundred fifty-seven point twenty-four

1 (257.24), Code 1979, is amended to read as follows: 257.24 SALARIES OF SUPERINTENDENT AND ASSISTANTS. The salary 2 3 of the superintendent of public instruction shall be fixed by the 4 general assembly. The salary of the deputy state superintendent 5 shall be fixed by the state board, however, such-that salary 6 and-the-salary-of-any-other-employee-of-the-department-of-public 7 instruction shall not exceed eighty-five percent of the salary 8 of the state superintendent. All appointments to, promotions, 9 demotions, changes in salary status, or removal for cause of 10 the professional staff of the department of public instruction 11 fully covered by the provisions of chapter 19A of the Code shall 12 be without-reference-to-political-party-affiliation7-religious 13 affiliation,-sex,-or-marital-status,-but-shall-be-based-solely 14 upon-fitness,-ability-and-proper-qualifications-for-the-par-15 tieular-position-made pursuant to chapter nineteen A (19A) 16 of the Code. The professional-staffy-including-the state 17 superintendent,-and other officers who are partially covered 18 by provisions of chapter 19A of the Code shall serve at the 19 discretion of the state board;-provided-however,-that-no 20 such-person, but the state superintendent or the other said 21 partially covered officers shall not be dismissed for 22 cause without at least ninety days notice, except in cases of 23 conviction of a felony or cases involving moral turpitude. In 24 cases of procedure for dismissal of employees partially 25 covered by chapter 19A of the Code, the accused shall have the 26 same right to notice and hearing as teachers in the public 27 school system as provided in section 279.27, or as much thereof 28 as may be applicable. 29 Sec. 56. Section two hundred fifty-eight point three

30 (258.3), Code 1979, is amended to read as follows: 31 258.3 PERSONNEL. The superintendent of public instruction as 32 executive officer of the state board of public instruction shall, 33 with its approval, appoint, and direct the work of such the 34 personnel as may be necessary to carry out the provisions of 35 this chapter. The personnel covered by chapter 19A of the Code

1 shall be employed and administered pursuant to chapter nineteen 2 A (19A) of the Code. Sec. 57. Section two hundred fifty-nine point four (259.4), 3 4 subsection three (3), Code 1979, is amended to read as follows: 3. Appoint such-assistants-staff as may-be-necessary to 5 6 administer the provisions of this chapter and said-the Act of 7 Congress in this state and fix the compensation of such-those 8 persons. The staff covered by the provisions of chapter 19A 9 of the Code shall be employed and administered pursuant to 10 chapter nineteen A (19A) of the Code. 11 Sec. 58. Section two hundred sixty-two point twelve 12 (262.12), Code 1979, is amended to read as follows: 13 262.12 COMMITTEES AND ADMINISTRATIVE OFFICES UNDER THE 14 BOARD. The board of regents shall also-have-and-exercise 15 all-the-powers-necessary-and-convenient provide for the 16 effective administration of its office and of the institu-17 tions under its control, and to this end may create such 18 committees, offices and agencies from its own members or 19 others, and employ persons to staff the same, fix their com-20 pensation and tenure and delegate thereto to its staff, or 21 to the administrative officers and faculty of the institutions 22 under its control, such any part of the authority and duties 23 vested by statute in the board, and shall formulate and estab-24 lish such rules, outline such policies and prescribe such 25 procedures therefore, all as may-be-desired-or determined by 26 the board as recorded in their its minutes. Persons covered 27 by chapter 19A of the Code who are employed by the board or any 28 agency or institution under its jurisdiction shall be appointed 29 and administered pursuant to chapter nineteen A (19A) of the 30 Code. 31 Sec. 59. Section five hundred nine A point eleven (509A.11),

31 Sec. 59. Section five hundred nine A point eleven (509A.11), 32 subsection one (1), Code 1979, is amended to read as follows: 33 1. The words "governing body" mean the executive-council-of 34 the-state-department of personnel, the board of supervisors of 35 counties, the school boards of school districts, and the 1 superintendent or other person in charge of an institution 2 supported in whole or in part by public funds.

3 Sec. 60. Section six hundred one B point three (601B.3), 4 Code 1979, is amended to read as follows:

5 601B.3 OFFICERS--ASSISTANTS. The commission shall elect its 6 own officers and shall employ a director and such-assistants 7 staff as may-be necessary to carry out the provisions of this 8 chapter, and hold such meetings as it-may-determine-necessary. 9 Persons covered by chapter nineteen A (19A) of the Code who are 10 employed by the commission shall be appointed and administered 11 pursuant to chapter nineteen A (19A) of the Code.

Sec. 61. PRIOR ACTIONS. Any rule adopted, or order or form issued under chapters 7A, 18 division V, 19A, 20, 28D, 68A, 79, 80, 4 86, 97B, 123, 137, 218, 219, 257, 258, 259, 262, 509A, 601B or any 5 other section of the Code relating to personnel policies and the employment of personnel in agencies or divisions of state government governed by those chapters effective at the time of the implementation of this Act that are found by virtue of this 9 Act to be inconsistent with it, shall remain in effect until 20 modified or rescinded by action of the department of personnel as 21 provided in this Act.

Sec. 62. POSITIONS AND EMPLOYEES TRANSFERRED. All positions 22 23 and incumbent staff of the Iowa merit employment department are 24 transferred to the department of personnel. Positions and 25 incumbent staff in other agencies of state government responsible 26 for the administration or support of programs moved to the 27 department of personnel as a result of this Act are transferred 28 to the department of personnel. Any employees of agencies of 29 state government whose duty assignments are transferred or 30 terminated because of this Act may be reassigned to other 31 positions for which they qualify in that agency. No employees so 32 transferred or reassigned shall lose any rights, privileges, or 33 benefits accrued that were associated with their status as 34 employees prior to the effective date of this Act. 35 Sec. 63. TRANSITION UNDER THIS ACT.

1 1. Any state employee holding a position fully covered by chap-2 ter 19A of the Code as of the date of implementation of this Act, 3 or any employee who is transferred to a position fully covered by 4 chapter 19A of the Code because of this Act, and who has held such 5 position or other state government position for six months or more 6 immediately preceding the implementation of this Act, shall be 7 given permanent status as defined by the rules of the department 8 of personnel with all the rights and privileges associated with it. 9 2. Any state employee holding a position fully covered by 10 chapter 19A of the Code as of the date of implementation of this 11 Act, or any employee who is transferred to a position fully cov-12 ered by chapter 19A of the Code because of this Act, and who has 13 held such position or other state government position for less 14 than six months immediately preceding the implementation of this

15 Act, shall be given probationary status as defined by the rules 16 of the department of personnel, and shall serve in such proba-17 tionary status until his/her continuous employment with state 18 government equals six months, at which time she/he will be 19 eligible for permanent status.

3. This section does not preclude the reclassification or reallocation as necessary of any position so transferred as provided in chapter 19A of the Code.

4. Any employee currently or hereafter holding a position 4 fully covered by chapter 19A of the Code or fully covered by any 5 other merit system of personnel administration provided for in 6 the Code who, because of the provisions of this Act would be 7 holding a position partially covered by the provisions of this 8 Act, shall continue to be fully covered by the provisions of 9 chapter 19A of the Code until the employee terminates employment 30 in that position, or chooses to promote from it.

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Sec. 64. EFFECTIVE DATE--TRANSITION.

32 1. This Act becomes effective July 1, 1983, except that this 33 section becomes effective July 1, 1981. Between July 1, 1981 34 and July 1, 1983, the governor shall appoint the director of 35 the department of personnel, authorize the appointment of 1 hearing officers as provided for in this Act, and authorize the 2 director of the department of personnel to plan for the transfer 3 of powers, duties, personnel, records, and other property as ap-4 plicable. The director of the department of personnel shall adopt 5 rules to carry out the reassignment, reallocation or transfer of 6 employees as provided in sections 62, 63 and 64 of this Act and 7 the merit employment commission shall decide any written appeal 8 brought under the provisions of this Act by an employee concern-9 ing any transfer, reassignment, reclassification or termination 10 made necessary by this Act during this period. The director of 11 the department of personnel is authorized to carry out duties 12 as provided in this section before July 1, 1983, and may be paid 13 a salary or per diem and expenses from funds appropriated to the 14 Iowa merit employment department.

15 2. The terms of office of the members of the Iowa merit
16 employment commission shall continue until their respective
17 expiration dates.

3. Notwithstanding the provisions of section forty-four (44) of this Act, the elected member of the board of trustees of the lowa department of public safety peace officers' retirement, accident and disability system serving on the effective date of this Act may continue to serve on the board until the expiration of her/his term. Effective July 1, 1983, an election may be organized and held to choose the second elected member as provided for in section forty-four (44) of this Act.

26 Sec. 65. INCONSISTENT STATUTES. The provisions of this 27 Act shall prevail over any inconsistent provisions of the 28 Code now in existence or hereafter enacted except for statutes 29 hereafter enacted which expressly provide that they shall take 30 precedence over all or some specified portion of this Act. 31 EXPLANATION

32 This bill provides for the creation of a department of 33 personnel to administer the personnel system for state government 34 and to administer or coordinate state employee benefit programs, 35 and for the creation of a state personnel board to hear and

1 decide on employee grievances and appeals brought under the 2 provisions of this Act. The bill transfers the administration 3 of the employment plans of the state educational radio and 4 television facility board, the state board of regents, the Iowa 5 commission for the blind and the vocational rehabilitation branch 6 of the department of public instruction to the new department, 7 partially covers certain employees of the department of public 8 instruction, the department of public safety, the public 9 employment relations board, the development commission, the 10 office of the attorney general, the arts council, the office for 11 planning and programming, the office of the state auditor, the 12 crime commission and various other departments under the 13 department of personnel, and transfers the administration of most 14 employee benefit programs to the new department. The department 15 of personnel is also responsible for negotiating and 16 administering collective bargaining agreements on behalf of the 17 state.

18 The director of the department of personnel and the members 19 of the state personnel board are appointed by the governor 20 subject to confirmation by the senate. The state personnel board 21 has five members appointed to four-year overlapping terms. 22 The bill is effective July 1, 1983, but the director of 23 the new department, administrative hearing officers, and members 24 of the state personnel board may be appointed on or after July 1, 25 1981, to make preparation for the transfer of powers, duties, 26 personnel, records and equipment on July 1, 1983.

This bill includes or has taken into account recommendations number 24, 25, 26, 27, 28, 29, 30, 31, 36 and 254 of the governor's economy committee which are closely related to or dependent upon the creation of the department of personnel. Recommendation number 24 provides for the establishment of a new department of personnel; recommendation number 25 provides for the centralization of the state's equal employment opportunity affirmative action program; recommendation number 26 provides for the creation of a benefits unit to administer all employee

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1 benefit programs; recommendation number 27 provides for the 2 consolidation of the state's employment plans; recommendation 3 number 28 provides for the centralization of the state's 4 management development and employee training programs; 5 recommendation number 29 provides for the establishment of an 6 employee communications program; recommendation number 30 7 provides for the implementation of a statewide safety program; 8 recommendation number 31 provides for the establishment of a 9 comprehensive employment data base; recommendation number 36 10 provides for the exclusion of public employment relations board 11 employees from collective bargaining; and recommendation number 12 254 provides for the transfer of the Iowa public employees' 13 retirement system to the new department of personnel. 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35

