

# Annual Report 2019 Iowa Dental Board

## ABOUT THE BOARD



The Board's mission is to ensure that all Iowans receive professional, competent, and safe dental health care of the highest quality. The Board does this by administering and enforcing the provisions of Iowa law that relate to the practice of dentistry, dental hygiene and dental assisting.

The Iowa Dental Board is the state agency charged with the overall responsibility for regulating the professions of dentistry, dental hygiene, and dental assisting in the state of Iowa. In pursuit of this mission, the Iowa Dental Board performs these primary functions:

- Adopts rules and establishes standards for practitioners pursuant to its authority under Iowa Code;
- Issues licenses, registrations, and permits to qualified dentists, dental hygienists and dental assistants;
- Sets standards for the licensure or registration of practitioners and applicable permits;
- Enforces Iowa laws that regulate the practice of dentistry, dental hygiene and dental assisting and investigates complaints concerning violations of the dental practice act and Board rules;
- Conducts disciplinary hearings and actively monitors the compliance of licensees with Board orders;
- Conducts infection control and sedation inspections.

#### **EXECUTIVE DIRECTOR'S REPORT**

In 2019, the Board completed substantial rulemaking related to the practice of dentistry. In addition to updates that reflected changes in the Iowa Code, the Board reviewed and revised rules related to expanded functions, sedation in dental offices, and teledentistry. The rulemaking was substantial and included the input of numerous interested parties. The contribution from licensees, registrants and members of the public was useful in the updates made to these chapters of Iowa Administrative Code 650. As an agency charged with ensuring that all Iowans receive professional, competent and safe dental care of the highest quality, the Iowa Dental Board is grateful for the time and effort contributed by all those involved.

Additional highlights from 2019 include:

- Held 6 in-person Board meetings and 1 teleconference.
- Issued 1,291 licenses, registrations and permits.
- Renewed 8,281 dental hygiene licenses, local anesthesia permits, dental assistant registrations and radiography qualifications.
- The Board closed 35 complaints, which resulted in public discipline.
- Issued 14 confidential letters of information and 54 confidential letters of warning concerning licensee conduct or practice.

All programs and activities of the Board are funded solely by fees paid by licensees, fees which have not increased since 2007. While this brief report provides an overview of how those funds were utilized, it also provides a glimpse into the hard work, dedication and countless hours the Board and staff commit to the protection of the public and regulation of the dental profession. Please visit our website, or contact us, if you would like to learn more about the vital work that we do.

Jill Stuecker, MPA, MA

Executive Director, Iowa Dental Board

## **BOARD MEMBERS**

The Iowa Dental Board is composed of five licensed dentists, two licensed dental hygienists, and two members who represent the public. Members are appointed by the Governor and confirmed by the Iowa Senate. Appointments are for a three-year term. Board members are eligible to serve up to three terms or nine years in total. Members receive a per diem and expenses when conducting Board business.

Annually, the Board elects officers: a chairperson, vice chairperson, and secretary. Committees are appointed as required. The following committees are established pursuant to Iowa Code Section 153 and Iowa Administrative Code 650: the Dental Hygiene Committee, the Continuing Education Advisory Committee, the Anesthesia Credentials Committee, and the Iowa Practitioner Review Committee. The Iowa Dental Board may also appoint ad-hoc committees as deemed necessary.

| 2018-2019 MEMBERS* & OFFICERS                | <b>APPOINTED</b> | <b>TERM EXPIRES</b> |
|--|------------------|---------------------|
| William McBride, D.D.S., Iowa City, Chair    | 2015, 2018       | April 30, 2021      |
| Monica Foley, D.D.S., Bettendorf, Vice-Chair | 2016             | April 30, 2022      |
| Mary C. Kelly, R.D.H., Des Moines, Secretary | 2011, 2014, 2017 | April 30, 2020      |
| Michael Davidson, D.D.S., Urbandale          | 2017             | April 30, 2020      |
| Gregory Ceraso, D.D.S., Johnston             | 2019             | April 30, 2022      |
| Lisa Holst, D.D.S., Des Moines               | 2019             | April 30, 2022      |
| Nancy Slach, R.D.H., West Branch             | 2012, 2015, 2018 | April 30, 2021      |
| Lori Elmitt, Johnston                        | 2012, 2015, 2018 | April 30, 2021      |
| Bruce Thorsen, Clive                         | 2017, 2020       | April 30, 2023      |

<sup>\*</sup>Licensees and members of the public, who are interested in serving on the board can complete an application online at <a href="https://openup.iowa.gov/">https://openup.iowa.gov/</a>

Dr. Steve Bradley served as Board chair until April 2019 when his term ended.

# The Dental Hygiene Committee

All matters regarding the practice, discipline, education, examination, and licensure of dental hygienists will be initially directed to the dental hygiene committee. The committee shall have the authority to adopt recommendations regarding the practice, discipline, education, examination, and licensure of dental hygienists and shall carry out duties as assigned by the board.

| <b>MEMBERS</b>                  | APPOINTED      |
|---------------------------------|----------------|
| Mary Kelly-Grief, R.D.H., Chair | 2011 - Present |
| Nancy Slach, R.D.H.             | 2012 - Present |
| Michael Davidson, D.D.S.        | 2018 - Present |

# **BOARD & COMMITTEE MEETINGS**

The Board's website has a section for meetings, <a href="https://dentalboard.iowa.gov/meetings">https://dentalboard.iowa.gov/meetings</a>. The information typically includes agendas, materials and minutes.

<u>Please Note</u>: Meeting minutes are not posted for public release until they are approved by the Board or committee at the subsequent meeting.

#### 2019 Meetings

January 25 April 5 June 7 August 1-2 September 27 November 15

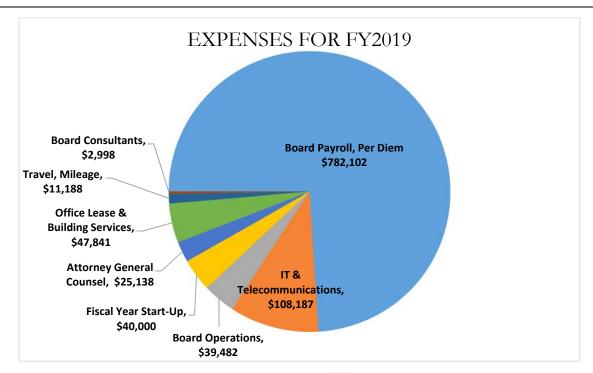
#### 2020 Meetings

January 23-24 April 3 June 5 August 6-7 September 25 November 13

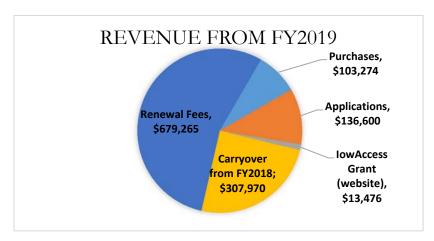


**Left to right**: Lisa Holst, Monica Foley, Nancy Slach, Bruce Thorsen, Mary Kelly, Greg Ceraso, Will McBride, Michael Davidson, Lori Elmitt

## **DENTAL BOARD BUDGET FY2019**



FY2019 started July 1, 2018 and ended on June 30, 2019. The Iowa Dental Board's expenses for FY2019 were \$1,056,936. IT & telecommunications includes costs of the annual maintenance agreement for the Dental Board's licensing database, server storage and maintenance, technical support from the state of Iowa and outside vendors, and telephones. Board operations include remaining costs such as office supplies, postage and membership dues.



The Board is not appropriated funds by the state of Iowa; rather, the Board is funded by fees sufficient to cover the Board's operating expenses pursuant to Iowa Code Section 147.80. The Iowa Dental Board's revenue for FY2019 was \$1,240,585. Unused revenue from the previous fiscal year carries over to the next fiscal year. Fees for application and renewal have not been increased since FY2007. The Board's anticipated revenue for FY2020 is \$1,353,034.

## STRATEGIC PLAN

In 2016, the Board created a five-year strategic plan to serve as a roadmap. The plan created a vision and core values, as well as strategic goals.

#### VISION

The Iowa Dental Board strives to be a unified team respected for integrity, which is:

- Approachable, fair and flexible;
- Responsive to the public and stakeholders;
- Evidence-based and data-driven;
- Utilized as a resource.

#### **CORE VALUES**

**MISSION-DRIVEN:** We make decisions through the lens of IDB's mission.

**PROFESSIONAL:** We act diplomatically in carrying out and protecting IDB's mission.

**OBJECTIVE:** We make decisions supported by data and evidence.

TRANSPARENT: We ensure licensees and the public understand the process by which decisions are

made.

**INVESTED:** We demonstrate commitment to IDB and preparedness for decision making.

**OPEN-MINDED:** We consider all viewpoints.

**COMMITED TO INTEGRITY:** 

We act honestly and consistent with values.

| STRATEGIC GOALS                                 |                                 |                                     |  |                                      |  |  |
|---|---------------------------------|-------------------------------------|--|--------------------------------------|--|--|
| Board Culture and Development                   | Communication and Education     | Licensing                           | Examinations                                 | Investigations                       |  |  |
| Develop a Culture<br>and Process that           | Proactively and<br>Consistently | Continually<br>Streamline and       | Ensure<br>Examinations are                   | Investigate Cases in a Timely Manner |  |  |
| Exemplify Evidence-<br>Based Decision<br>Making | Communicate with Stakeholders   | Improve the<br>Licensing<br>Process | Relevant, Valid and<br>Measure<br>Competency | ·                                    |  |  |
| Waking  |                                 | 1100033                             | Competency                                   |                                      |  |  |

## **IOWA PRACTITIONER PROGRAM**

The Dental Board established the Iowa Practitioner Review Committee (IPRC) to evaluate, assist and monitor the recovery or rehabilitation of dentists, dental hygienists, and dental assistants who self-report possible impairments.

Under Board rules, impairment means an inability to practice dentistry, dental hygiene, or dental assisting with reasonable safety and skill as a result of alcohol or drug abuse, dependency, or addiction, or any mental or physical disorder or disability. Self-report means that the licensee has reported any possible impairment to the Board, prior to the Board receiving a complaint alleging an impairment.

Self-reports made by licensees are reviewed by the Iowa Practitioner Review Committee (IPRC) to determine eligibility for participation in the program. The IPRC then designs an individualized recovery or rehabilitation program to meet the specific needs of the licensee; providing ongoing monitoring and guidance. Participants sign contracts agreeing to adhere to strict guidelines, which often includes weekly urine analysis testing, a practice monitor and mandated treatment.

The goal of the program is to support practitioners who seek the program's assistance and to protect the public by carefully monitoring practitioners with diagnosed impairments that may affect their ability to practice. Participants who violate their contact can be reported to the Board for public action. The committee and program are defined in Iowa Administrative Code 650, Chapter 35.

The committee held five meetings in 2019 and had in-person meetings with ten program participants. All in-state participants appear before the committee annually. The program is funded through licensure fees. Licensees pay a quarterly monitoring fee of \$100 and are responsible for all costs associated with drug screening, therapy and treatment.

Staff members of the Board manage the program. The members of the committee, in addition to legal counsel from the Attorney General's office, provide guidance on case issues. Members of the IPRC in 2019:

- Randy Swarts, D.D.S., Chair
- Gordon Anderson, Alcohol and Drug Counselor
- Fred Marsh, M.D.
- James Gallagher, M.D.
- Jill Stuecker, Board Executive Director

State law mandates that information in the possession of the IPRC be kept confidential. Accordingly, participation in the program is not a matter of public record. The committee meets quarterly.

A total of 57 practitioners have participated in the program since its inception. In 2019, there were 14 practitioners under contract with the program.

Website: https://dentalboard.iowa.gov/practitioners/iowa-practitioner-program

## **ADMINISTRATIVE RULES**

The Iowa Dental Board's administrative rules are located in Iowa Administrative Code 650. Before the Board can adopt a new rule or amend an existing rule, the intended action item must be noticed publicly, published in the Iowa Administrative Bulletin and made eligible for public comment. The proposed changes are also subject to review by the Administrative Rules Review Committee (ARRC). In addition, the public can petition the Board to adopt, amend or repeal an administrative rule.

- CHAPTER 11, "Licensure to Practice Dentistry or Dental Hygiene"; CHAPTER 20, "Dental Assistants": The amendments updated the process for the review of applications for license/registration by the License Registration Committee. Notice of Intended Action Approved: 4/6/2018 (ARC 4005C); Adopted: 11/16/2018 (ARC 4187C); Effective: 1/23/2019.
- CHAPTER 16, "Prescribing, Administering, and Dispensing Drugs", CHAPTER 25, "Continuing Education"; and CHAPTER 30, "Discipline": The amendments implement the requirements of HF 2377. The amendments also update the rules for prescribing to better match the requirements established by the Iowa Board of Pharmacy and the Drug Enforcement Agency, require one hour of continuing education in the area for opioids, and establish clearer measures for disciplinary action in cases where it is appropriate. Notice of Intended Action Approved: 11/16/2018 (ARC 4305C); Adopted: 4/5/2019 (ARC 4409C); Effective: 5/29/2019.
- CHAPTER 10, "General Practice", CHAPTER 20, "Dental Assistants". The amendments updated the rules regarding expanded functions for dental assistants and dental hygienists. Notice of Intended Action Approved: 4/5/2019 (ARC 4424C); Adopted: 8/2/2019 (ARC 4676C); Effective: 10/30/2019.
- CHAPTER 27, "Standards of Practice and Principles of Professional Ethics": The amendments formally established rules related to the use of teledentistry in the practice of teledentistry. Notice of Intended Action Approved: 1/25/2019 (ARC 4359C), NOIA Amended: 6/7/2019 (ARC 4534C); Adopted: 9/27/2019 (ARC 4748C); Effective: 12/11/2019.
- CHAPTER 29, "Sedation and Nitrous Oxide Inhalation Analgesia": The amendments rescinded and replaces chapter 29. The rules update the requirements for the use of nitrous oxide and the use of sedation in the practice of dentistry. Notice of Intended Action Approved: 1/25/2019 (ARC 4358C); Adopted: 6/7/2019 (ARC 4556C); Effective: 8/21/2019.
- CHAPTER 30, "Discipline" and CHAPTER 34, "Student Loan Default/Noncompliance with Agreement for Payment of Obligation". The amendments implemented the statutory changes to Iowa Code section 272C.4 as amended by 2019 Iowa Acts, Senate File 304, section 2. Chapter 34 was rescinded due to the changes. Notice of Intended Action Approved: 6/7/2019 (ARC 4526C); Adopted: 9/27/2019 (ARC 4747C); Effective: 12/11/2019.

#### **ADMINISTRATIVE RULES**

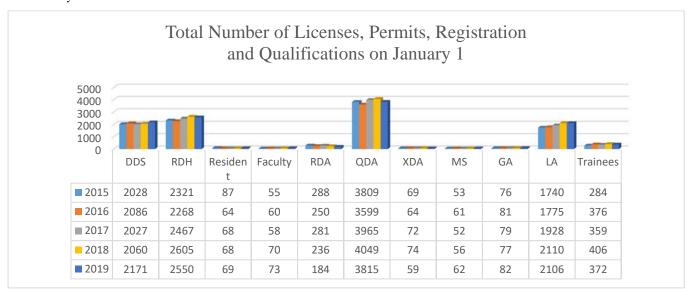
- CHAPTER 52, "Military Service and Veteran Reciprocity": The amendments implemented the statutory changes to Iowa Code section 272C.4 as amended by 2019 Iowa Acts, House File 288. The amendments grant spouses of active military service members and veterans the same consideration for the purposes of licensing and registration. Notice of Intended Action Approved: 6/7/2019 (ARC 4525C); Adopted: 9/27/2019 (ARC 4749C); Effective: 12/11/2019.
- CHAPTER 25, "Continuing Education": The amendments implemented the statutory changes to Iowa Code section 232.69 as amended by 2019 Iowa Acts, House File 731. The amendments updated the requirements for mandatory reporter training. Notice of Intended Action Approved: 8/2/2019 (ARC 4661C); Adopted: 11/15/2019 (ARC 4846C); Effective: 2/5/2020.
- CHAPTER 20, "Dental Assistants": The amendments updated the requirements for remediation requirements for dental assistants testing for the purposes of registration. Notice of Intended Action Approved: 9/27/2019 (ARC 4741C); Adopted: 1/24/2020 (ARC 4948C); Effective: 4/1/2020.

**Please note:** Some data are limited because the Iowa Dental Board transitioned to a new licensure database (AMANDA) on June 3, 2013.

The following data include new applications issued for all dental, dental hygiene, dental assistant, dental assistant trainee, and permit applications.



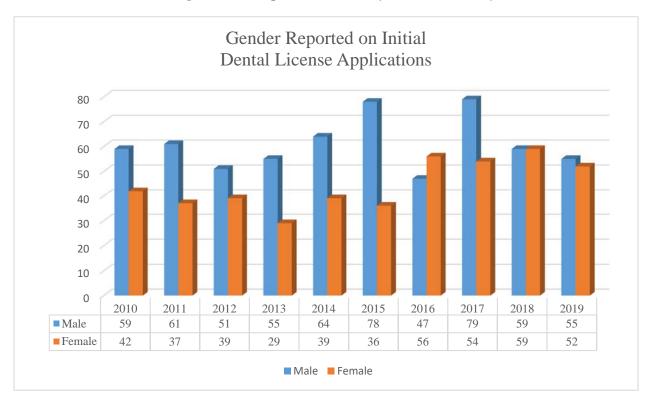
The following chart shows the number of current licenses, registrations permits on January 1 of the calendar year.

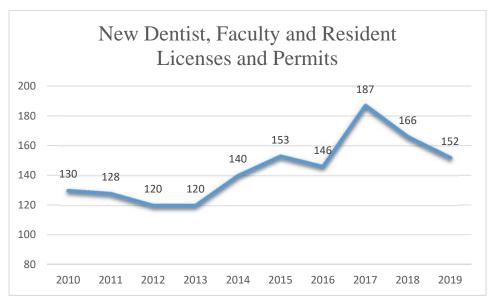


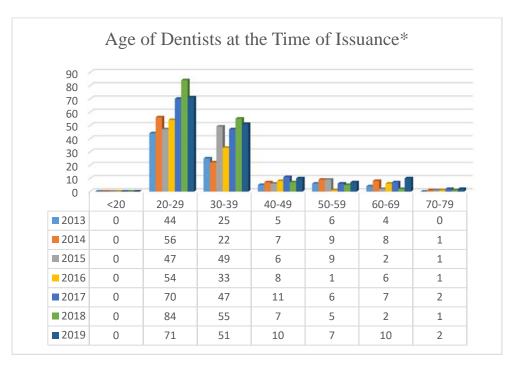
RDA = Registered Dental Assistant; QDA = Registered Dental Assistant with Qualification in Dental Radiography; XDA = Not-Registered with Qualification in Dental Radiography (licensed nurses).

#### **Initial Licensure: Dentists**

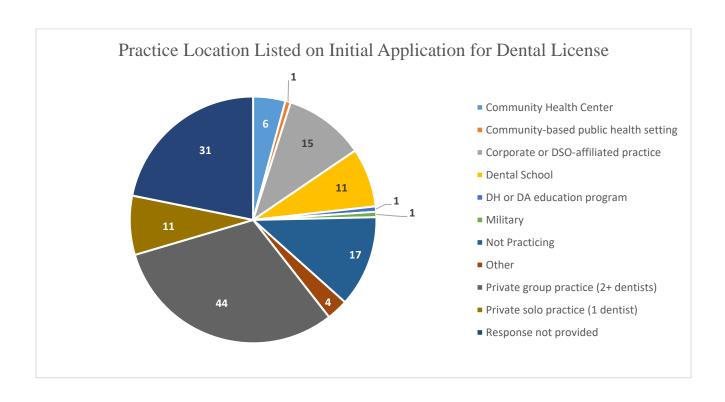
Although dental assistants and dental hygienists are predominantly female, in recent years, the number of males and females obtaining licenses to practice dentistry are more evenly matched.



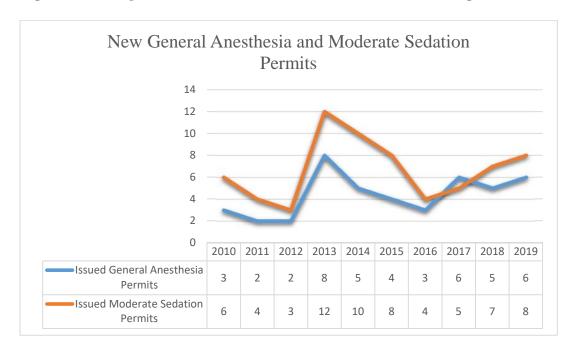




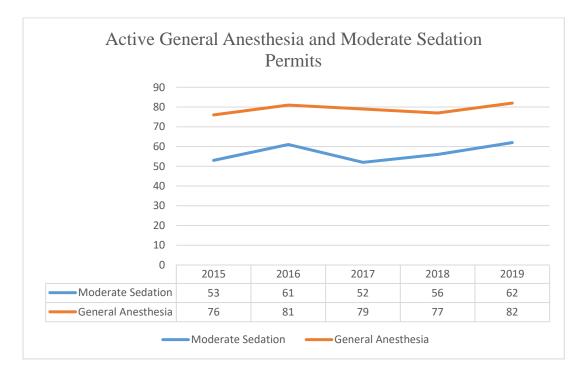
<sup>\*</sup>This information also includes data about the age at the time of reinstatement and reactivation of an Iowa license, in addition to applications for initial license.



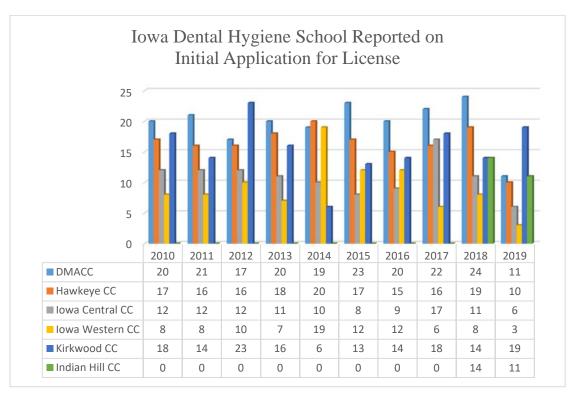
Pursuant to Iowa Administrative Code 650 – Chapter 29, dentists who wish to administer moderate sedation, deep sedation or general anesthesia in Iowa, must obtain a sedation permit.

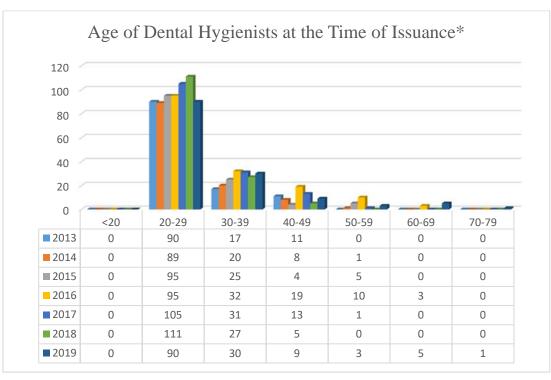


The following chart shows the number of active sedation permits on December 31 of each year.



#### Initial Licensure: Dental Hygienists





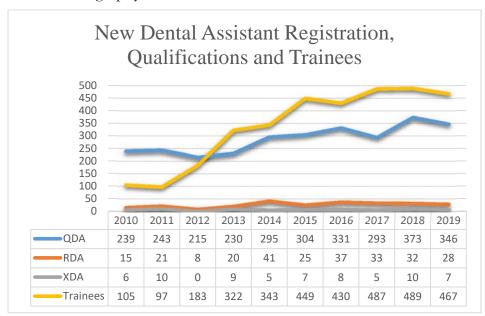
#### **Initial Registration: Dental Assistants**

In Iowa, dental assistants may practice upon being issued registration as a dental assistant or being issued dental assistant trainee status, pursuant to Iowa Administrative Code 650 – Chapter 20.

Iowa has several classifications for dental assistants:

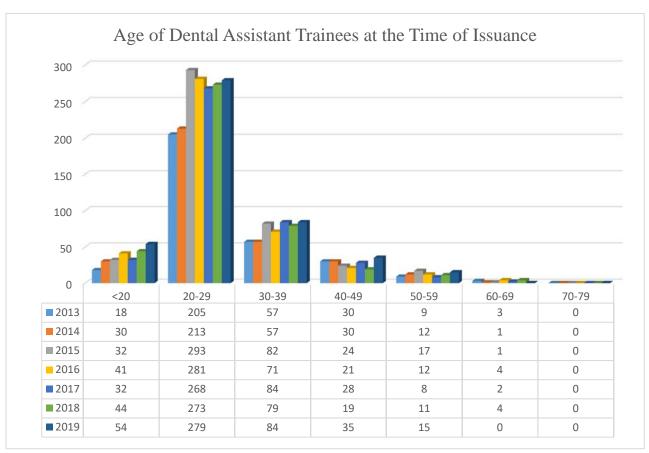
- Registered dental assistants (RDA) may practice as a dental assistant in Iowa but are prohibited from taking dental radiographs.
- Registered/qualified dental assistants (QDA) may practice as a dental assistant in Iowa and may also take dental radiographs.
- Non-registered qualified assistants (XDA) are Iowa-licensed nurses who are eligible to take dental radiographs.
- Dental assistant trainees are dental assistants who work under personal supervision while they are being trained as dental assistants. Personal supervision requires that another licensee or registrant be in the room with them at all times. A requirement of registration is that a dental assistant trainee complete a minimum of six months of practice.

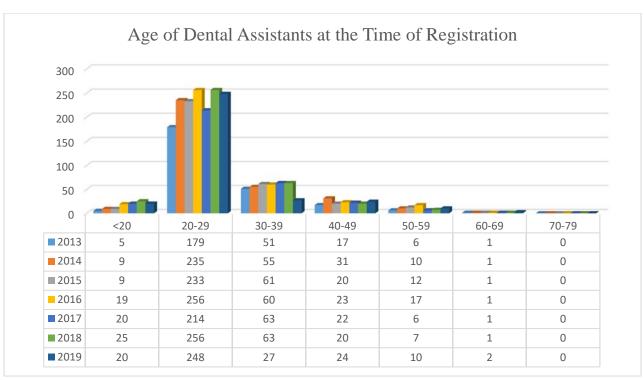
Prior to becoming registered as a dental assistant, applicants must meet examination, education, and training requirements. Applicants are tested in the areas of infection control/hazardous materials, jurisprudence and dental radiography.



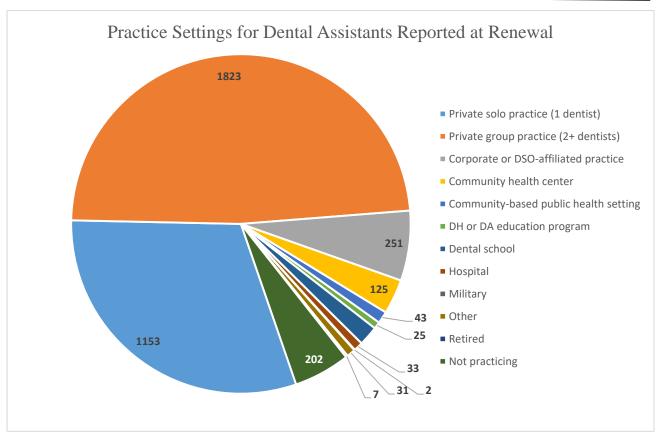
In some cases, dental assistant trainees apply for a restart of the dental assistant trainee status in order to complete the requirements for registration. The Iowa Dental Board issued trainee status restarts as follows:

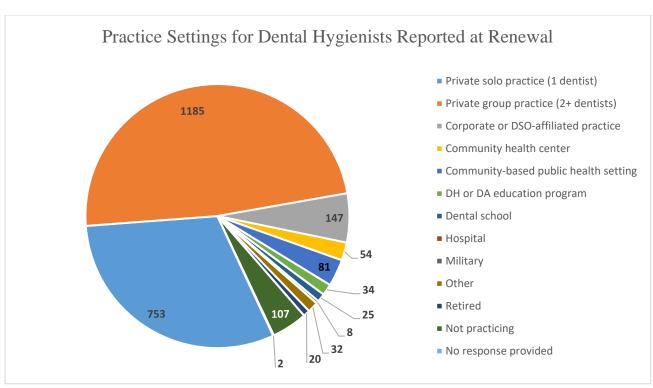
**2013**: 18 **2014**: 31 **2015**: 41 **2016**: 50 **2017**: 65 **2018**: 59 **2019**: 66





# PRACTICE DATA REPORTED AT RENEWAL





#### **IOWA DENTAL BOARD COMMITTEES**

A special thanks to all committee and task force members for volunteering their time and expertise to further the mission of the Iowa Dental Board.

#### Anesthesia Credentials Committee:

Michael Davidson, D.D.S.

Greg Ceraso, D.D.S.

John Frank, D.D.S.

Jonathan DeJong, D.D.S.

Karen Potaczek, D.D.S.

Steven Clark, D.D.S.

Kurt Westlund, D.D.S.

Douglas Horton, D.D.S.

Gary Roth, D.D.S.

Kaaren Vargas, D.D.S.

#### **Continuing Education Committee:**

Lori Elmitt

Monica Foley, D.D.S.

Will McBride, D.D.S.

Marijo Beasler, R.D.H.

Sara Stream, R.D.A.

Kristee Malmberg, R.D.A.

Saralyn Schlievert, R.D.H.

#### **Expanded Functions Task Force:**

Monica Foley, D.D.S.

Lori Elmitt

Mary Kelly-Grief, R.D.H.

Patty Meredith, D.D.S.

Tad Mabry, D.D.S.

Lisa Holst, D.D.S.

Carol Moreno, D.D.S.

Kelsey Fisk, R.D.A.

Shaunda Clark, R.D.H.

Tracy Pomerson, R.D.A.

Jane Slach, R.D.A.

#### License and Registration Committee:

Will McBride, D.D.S.\*

Monica Foley, D.D.S.

Lori Elmitt

Lisa Holst, D.D.S.

\*was replaced by Lisa Holst mid -year

#### **IOWA DENTAL BOARD**

The Iowa Dental Board is staffed by dedicated personnel who take their jobs, and the Board's mission, seriously. Every day these experienced employees perform licensure and regulatory enforcement duties that enable the Board to protect the dental health of Iowans.

#### **IOWA DENTAL BOARD**

400 SW Eighth Street, Suite D Des Moines, Iowa 50309-4686

**HOURS:** 8:00 a.m. to 4:30 p.m., Monday - Friday

**PHONE:** 515-281-5157 **FAX:** 515-281-7969 **E-MAIL:** idb@iowa.gov

WEB: <a href="https://dentalboard.iowa.gov/">https://dentalboard.iowa.gov/</a>

#### **IOWA DENTAL BOARD STAFF**

Jill Stuecker Dee Ann Argo

Executive Director Administrative Assistant

Phil McCollum Angela Davidson

IT Specialist 4 Administrative Assistant

Christel BranessAmy JacksonProgram PlannerSecretary

David Schultz Stephanie Thomson Bowers

Investigator Clerk Specialist

#### **IOWA ATTORNEY GENERAL'S OFFICE**

Laura Steffensmeier, J.D. Assistant Attorney General

