



IOWA
DIA

At the Iowa Department of Inspections and Appeals (DIA), our mission is to achieve compliance through education, regulation, and due process for a safe and healthy Iowa. Our vision is to be an effective, efficient, and approachable regulatory agency.

ANNUAL REPORT

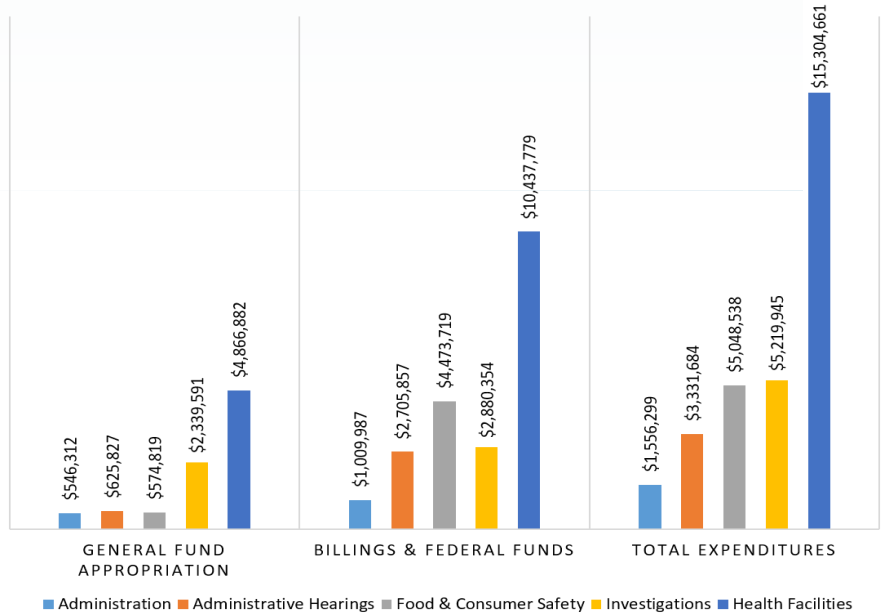
State Fiscal Year
2022

ADMINISTRATION DIVISION

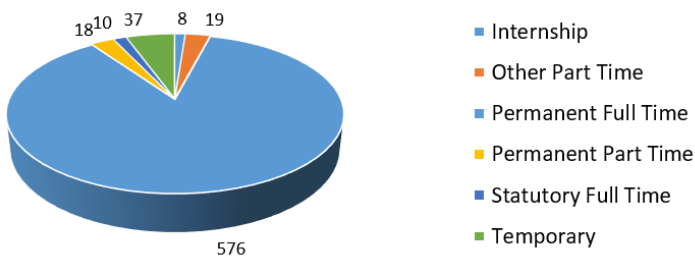
Essential, centralized support services for the department are administered by and coordinated through the Administration Division. Division staff oversee all strategic planning, finance and budgeting, legislative affairs, administrative rulemaking, personnel, purchasing, and public information activities for the department.

Fiscal Services

Fiscal Services provides centralized accounting, claims processing, budgeting, and financial reporting for the department.



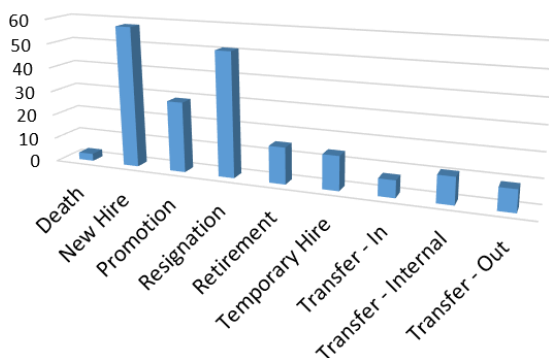
Total Positions (Filled and Vacant)



Human Resources

Human Resources (HR) provides comprehensive personnel services to core divisions and attached units as well as employees with the Iowa Department of Human Rights.

Total HR Personnel Transactions

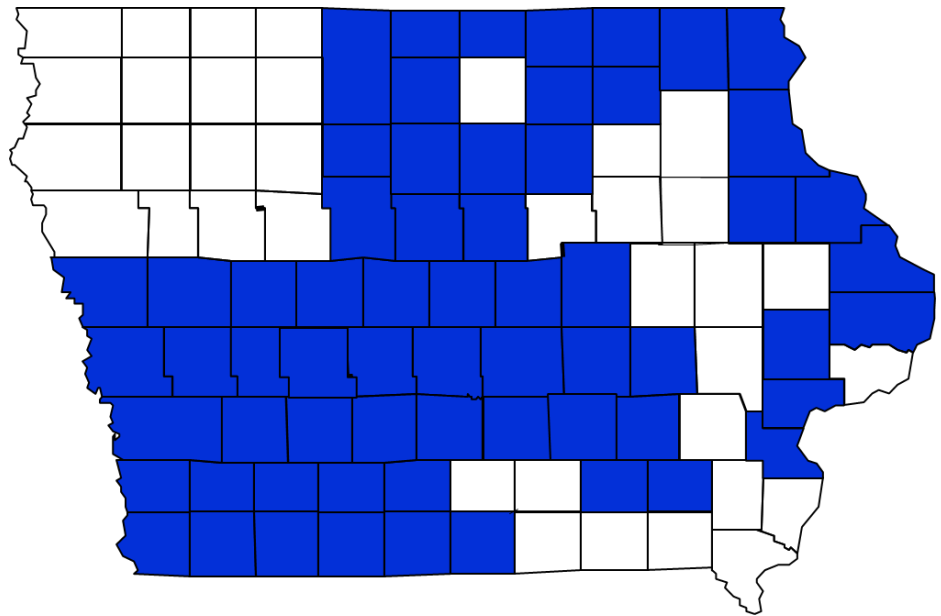


ADMINISTRATION DIVISION (CONT.)

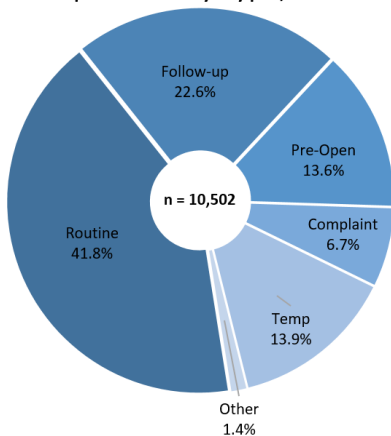
Food and Consumer Safety

The Food and Consumer Safety Bureau is responsible for administering and enforcing Iowa Food Code by conducting food-safety inspections at food establishments (e.g. grocery stores, restaurants, and convenience stores) and food processing plants.

The purpose of Iowa food code is to “safeguard the public health and provide to consumers food that is safe, unadulterated, and honestly prepared.”



Inspections by Type, SFY22



The bureau is directly responsible for inspections in 63 counties, identified in blue. The remaining 36 counties, in white, have inspections and licensing completed by local contracting health departments.

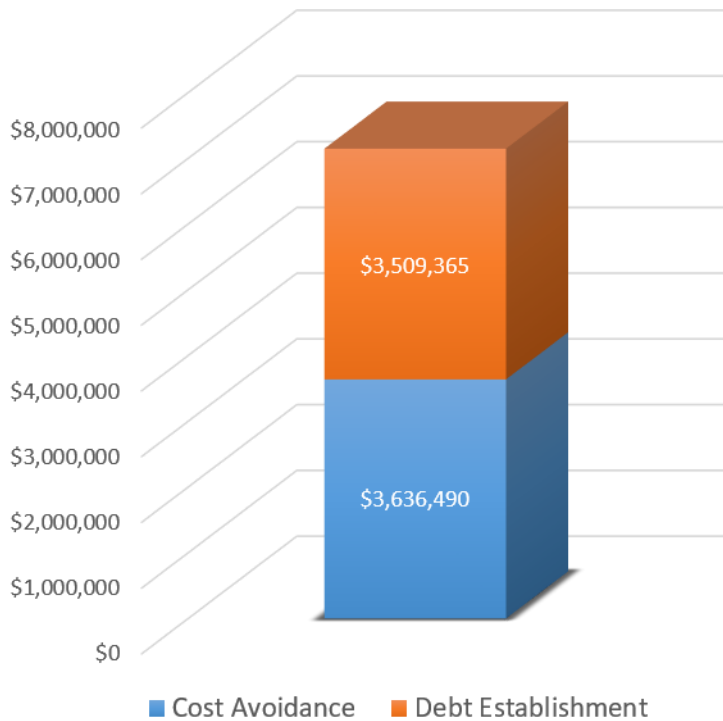
INVESTIGATIONS DIVISION

The Investigations Division audits healthcare facilities and conducts criminal, civil, and administrative investigations of fraud and misconduct.

Staff within the division work closely with other state and local partners in identifying fraud, waste, and abuse, and, when appropriate, forwarding cases to federal, state, and local officials for prosecution.

Economic Fraud Control Bureau

Taxpayer Dollars Saved



This bureau is responsible for investigating alleged fraud within HHS' public assistance programs: Supplemental Nutrition Assistance Program (SNAP), electronic benefit transfer trafficking and/or misuse, Medicaid (recipients), Family Investment Program, and Child Care Assistance.

In addition, division staff partner with multiple state agencies and local governments to provide contractual investigate services.

In SFY22, HHS disqualified 195 SNAP recipients through the administrative disqualification hearing as a result of investigative work.

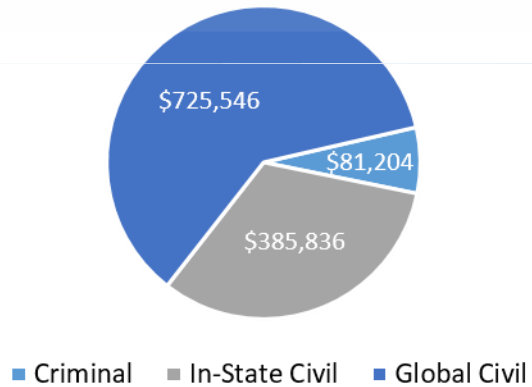
INVESTIGATIONS DIVISION (CONT.)

Medicaid Fraud Control Unit

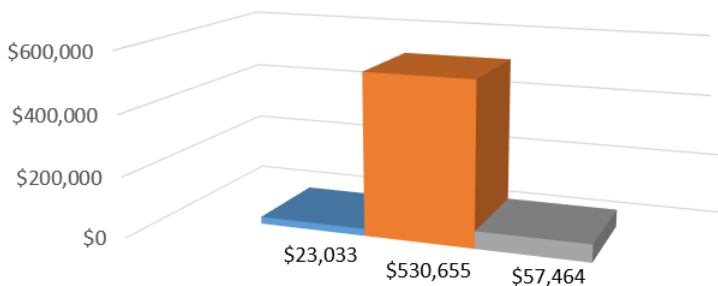
The primary mission of the Medicaid Fraud Control Unit (MFCU) is to maintain the integrity of the Iowa Medicaid program by conducting criminal and civil investigations involving allegations of fraud committed by Medicaid providers and fraud within the administration of the program.

At the close of SFY22, MFCU maintained 260 open cases consisting of 235 fraud cases and 25 criminal abuse/neglect cases. The 235 fraud cases consisted of 188 multi-state civil cases and 47 criminal and in-state civil fraud cases.

Funds Recovered



Dollars Identified



Human Service Audits Unit

This unit performs expenditure audits at local HHS offices, residential care facilities, nursing facilities, and intermediate care facilities for individuals with intellectual disabilities.

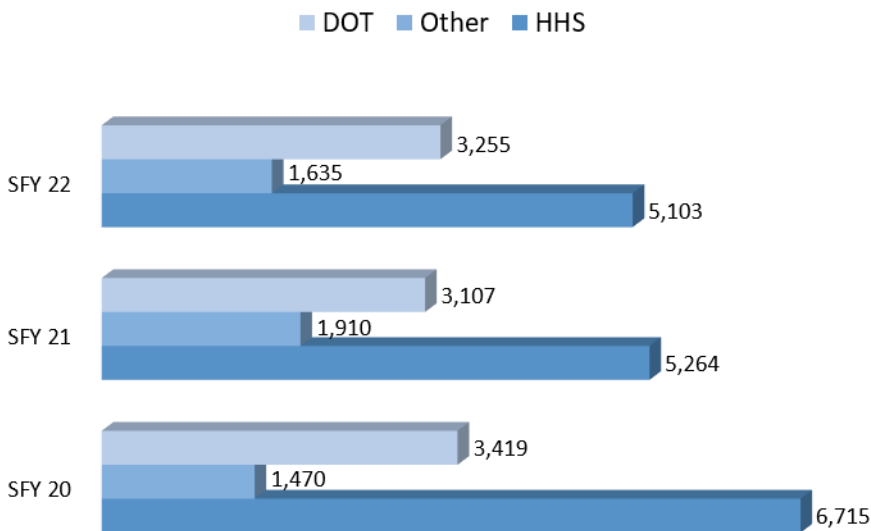
■ Due to Facility Residents ■ Due to HHS ■ Ineligible for Federal Reimbursement

ADMINISTRATIVE HEARINGS DIVISION

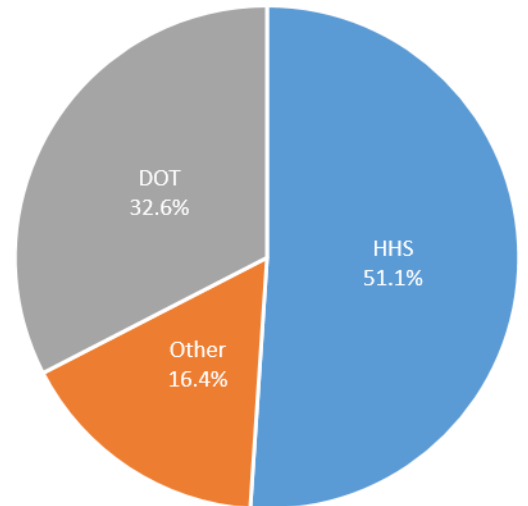
The Administrative Hearings Division conducts contested case administrative proceedings for nearly all State agencies and some local government agencies.

Cases range from driver's license revocation proceedings for the Iowa Department of Transportation (DOT) and child abuse assessment proceedings for the Iowa Department of Human Services (HHS) to professional discipline proceedings for the Iowa Board of Medicine and other professional licensing boards.

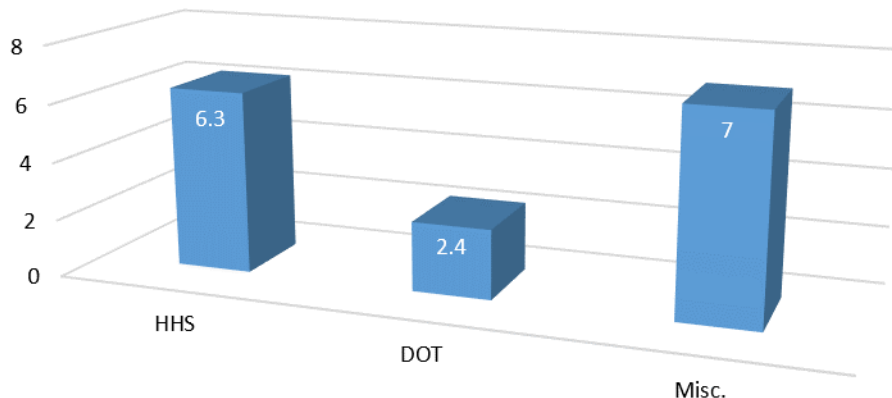
Cases Heard by Type



SFY 22 Cases by Type



Average # of Days to Decision



From date of hearing to mailing decision

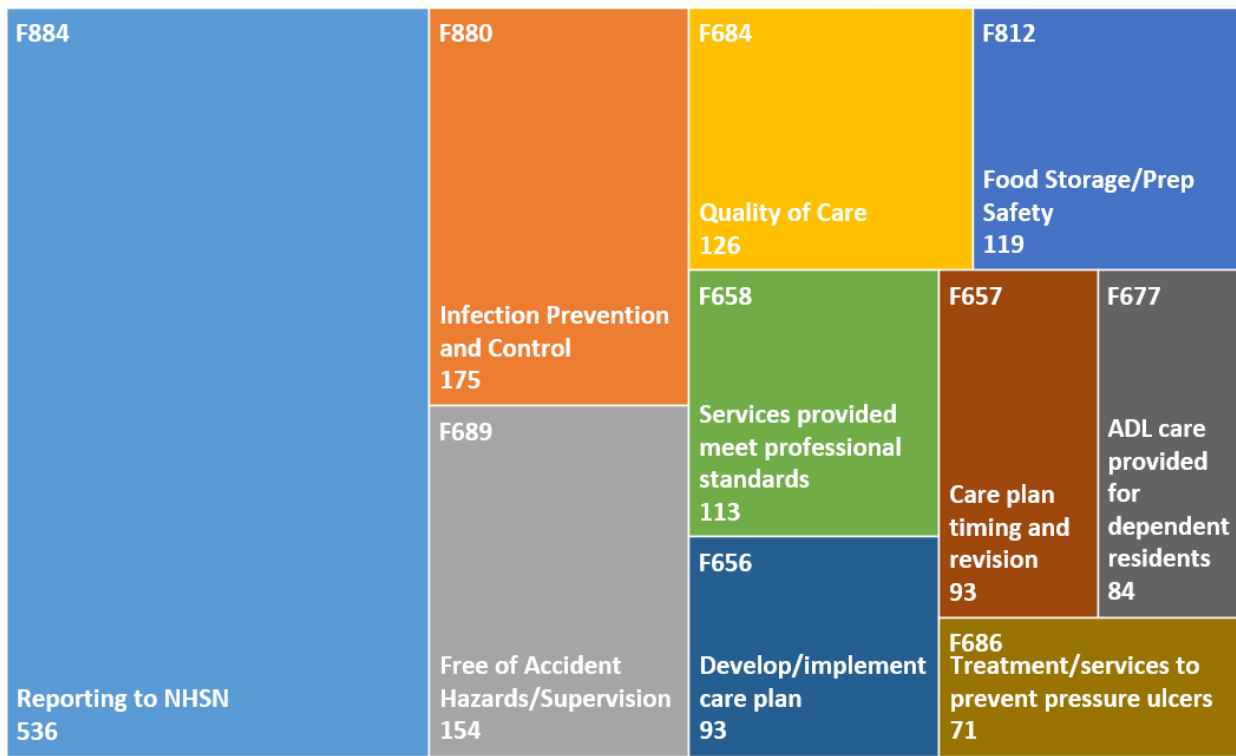
HEALTH FACILITIES DIVISION

The Health Facilities Division is the designated state survey and certification agency and is responsible for licensing or certifying Medicare and Medicaid programs and other health care providers and suppliers operating in the State of Iowa.

Long-Term Care Bureaus

Three bureaus within the division are responsible for surveying 433 nursing

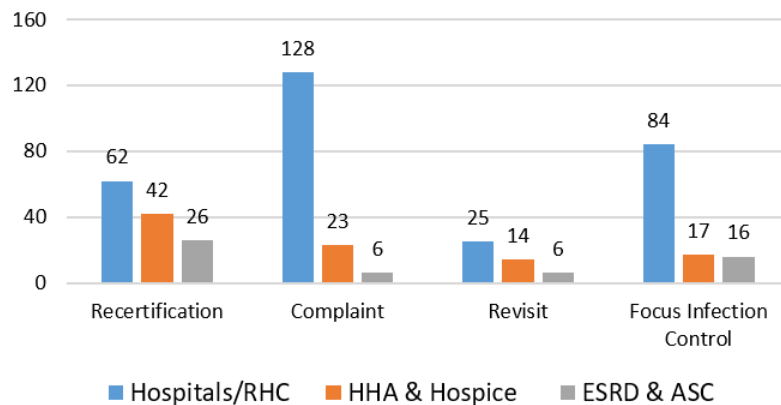
Top 10 Most Frequently-Cited Deficiencies



Medicare Services Bureau (MSB)

The bureau is responsible for the survey and certification of 17 provider and supplier types of Medicare-certified facilities.

MSB Survey Activities



HEALTH FACILITIES DIVISION (CONT.)

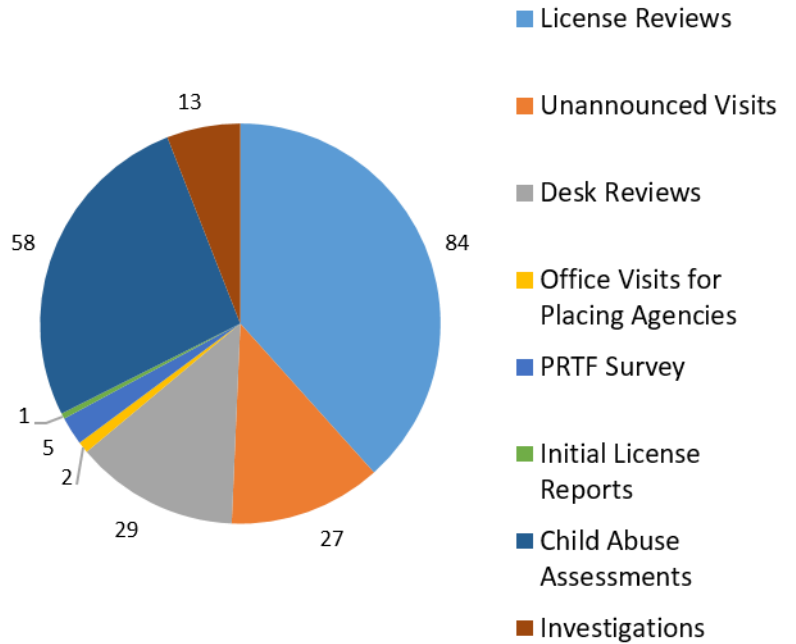
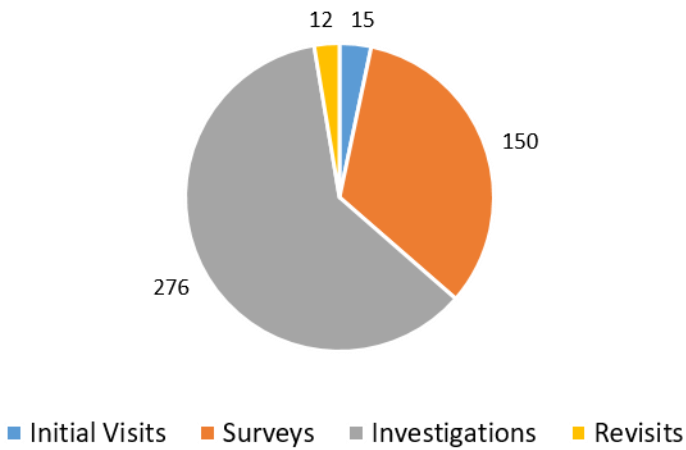
Adult and Special Services Bureau

The Adult Services Unit is responsible for surveying and monitoring assisted living programs, assisted living programs for people with dementia, adult day services, and elder group homes.

The Special Services Unit has regulatory oversight of intermediate care facilities for individuals with intellectual disabilities, residential care facilities, children’s services, and psychiatric rehabilitation treatment facilities (PRTF).

Children Services Surveys

Adult Services Surveys



Intakes by Type

