

# **Dept of Commerce Budgets**

**This page left intentionally blank**

# Commerce, Department of

## Mission Statement

Serve Iowans by effectively and efficiently providing a fair, flexible and positive regulatory environment. Develop leaders that promote empowerment, communication, trust, and cooperation. Develop employees knowledge and skills to effectively regulate and meet customer needs. Increase consumer and customer awareness through public education. Increase efficiency and responsiveness by sharing departmental resources. Increase efficient transfer of information through advanced technology.

## Description

The Department of Commerce consists of five regulatory divisions under the Department of Commerce umbrella. The divisions are: Alcoholic Beverages

Division, Banking Division, Credit Union Division, Insurance Division, and the Utilities Division. The Professional Licensing Bureau is administrated under the Division of Banking. The Department is committed to fostering an environment conducive to sound economic development in Iowa; maintaining public confidence in the integrity of the regulated industries and professions; establishing policies which protect the public interest while balancing the interests of the consumers, industries and professions; pursuing regulatory objectives in a manner that minimizes the costs and complexities of regulation without sacrificing quality; identifying competitive opportunities and deregulating where appropriate; and providing direct services and information to the public to assist them in responding to the impact of the regulated industry, profession or regulator.

## Performance Measures

| Measure   | FY 2019          | FY 2020                             | FY 2021                         | FY 2021                             |
|---|------------------|-------------------------------------|---------------------------------|-------------------------------------|
|   | Actuals Achieved | Current Year Budget Estimate Target | Total Department Request Target | Total Governor's Recommended Target |
| Amount of Revenues Transferred to General Fund        | 102,180,835      | 105,000,000                         | 108,150,000                     | 108,150,000                         |
| Percent of State Chartered Banks Examined             | 100              | 100                                 | 100                             | 100                                 |
| Percent of Financial Institutions Examined            | 100              | 100                                 | 100                             | 100                                 |
| Percent Credit Unions with CAMEL Lower Than 5         | 100              | 100                                 | 100                             | 100                                 |
| Percent of Agent Applications Processed within 7 Days | 100              | 100                                 | 100                             | 100                                 |
| Average Number of Days to Complete an Audit           |                  | 30                                  | 30                              | 30                                  |
| Percent of License Renewals Processed Timely          | 100              | 90                                  | 90                              | 90                                  |
| Iowa's Pipeline Safety OPS Score                      | 99.1             | 95                                  | 95                              | 95                                  |

## Financial Summary

| Object Category                    | FY 2019<br>Actuals | FY 2020<br>Current Year<br>Budget Estimate | FY 2021<br>Total Department<br>Request | FY 2021<br>Total Governor's<br>Recommended |
|------------------------------------|--------------------|--|--|--|
| <b>Resources</b>                   |                    |  |  |  |
| State Appropriations               | 29,020,157         | 30,648,830                                 | 30,648,830                             | 31,184,165                                 |
| Taxes                              | 8,219,810          | 7,500,000                                  | 7,500,000                              | 7,500,000                                  |
| Receipts from Other Entities       | 4,776,587          | 4,207,426                                  | 4,224,492                              | 4,224,492                                  |
| Interest, Dividends, Bonds & Loans | 7,001              | 1  | 1                                      | 1  |
| Fees, Licenses & Permits           | 69,107,135         | 62,470,931                                 | 62,768,262                             | 62,768,162                                 |
| Refunds & Reimbursements           | 15,096,936         | 9,961,255                                  | 9,985,021                              | 9,984,921                                  |
| Sales, Rents & Services            | 339,668,251        | 329,635,648                                | 339,582,148                            | 339,582,148                                |
| Miscellaneous                      | 6,701,343          | 3,987,121                                  | 3,987,120                              | 3,987,120                                  |
| Beginning Balance and Adjustments  | 21,690,514         | 15,840,826                                 | 10,899,036                             | 8,697,550                                  |
| <b>Total Resources</b>             | <b>494,287,735</b> | <b>464,252,038</b>                         | <b>469,594,910</b>                     | <b>467,928,559</b>                         |
| <b>Expenditures</b>                |                    |  |  |  |
| Personal Services                  | 35,863,468         | 38,517,020                                 | 38,793,241                             | 39,174,576                                 |
| Travel & Subsistence               | 2,215,198          | 1,938,152                                  | 1,650,196                              | 1,650,196                                  |
| Supplies & Materials               | 1,083,710          | 1,046,893                                  | 1,045,768                              | 1,045,768                                  |
| Contractual Services and Transfers | 165,721,056        | 153,180,407                                | 157,424,922                            | 157,129,315                                |
| Equipment & Repairs                | 846,698            | 3,554,616                                  | 3,606,449                              | 3,606,349                                  |
| Claims & Miscellaneous             | 224,113,247        | 218,462,453                                | 223,467,475                            | 223,467,475                                |
| Licenses, Permits, Refunds & Other | 910,572            | 767,156                                    | 782,438                                | 767,438                                    |
| State Aid & Credits                | 4,181,503          | 3,800,000                                  | 3,800,000                              | 3,800,000                                  |
| Plant Improvements & Additions     | 3,600,063          | 2,000,000                                  | 2,000,000                              | 2,000,000                                  |
| Appropriations                     | 30,705,609         | 32,287,791                                 | 32,287,791                             | 32,776,791                                 |
| Reversions                         | 9,205,805          | 0  | 0                                      | 0  |
| Balance Carry Forward              | 15,840,809         | 8,697,550                                  | 4,736,630                              | 2,510,651                                  |
| <b>Total Expenditures</b>          | <b>494,287,735</b> | <b>464,252,038</b>                         | <b>469,594,910</b>                     | <b>467,928,559</b>                         |
| Full Time Equivalents              | 331                | 343  | 344                                    | 347  |

## Appropriations from General Fund

| Appropriations                                       | FY 2019<br>Actuals | FY 2020<br>Current Year<br>Budget Estimate | FY 2021<br>Total Department<br>Request | FY 2021<br>Total Governor's<br>Recommended |
|--|--------------------|--|--|--|
| Alcoholic Beverages Operations                       | 1,019,556          | 1,075,454                                  | 1,075,454                              | 1,106,735                                  |
| <b>Total Alcoholic Beverages</b>                     | <b>1,019,556</b>   | <b>1,075,454</b>                           | <b>1,075,454</b>                       | <b>1,106,735</b>                           |
| Professional Licensing Bureau                        | 370,263            | 360,856                                    | 360,856                                | 375,910                                    |
| <b>Total Professional Licensing &amp; Regulation</b> | <b>370,263</b>     | <b>360,856</b>                             | <b>360,856</b>                         | <b>375,910</b>                             |

## Appropriations from Other Funds

| Appropriations                             | FY 2019    | FY 2020                         | FY 2021                     | FY 2021                         |
|--|------------|---------------------------------|-----------------------------|---------------------------------|
|  | Actuals    | Current Year<br>Budget Estimate | Total Department<br>Request | Total Governor's<br>Recommended |
| Banking Division Commerce Fund             | 11,145,778 | 11,978,695                      | 11,978,695                  | 11,978,695                      |
| Total Banking Division                     | 11,145,778 | 11,978,695                      | 11,978,695                  | 11,978,695                      |
| Credit Union Division                      | 2,204,256  | 2,407,929                       | 2,407,929                   | 2,407,929                       |
| Total Credit Union Division                | 2,204,256  | 2,407,929                       | 2,407,929                   | 2,407,929                       |
| Insurance Division-Commerce Revolving Fund | 5,485,889  | 5,817,851                       | 5,817,851                   | 6,306,851                       |
| Total Insurance Division                   | 5,485,889  | 5,817,851                       | 5,817,851                   | 6,306,851                       |
| Housing Improvement Fund Field Auditor     | 62,317     | 62,317                          | 62,317                      | 62,317                          |
| Total Professional Licensing & Regulation  | 62,317     | 62,317                          | 62,317                      | 62,317                          |
| Utilities Division                         | 8,732,098  | 8,945,728                       | 8,945,728                   | 8,945,728                       |
| Total Utilities Division                   | 8,732,098  | 8,945,728                       | 8,945,728                   | 8,945,728                       |

## Appropriations Detail

### Alcoholic Beverages Operations

#### General Fund

#### Appropriation Description

#### ALCOHOLIC BEVERAGES OPERATIONS

### Alcoholic Beverages Operations Financial Summary

| Object Class                                      | FY 2019<br>Actuals | FY 2020<br>Current Year<br>Budget Estimate | FY 2021<br>Total Department<br>Request | FY 2021<br>Total Governor's<br>Recommended |
|---|--------------------|--|--|--|
| <b>Resources</b>                                  |                    |  |  |  |
| Appropriation                                     | 1,019,556          | 1,019,556                                  | 1,075,454                              | 1,075,454                                  |
| Salary Adjustment                                 | 0                  | 0  | 0                                      | 31,281                                     |
| OCIO Rate Adjustment                              | 0                  | 55,898                                     | 0                                      | 0  |
| Intra State Receipts                              | 1,475,000          | 1,036,064                                  | 1,036,064                              | 1,036,064                                  |
| Gov Fund Type Transfers - Other Agencies          | 3,266              | 0  | 0                                      | 0  |
| Refunds & Reimbursements                          | 74,045             | 87,500                                     | 87,500                                 | 87,500                                     |
| Other Sales & Services                            | 1,395              | 2,500                                      | 2,500                                  | 2,500                                      |
| <b>Total Resources</b>                            | <b>2,573,262</b>   | <b>2,201,518</b>                           | <b>2,201,518</b>                       | <b>2,232,799</b>                           |
| <b>Expenditures</b>                               |                    |  |  |  |
| Personal Services-Salaries                        | 1,831,810          | 1,697,159                                  | 1,697,159                              | 1,728,440                                  |
| Personal Travel In State                          | 6,846              | 21,850                                     | 21,850                                 | 21,850                                     |
| State Vehicle Operation                           | 17,331             | 20,100                                     | 20,100                                 | 20,100                                     |
| Depreciation                                      | 13,887             | 15,000                                     | 15,000                                 | 15,000                                     |
| Personal Travel Out of State                      | 45,554             | 45,000                                     | 45,000                                 | 45,000                                     |
| Office Supplies                                   | 30,316             | 39,600                                     | 39,600                                 | 39,600                                     |
| Professional & Scientific Supplies                | 2,448              | 1,500                                      | 1,500                                  | 1,500                                      |
| Printing & Binding                                | 3,545              | 5,400                                      | 5,400                                  | 5,400                                      |
| Uniforms & Related Items                          | 518                | 3,000                                      | 3,000                                  | 3,000                                      |
| Postage   | 7,740              | 10,000                                     | 10,000                                 | 10,000                                     |
| Communications                                    | 11,488             | 16,200                                     | 16,200                                 | 16,200                                     |
| Rentals   | 268                | 1,000                                      | 1,000                                  | 1,000                                      |
| Utilities   | 14,469             | 17,000                                     | 17,000                                 | 17,000                                     |
| Professional & Scientific Services                | 58,946             | 43,500                                     | 43,500                                 | 43,500                                     |
| Outside Services                                  | 18,670             | 11,000                                     | 11,000                                 | 11,000                                     |
| Advertising & Publicity                           | 133                | 10,000                                     | 10,000                                 | 10,000                                     |
| Attorney General Reimbursements                   | 50,322             | 105,000                                    | 105,000                                | 105,000                                    |
| Reimbursement to Other Agencies                   | 82,938             | 78,900                                     | 78,900                                 | 78,900                                     |
| ITS Reimbursements                                | 271,374            | 3,309                                      | 3,309                                  | 3,309                                      |
| Gov Fund Type Transfers - Other Agencies Services | 88,220             | 22,000                                     | 22,000                                 | 22,000                                     |
| Equipment   | 8,562              | 30,000                                     | 30,000                                 | 30,000                                     |
| Office Equipment                                  | 356                | 1,500                                      | 1,500                                  | 1,500                                      |
| IT Equipment                                      | 7,523              | 3,500                                      | 3,500                                  | 3,500                                      |
| <b>Total Expenditures</b>                         | <b>2,573,262</b>   | <b>2,201,518</b>                           | <b>2,201,518</b>                       | <b>2,232,799</b>                           |

## Professional Licensing Bureau

### General Fund

#### Appropriation Description

This appropriation funds the bureau's eight boards/ commission in the regulation of the professions.

#### Professional Licensing Bureau Financial Summary

| Object Class                             | FY 2019          | FY 2020                         | FY 2021                     | FY 2021                         |
|--|------------------|---------------------------------|-----------------------------|---------------------------------|
|  | Actuals          | Current Year<br>Budget Estimate | Total Department<br>Request | Total Governor's<br>Recommended |
| <b>Resources</b>                         |                  |                                 |                             |                                 |
| Balance Brought Forward (Approps)        | 2,415            | 0                               | 0                           | 2                               |
| Appropriation                            | 370,263          | 370,263                         | 360,856                     | 360,856                         |
| Salary Adjustment                        | 0                | 0                               | 0                           | 15,054                          |
| OCIO Rate Adjustment                     | 0                | (9,407)                         | 0                           | 0                               |
| Intra State Receipts                     | 162,317          | 252,318                         | 262,317                     | 262,317                         |
| Gov Fund Type Transfers - Other Agencies | 13,403           | 1                               | 0                           | 0                               |
| Fees, Licenses & Permits                 | 1,020,416        | 1,043,355                       | 1,175,296                   | 1,175,296                       |
| <b>Total Resources</b>                   | <b>1,568,815</b> | <b>1,656,530</b>                | <b>1,798,469</b>            | <b>1,813,525</b>                |
| <b>Expenditures</b>                      |                  |                                 |                             |                                 |
| Personal Services-Salaries               | 891,688          | 967,856                         | 967,856                     | 982,910                         |
| Personal Travel In State                 | 16,056           | 32,000                          | 30,800                      | 30,800                          |
| State Vehicle Operation                  | 1,765            | 1,520                           | 2,041                       | 2,041                           |
| Depreciation                             | 2,208            | 5,480                           | 5,120                       | 5,120                           |
| Personal Travel Out of State             | 19,933           | 62,001                          | 64,000                      | 64,000                          |
| Office Supplies                          | 36,282           | 41,000                          | 36,670                      | 36,670                          |

## Professional Licensing Bureau Financial Summary (Continued)

| Object Class   | FY 2019          | FY 2020                         | FY 2021                     | FY 2021                         |
|--|------------------|---------------------------------|-----------------------------|---------------------------------|
|  | Actuals          | Current Year<br>Budget Estimate | Total Department<br>Request | Total Governor's<br>Recommended |
| Facility Maintenance Supplies                          | 32               | 7                               | 7                           | 7                               |
| Printing & Binding                                     | 1,168            | 2,500                           | 1,200                       | 1,200                           |
| Postage  | 11,921           | 16,000                          | 14,000                      | 14,000                          |
| Communications   | 5,646            | 8,400                           | 17,000                      | 17,000                          |
| Rentals  | 70,135           | 72,221                          | 73,924                      | 73,924                          |
| Professional & Scientific Services                     | 22,429           | 11,000                          | 22,430                      | 22,430                          |
| Outside Services                                       | 334              | 300                             | 300                         | 300                             |
| Intra-State Transfers                                  | 6,518            | 3,000                           | 3,000                       | 3,000                           |
| Advertising & Publicity                                | 0                | 9                               | 7                           | 7                               |
| Outside Repairs/Service                                | 0                | 7                               | 7                           | 7                               |
| Examination Expense                                    | 1,361            | 1,700                           | 1,700                       | 1,700                           |
| Reimbursement to Other Agencies                        | 7,776            | 7,400                           | 7,400                       | 7,400                           |
| ITS Reimbursements                                     | 233,243          | 178,628                         | 295,000                     | 295,000                         |
| Workers Comp. Reimbursement                            | 0                | 1                               | 1                           | 1                               |
| IT Outside Services                                    | 0                | 7                               | 7                           | 7                               |
| Gov Fund Type Transfers - Attorney<br>General Services | 117,687          | 120,011                         | 118,971                     | 118,971                         |
| Gov Fund Type Transfers - Auditor of State<br>Services | 13,548           | 16,300                          | 19,500                      | 19,500                          |
| Gov Fund Type Transfers - Other Agencies<br>Services   | 52,403           | 60,007                          | 60,007                      | 60,007                          |
| Equipment  | 0                | 7                               | 7                           | 7                               |
| Office Equipment                                       | 0                | 7                               | 7                           | 7                               |
| IT Equipment   | 2,430            | 7                               | 7                           | 7                               |
| Other Expense & Obligations                            | 53,940           | 47,652                          | 56,000                      | 56,000                          |
| Refunds-Other  | 310              | 1,500                           | 1,500                       | 1,500                           |
| Balance Carry Forward (Approps)                        | 0                | 2                               | 0                           | 2                               |
| <b>Total Expenditures</b>                              | <b>1,568,815</b> | <b>1,656,530</b>                | <b>1,798,469</b>            | <b>1,813,525</b>                |



## Banking Division Commerce Fund

### Commerce Revolving Fund

#### Appropriation Description

Provides regulatory supervision support for 100% of state chartered banks and regulated loan licenses.

### Banking Division Commerce Fund Financial Summary

| Object Class   | FY 2019<br>Actuals | FY 2020<br>Current Year<br>Budget Estimate | FY 2021<br>Total Department<br>Request | FY 2021<br>Total Governor's<br>Recommended |
|--|--------------------|--|--|--|
| <b>Resources</b>                                       |                    |  |  |  |
| Appropriation  | 11,145,778         | 11,145,778                                 | 11,978,695                             | 11,978,695                                 |
| Salary Adjustment                                      | 0                  | 808,197                                    | 0                                      | 0  |
| OCIO Rate Adjustment                                   | 0                  | 24,720                                     | 0                                      | 0  |
| Fees, Licenses & Permits                               | 401,170            | 1,039,512                                  | 725,054                                | 725,054                                    |
| Other  | 0                  | 1  | 0                                      | 0  |
| <b>Total Resources</b>                                 | <b>11,546,948</b>  | <b>13,018,208</b>                          | <b>12,703,749</b>                      | <b>12,703,749</b>                          |
| <b>Expenditures</b>                                    |                    |  |  |  |
| Personal Services-Salaries                             | 9,994,810          | 10,792,362                                 | 10,792,362                             | 10,792,362                                 |
| Personal Travel In State                               | 248,302            | 345,600                                    | 345,600                                | 345,600                                    |
| State Vehicle Operation                                | 60,093             | 64,000                                     | 64,000                                 | 64,000                                     |
| Depreciation   | 16,440             | 43,000                                     | 43,000                                 | 43,000                                     |
| Personal Travel Out of State                           | 129,867            | 571,467                                    | 269,000                                | 269,000                                    |
| Office Supplies  | 156,243            | 182,999                                    | 182,500                                | 182,500                                    |
| Printing & Binding                                     | 1,445              | 902  | 502                                    | 502  |
| Postage  | 4,379              | 4,950                                      | 5,350                                  | 5,350                                      |
| Communications   | 27,909             | 35,690                                     | 36,040                                 | 36,040                                     |
| Rentals  | 136,341            | 170,000                                    | 172,500                                | 172,500                                    |
| Professional & Scientific Services                     | 59,818             | 103,501                                    | 101,501                                | 101,501                                    |
| Outside Services                                       | 58,343             | 107,513                                    | 107,513                                | 107,513                                    |
| Intra-State Transfers                                  | 0                  | 301  | 301                                    | 301  |
| Advertising & Publicity                                | 0                  | 827  | 827                                    | 827  |
| Outside Repairs/Service                                | 720                | 2,601                                      | 2,502                                  | 2,502                                      |
| Examination Expense                                    | 0                  | 2  | 2                                      | 2  |
| Reimbursement to Other Agencies                        | 28,366             | 43,300                                     | 43,300                                 | 43,300                                     |
| ITS Reimbursements                                     | 47,228             | 149,220                                    | 158,220                                | 158,220                                    |
| Workers Comp. Reimbursement                            | 0                  | 100  | 100                                    | 100  |
| IT Outside Services                                    | 0                  | 2  | 2                                      | 2  |
| Gov Fund Type Transfers - Attorney<br>General Services | 28,096             | 54,265                                     | 54,265                                 | 54,265                                     |
| Gov Fund Type Transfers - Auditor of State<br>Services | 13,011             | 24,001                                     | 23,002                                 | 23,002                                     |
| Gov Fund Type Transfers - Other Agencies<br>Services   | 3,653              | 6,300                                      | 8,000                                  | 8,000                                      |
| Equipment  | 1,726              | 3,002                                      | 3,002                                  | 3,002                                      |
| Office Equipment                                       | 0                  | 13,002                                     | 13,034                                 | 13,034                                     |
| IT Equipment   | 59,245             | 135,900                                    | 135,950                                | 135,950                                    |
| Other Expense & Obligations                            | 123,527            | 158,699                                    | 136,373                                | 136,373                                    |
| Refunds-Other  | 5,055              | 4,702                                      | 5,001                                  | 5,001                                      |
| Reversions   | 342,331            | 0  | 0                                      | 0  |
| <b>Total Expenditures</b>                              | <b>11,546,948</b>  | <b>13,018,208</b>                          | <b>12,703,749</b>                      | <b>12,703,749</b>                          |

## Credit Union Division

### Commerce Revolving Fund

#### Appropriation Description

Supervise and regulate state credit unions. Review examination and status reports of individual credit unions and take such remedial action as deemed necessary in the circumstances. Process administrative papers related to credit union organization and operation. Provide liaison with member account insurers. Prepare and publish an annual report of the

division including information from state credit union individual reports. Respond to public inquiry and complaints regarding credit unions. Advise various agencies, governmental bodies and individuals on matters regarding credit unions. Advise various agencies, governmental bodies and individuals on matters of credit union affairs. Recommend and participate in the drafting of legislation and adoption of rules and regulations. Office management; personnel management; and budget preparation and monitoring.

#### Credit Union Division Financial Summary

| Object Class   | FY 2019<br>Actuals | FY 2020<br>Current Year<br>Budget Estimate | FY 2021<br>Total Department<br>Request | FY 2021<br>Total Governor's<br>Recommended |
|--|--------------------|--|--|--|
| <b>Resources</b>                                       |                    |  |  |  |
| Appropriation  | 2,204,256          | 2,204,256                                  | 2,407,929                              | 2,407,929                                  |
| Salary Adjustment                                      | 0                  | 142,488                                    | 0                                      | 0  |
| OCIO Rate Adjustment                                   | 0                  | 61,185                                     | 0                                      | 0  |
| Gov Fund Type Transfers - Other Agencies               | 4,525              | 0  | 0                                      | 0  |
| <b>Total Resources</b>                                 | <b>2,208,781</b>   | <b>2,407,929</b>                           | <b>2,407,929</b>                       | <b>2,407,929</b>                           |
| <b>Expenditures</b>                                    |                    |  |  |  |
| Personal Services-Salaries                             | 1,574,138          | 1,662,861                                  | 1,715,564                              | 1,715,564                                  |
| Personal Travel In State                               | 96,369             | 95,000                                     | 97,000                                 | 97,000                                     |
| Personal Travel Out of State                           | 24,875             | 25,000                                     | 27,000                                 | 27,000                                     |
| Office Supplies  | 27,946             | 45,000                                     | 35,000                                 | 35,000                                     |
| Printing & Binding                                     | 296                | 500  | 500                                    | 500  |
| Postage  | 348                | 1,000                                      | 1,000                                  | 1,000                                      |
| Communications   | 17,687             | 25,000                                     | 25,000                                 | 25,000                                     |
| Rentals  | 37,752             | 43,000                                     | 43,000                                 | 43,000                                     |
| Outside Services                                       | 299                | 1,000                                      | 1,000                                  | 1,000                                      |
| Advertising & Publicity                                | 0                  | 1,000                                      | 1,000                                  | 1,000                                      |
| Reimbursement to Other Agencies                        | 12,532             | 12,500                                     | 12,500                                 | 12,500                                     |
| ITS Reimbursements                                     | 306,115            | 437,068                                    | 380,365                                | 380,365                                    |
| Gov Fund Type Transfers - Attorney<br>General Services | 12,000             | 12,000                                     | 12,000                                 | 12,000                                     |
| Gov Fund Type Transfers - Auditor of State<br>Services | 10,017             | 11,000                                     | 11,000                                 | 11,000                                     |
| Gov Fund Type Transfers - Other Agencies<br>Services   | 5,209              | 5,500                                      | 5,500                                  | 5,500                                      |
| IT Equipment   | 29,102             | 20,500                                     | 30,500                                 | 30,500                                     |
| Other Expense & Obligations                            | 0                  | 10,000                                     | 10,000                                 | 10,000                                     |
| Reversions   | 54,096             | 0  | 0                                      | 0  |
| <b>Total Expenditures</b>                              | <b>2,208,781</b>   | <b>2,407,929</b>                           | <b>2,407,929</b>                       | <b>2,407,929</b>                           |

## Insurance Division-Commerce Revolving Fund

services. It supports the remaining personnel and operations of the Division.

### Commerce Revolving Fund

### Appropriation Description

This appropriation funds the Division operations except for the insurance company examination

## Insurance Division-Commerce Revolving Fund Financial Summary

| Object Class   | FY 2019<br>Actuals | FY 2020<br>Current Year<br>Budget Estimate | FY 2021<br>Total Department<br>Request | FY 2021<br>Total Governor's<br>Recommended |
|--|--------------------|--|--|--|
| <b>Resources</b>                                       |                    |  |  |  |
| Appropriation  | 5,485,889          | 5,705,889                                  | 5,817,851                              | 6,306,851                                  |
| Salary Adjustment                                      | 0                  | 68,699                                     | 0                                      | 0  |
| OCIO Rate Adjustment                                   | 0                  | 43,263                                     | 0                                      | 0  |
| Federal Support  | 1,064,016          | 1,348,568                                  | 1,352,136                              | 1,352,136                                  |
| Refunds & Reimbursements                               | 14,394,719         | 9,260,633                                  | 9,309,399                              | 9,309,399                                  |
| <b>Total Resources</b>                                 | <b>20,944,624</b>  | <b>16,427,052</b>                          | <b>16,479,386</b>                      | <b>16,968,386</b>                          |
| <b>Expenditures</b>                                    |                    |  |  |  |
| Personal Services-Salaries                             | 10,664,801         | 12,836,533                                 | 12,798,472                             | 13,133,472                                 |
| Personal Travel In State                               | 43,003             | 56,474                                     | 87,975                                 | 87,975                                     |
| State Vehicle Operation                                | 567                | 850  | 850                                    | 850  |
| Depreciation   | 666                | 700  | 1,300                                  | 1,300                                      |
| Personal Travel Out of State                           | 79,758             | 99,200                                     | 99,200                                 | 99,200                                     |
| Office Supplies  | 314,830            | 291,431                                    | 302,936                                | 302,936                                    |
| Other Supplies   | 3,635              | 1,145                                      | 2,644                                  | 2,644                                      |
| Printing & Binding                                     | 48,922             | 60,032                                     | 60,032                                 | 60,032                                     |
| Postage  | 70,523             | 92,400                                     | 85,400                                 | 85,400                                     |
| Communications   | 92,736             | 105,700                                    | 98,700                                 | 98,700                                     |
| Rentals  | 371,503            | 414,592                                    | 434,575                                | 434,575                                    |
| Professional & Scientific Services                     | 738,918            | 583,903                                    | 626,538                                | 683,538                                    |
| Outside Services                                       | 50,146             | 108,217                                    | 65,001                                 | 65,001                                     |
| Intra-State Transfers                                  | 234,450            | 976,430                                    | 976,430                                | 1,073,430                                  |
| Advertising & Publicity                                | 0                  | 9,700                                      | 700                                    | 700  |
| Outside Repairs/Service                                | 0                  | 14,000                                     | 6,000                                  | 6,000                                      |
| Reimbursement to Other Agencies                        | 40,958             | 56,500                                     | 64,500                                 | 64,500                                     |
| ITS Reimbursements                                     | 195,264            | 269,263                                    | 304,000                                | 304,000                                    |
| Workers Comp. Reimbursement                            | 0                  | 7,200                                      | 33,000                                 | 33,000                                     |
| IT Outside Services                                    | 0                  | 14,001                                     | 4,001                                  | 4,001                                      |
| Gov Fund Type Transfers - Attorney<br>General Services | 118,527            | 129,500                                    | 129,500                                | 129,500                                    |
| Gov Fund Type Transfers - Auditor of State<br>Services | 15,178             | 24,700                                     | 24,700                                 | 24,700                                     |
| Gov Fund Type Transfers - Other Agencies<br>Services   | 55,042             | 57,500                                     | 59,000                                 | 59,000                                     |
| Office Equipment                                       | 0                  | 13,500                                     | 11,500                                 | 11,500                                     |
| Equipment - Non-Inventory                              | 3,324              | 4,374                                      | 3,674                                  | 3,674                                      |
| IT Equipment   | 155,849            | 198,504                                    | 198,055                                | 198,055                                    |
| Other Expense & Obligations                            | 0                  | 602  | 602                                    | 602  |
| Refunds-Other  | 0                  | 101  | 101                                    | 101  |
| Reversions   | 7,646,023          | 0  | 0                                      | 0  |
| <b>Total Expenditures</b>                              | <b>20,944,624</b>  | <b>16,427,052</b>                          | <b>16,479,386</b>                      | <b>16,968,386</b>                          |

## Utilities Division

### Commerce Revolving Fund

#### Appropriation Description

This appropriation funds the operations of the Utilities Division within the Department of Commerce. The Utilities Division/Utilities Board is charged with the responsibility of establishing just and reasonable rates for consumers while maintaining the financial integrity of those utilities under its rate jurisdiction, ensuring efficient, safe, and reliable telecommunica-

tions, electric, natural gas, and water utility services, overseeing energy efficiency programs, participating in relevant federal proceedings, and overseeing "Relay Iowa" and the equipment distribution program which enable people with communication impairments to access the telecommunications system. All Iowa Utilities Board costs are assessed directly to the state's utilities. If funding for the Utilities Board were to be eliminated, the state would be unable to regulate the rates and services of Iowa's electric, natural gas, water and telephone utilities. In 2015, these utilities collected more than \$6.5 billion from Iowans.

#### Utilities Division Financial Summary

| Object Class                             | FY 2019<br>Actuals | FY 2020<br>Current Year<br>Budget Estimate | FY 2021<br>Total Department<br>Request | FY 2021<br>Total Governor's<br>Recommended |
|--|--------------------|--|--|--|
| <b>Resources</b>                         |                    |  |  |  |
| Balance Brought Forward (Approps)        | 475,972            | 39,493                                     | 0                                      | 39,493                                     |
| Appropriation                            | 8,732,098          | 8,732,098                                  | 8,945,728                              | 8,945,728                                  |
| Salary Adjustment                        | 0                  | 213,630                                    | 0                                      | 0  |
| Federal Support                          | 759,275            | 867,875                                    | 902,875                                | 902,875                                    |
| Intra State Receipts                     | 161,263            | 174,000                                    | 174,000                                | 174,000                                    |
| Reimbursement from Other Agencies        | 0                  | 35,000                                     | 0                                      | 0  |
| Gov Fund Type Transfers - Other Agencies | 7,290              | 0  | 0                                      | 0  |
| Fees, Licenses & Permits                 | 96,102             | 20,001                                     | 20,001                                 | 20,001                                     |
| Refunds & Reimbursements                 | 92                 | 0  | 0                                      | 0  |
| Other                                    | 643,118            | 648,118                                    | 648,118                                | 648,118                                    |
| <b>Total Resources</b>                   | <b>10,875,210</b>  | <b>10,730,215</b>                          | <b>10,690,722</b>                      | <b>10,730,215</b>                          |
| <b>Expenditures</b>                      |                    |  |  |  |
| Personal Services-Salaries               | 6,552,208          | 7,759,650                                  | 7,872,951                              | 7,872,951                                  |
| Personal Travel In State                 | 49,013             | 60,850                                     | 59,850                                 | 59,850                                     |
| State Vehicle Operation                  | 26,016             | 81,000                                     | 31,000                                 | 31,000                                     |
| Depreciation                             | 15,545             | 31,000                                     | 31,000                                 | 31,000                                     |
| Personal Travel Out of State             | 52,753             | 76,800                                     | 92,000                                 | 92,000                                     |

## Utilities Division Financial Summary (Continued)

| Object Class  | FY 2019           | FY 2020                         | FY 2021                     | FY 2021                         |
|---|-------------------|---------------------------------|-----------------------------|---------------------------------|
|   | Actuals           | Current Year<br>Budget Estimate | Total Department<br>Request | Total Governor's<br>Recommended |
| Office Supplies                                     | 101,579           | 99,900                          | 110,900                     | 110,900                         |
| Printing & Binding                                  | 157               | 4,000                           | 4,000                       | 4,000                           |
| Postage   | 895               | 1,850                           | 1,850                       | 1,850                           |
| Communications                                      | 57,578            | 67,530                          | 67,530                      | 67,530                          |
| Rentals   | 31,053            | 3,300                           | 3,050                       | 3,050                           |
| Professional & Scientific Services                  | 13,885            | 111,101                         | 111,101                     | 111,101                         |
| Outside Services                                    | 130,619           | 73,400                          | 73,779                      | 73,779                          |
| Intra-State Transfers                               | 1,364,798         | 769,900                         | 765,295                     | 804,788                         |
| Advertising & Publicity                             | 0                 | 500                             | 500                         | 500                             |
| Outside Repairs/Service                             | 0                 | 750                             | 750                         | 750                             |
| Reimbursement to Other Agencies                     | 688,976           | 237,482                         | 237,482                     | 237,482                         |
| ITS Reimbursements                                  | 211,133           | 274,093                         | 348,956                     | 348,956                         |
| IT Outside Services                                 | 165,171           | 616,500                         | 407,712                     | 407,712                         |
| Gov Fund Type Transfers - Auditor of State Services | 18,116            | 22,000                          | 22,000                      | 22,000                          |
| Gov Fund Type Transfers - Other Agencies Services   | 77,385            | 99,000                          | 99,000                      | 99,000                          |
| Office Equipment                                    | 2,075             | 2,000                           | 2,000                       | 2,000                           |
| Equipment - Non-Inventory                           | 7,435             | 1,000                           | 500                         | 500                             |
| IT Equipment  | 72,735            | 297,013                         | 347,413                     | 347,413                         |
| Other Expense & Obligations                         | (921)             | 100                             | 100                         | 100                             |
| Fees  | 40                | 1                               | 1                           | 1                               |
| Refunds-Other                                       | 92                | 2                               | 2                           | 2                               |
| Capitals  | 34,025            | 0                               | 0                           | 0                               |
| Balance Carry Forward (Approps)                     | 39,493            | 39,493                          | 0                           | 0                               |
| Reversions  | 1,163,356         | 0                               | 0                           | 0                               |
| <b>Total Expenditures</b>                           | <b>10,875,210</b> | <b>10,730,215</b>               | <b>10,690,722</b>           | <b>10,730,215</b>               |

## Housing Improvement Fund Field Auditor

State Housing Trust Fund

### Appropriation Description

Housing Improvement Fund Field Auditor

### Housing Improvement Fund Field Auditor Financial Summary

| Object Class          | FY 2019<br>Actuals | FY 2020<br>Current Year<br>Budget Estimate | FY 2021<br>Total Department<br>Request | FY 2021<br>Total Governor's<br>Recommended |
|-----------------------|--------------------|--|--|--|
| <b>Resources</b>      |                    |  |  |  |
| Appropriation         | 62,317             | 62,317                                     | 62,317                                 | 62,317                                     |
| Total Resources       | 62,317             | 62,317                                     | 62,317                                 | 62,317                                     |
| <b>Expenditures</b>   |                    |  |  |  |
| Intra-State Transfers | 62,317             | 62,317                                     | 62,317                                 | 62,317                                     |
| Total Expenditures    | 62,317             | 62,317                                     | 62,317                                 | 62,317                                     |

## Fund Detail

### Commerce, Department of Fund Detail

| Funds                               | FY 2019<br>Actuals | FY 2020<br>Current Year<br>Budget Estimate | FY 2021<br>Total Department<br>Request | FY 2021<br>Total Governor's<br>Recommended |
|-------------------------------------|--------------------|--|--|--|
| Commerce-Administration             | 56,594,681         | 42,491,030                                 | 38,405,003                             | 36,687,967                                 |
| Commerce Revolving Fund             | 56,594,681         | 42,491,030                                 | 38,405,003                             | 36,687,967                                 |
| Alcoholic Beverages                 | 373,668,933        | 361,007,836                                | 370,854,143                            | 370,947,836                                |
| Tobacco Compliance Employee Tr      | 1,384,151          | 1,440,588                                  | 1,350,195                              | 1,440,588                                  |
| Liquor Control Act Fund             | 372,284,782        | 359,567,248                                | 369,503,948                            | 369,507,248                                |
| Banking Division                    | 667,596            | 734,182                                    | 619,182                                | 769,182                                    |
| Money Services Licensing Fund       | 667,596            | 734,182                                    | 619,182                                | 769,182                                    |
| CashCall Settlement                 | 0                  | 0  | 0                                      | 0  |
| Insurance Division                  | 6,211,459          | 5,649,155                                  | 6,041,577                              | 5,087,845                                  |
| Health Organization Insolvency      | 440,100            | 441,100                                    | 441,100                                | 442,100                                    |
| Insurance Division Education Fund   | 3,437,410          | 2,914,562                                  | 3,397,891                              | 2,591,158                                  |
| Insurance Division Cemetery Fund    | 84,576             | 72,916                                     | 68,100                                 | 55,072                                     |
| Service Company Oversight Fund      | 816                | 10,816                                     | 230,000                                | 214,566                                    |
| Insurance Division Regulatory       | 640,155            | 705,601                                    | 598,022                                | 565,035                                    |
| Insurance Division Clearing Account | 41,658             | 417  | 25,200                                 | 0  |
| Investor Restitution Fund           | 805                | 828  | 967                                    | 828  |
| Settlement Account                  | 1,565,939          | 1,502,915                                  | 1,280,297                              | 1,219,086                                  |
| Professional Licensing & Regulation | 361,034            | 429,184                                    | 407,184                                | 407,184                                    |
| Disciplinary Hearing Fund           | 1,500              | 1,650                                      | 1,650                                  | 1,650                                      |
| Real Estate Education Fund          | 359,534            | 427,534                                    | 405,534                                | 405,534                                    |
| Utilities Division                  | 7,004,075          | 7,436,882                                  | 6,923,731                              | 7,109,625                                  |
| Pass Through Funds Research         | 5,244,687          | 5,238,480                                  | 5,238,537                              | 5,238,480                                  |
| Dual Party Relay Service            | 1,759,388          | 2,198,402                                  | 1,685,194                              | 1,871,145                                  |

### Commerce Revolving Fund

Appropriations are made from the fund to the divisions for operations.

#### Fund Description

The fund receives revenues collected by the divisions of Banking, Credit Union, Insurance and Utilities.

## Commerce Revolving Fund Detail

| Object Class                         | FY 2019<br>Actuals | FY 2020<br>Current Year<br>Budget Estimate | FY 2021<br>Total Department<br>Request | FY 2021<br>Total Governor's<br>Recommended |
|--------------------------------------|--------------------|--|--|--|
| <b>Resources</b>                     |                    |  |  |  |
| Balance Brought Forward (Funds)      | 5,331,638          | 9,245,954                                  | 5,159,945                              | 3,442,909                                  |
| Adjustment to Balance Forward        | 0                  | 18   | 0                                      | 0  |
| Intra State Receipts                 | 643,118            | 100  | 100                                    | 100  |
| Reversions                           | 9,681,643          | 0  | 0                                      | 0  |
| Fees, Licenses & Permits             | 40,205,159         | 33,244,857                                 | 33,244,857                             | 33,244,857                                 |
| Refunds & Reimbursements             | 0                  | 100  | 100                                    | 100  |
| Other                                | 733,123            | 1  | 1                                      | 1  |
| <b>Total Commerce Revolving Fund</b> | <b>56,594,681</b>  | <b>42,491,030</b>                          | <b>38,405,003</b>                      | <b>36,687,967</b>                          |
| <b>Expenditures</b>                  |                    |  |  |  |
| Intra-State Transfers                | 16,643,118         | 6,760,330                                  | 6,117,212                              | 5,628,212                                  |
| Appropriation                        | 30,705,609         | 32,287,791                                 | 32,287,791                             | 32,776,791                                 |
| Balance Carry Forward (Funds)        | 9,245,954          | 3,442,909                                  | 0                                      | (1,717,036)                                |
| <b>Total Commerce Revolving Fund</b> | <b>56,594,681</b>  | <b>42,491,030</b>                          | <b>38,405,003</b>                      | <b>36,687,967</b>                          |

### Tobacco Compliance Employee Tr

against retailers that sell tobacco products to persons under the age of 18.

#### Fund Description

The fund will receive revenue from the civil penalties assessed by the Iowa Department of Public Health



## Tobacco Compliance Employee Tr Detail

| Object Class   | FY 2019<br>Actuals | FY 2020<br>Current Year<br>Budget Estimate | FY 2021<br>Total Department<br>Request | FY 2021<br>Total Governor's<br>Recommended |
|--|--------------------|--|--|--|
| <b>Resources</b>                                     |                    |  |  |  |
| Balance Brought Forward (Funds)                      | 441,695            | 532,088                                    | 441,695                                | 532,088                                    |
| Adjustment to Balance Forward                        | 900                | 0  | 0                                      | 0  |
| Intra State Receipts                                 | 478,500            | 478,500                                    | 478,500                                | 478,500                                    |
| Refunds & Reimbursements                             | 414,457            | 400,000                                    | 400,000                                | 400,000                                    |
| Other  | 48,600             | 30,000                                     | 30,000                                 | 30,000                                     |
| <b>Total Tobacco Compliance Employee Tr</b>          | <b>1,384,151</b>   | <b>1,440,588</b>                           | <b>1,350,195</b>                       | <b>1,440,588</b>                           |
| <b>Expenditures</b>                                  |                    |  |  |  |
| Personal Services-Salaries                           | 419,609            | 435,390                                    | 435,390                                | 435,390                                    |
| Personal Travel In State                             | 211                | 3,200                                      | 3,200                                  | 3,200                                      |
| State Vehicle Operation                              | 6,773              | 15,500                                     | 15,500                                 | 15,500                                     |
| Depreciation   | 0                  | 2,000                                      | 2,000                                  | 2,000                                      |
| Personal Travel Out of State                         | 0                  | 1,000                                      | 1,000                                  | 1,000                                      |
| Office Supplies                                      | 506                | 1,000                                      | 1,000                                  | 1,000                                      |
| Postage  | 3,132              | 10,100                                     | 10,100                                 | 10,100                                     |
| Communications                                       | 2,169              | 4,500                                      | 4,500                                  | 4,500                                      |
| Outside Services                                     | 285,703            | 288,410                                    | 288,410                                | 288,410                                    |
| Attorney General Reimbursements                      | 52,000             | 52,000                                     | 52,000                                 | 52,000                                     |
| Reimbursement to Other Agencies                      | 0                  | 200  | 200                                    | 200  |
| ITS Reimbursements                                   | 2,073              | 3,200                                      | 3,200                                  | 3,200                                      |
| Other Expense & Obligations                          | 43,770             | 83,000                                     | 83,000                                 | 83,000                                     |
| Balance Carry Forward (Funds)                        | 532,088            | 532,088                                    | 441,695                                | 532,088                                    |
| IT Outside Services                                  | 27,583             | 2,500                                      | 2,500                                  | 2,500                                      |
| IT Equipment   | 0                  | 500  | 500                                    | 500  |
| Gov Fund Type Transfers - Other Agencies<br>Services | 8,534              | 6,000                                      | 6,000                                  | 6,000                                      |
| <b>Total Tobacco Compliance Employee Tr</b>          | <b>1,384,151</b>   | <b>1,440,588</b>                           | <b>1,350,195</b>                       | <b>1,440,588</b>                           |

### Insurance Division Education Fund

Expenditures are made for public service announcements and mailings to educate the public.

#### Fund Description

This fund is used to account for amounts received from a court settlement from a brokerage firm.

## Insurance Division Education Fund Detail

| Object Class   | FY 2019<br>Actuals | FY 2020<br>Current Year<br>Budget Estimate | FY 2021<br>Total Department<br>Request | FY 2021<br>Total Governor's<br>Recommended |
|--|--------------------|--|--|--|
| <b>Resources</b>                                       |                    |  |  |  |
| Balance Brought Forward (Funds)                        | 1,702,600          | 1,474,409                                  | 1,697,890                              | 891,157                                    |
| Fees, Licenses & Permits                               | 0                  | 1,440,152                                  | 1,700,000                              | 1,700,000                                  |
| Other  | 1,733,090          | 1  | 1                                      | 1  |
| Gov Fund Type Transfers - Other Agencies               | 1,720              | 0  | 0                                      | 0  |
| <b>Total Insurance Division Education Fund</b>         | <b>3,437,410</b>   | <b>2,914,562</b>                           | <b>3,397,891</b>                       | <b>2,591,158</b>                           |
| <b>Expenditures</b>                                    |                    |  |  |  |
| Personal Services-Salaries                             | 57,943             | 94,834                                     | 140,740                                | 140,740                                    |
| Personal Travel In State                               | 20,815             | 22,000                                     | 25,000                                 | 25,000                                     |
| State Vehicle Operation                                | 869                | 3,500                                      | 3,500                                  | 3,500                                      |
| Depreciation   | 1,998              | 4,000                                      | 4,000                                  | 4,000                                      |
| Personal Travel Out of State                           | 0                  | 3,000                                      | 3,000                                  | 3,000                                      |
| Office Supplies  | 0                  | 5,000                                      | 5,000                                  | 5,000                                      |
| Printing & Binding                                     | 0                  | 2,000                                      | 2,000                                  | 2,000                                      |
| Postage  | 797                | 2,700                                      | 2,700                                  | 2,700                                      |
| Communications   | 566                | 2,000                                      | 2,000                                  | 2,000                                      |
| Rentals  | 51,515             | 60,923                                     | 60,923                                 | 60,923                                     |
| Professional & Scientific Services                     | 1,808,703          | 1,792,437                                  | 1,892,437                              | 1,892,437                                  |
| Outside Services                                       | 0                  | 10,000                                     | 10,000                                 | 10,000                                     |
| Intra-State Transfers                                  | 0                  | 3,000                                      | 3,000                                  | 3,000                                      |
| Advertising & Publicity                                | 16,754             | 14,000                                     | 14,000                                 | 14,000                                     |
| Refunds-Other  | 0                  | 1  | 1                                      | 1  |
| Balance Carry Forward (Funds)                          | 1,474,410          | 891,157                                    | 1,225,580                              | 418,847                                    |
| Gov Fund Type Transfers - Attorney<br>General Services | 3,039              | 4,000                                      | 4,000                                  | 4,000                                      |
| Gov Fund Type Transfers - Other Agencies<br>Services   | 0                  | 10   | 10                                     | 10   |
| <b>Total Insurance Division Education Fund</b>         | <b>3,437,410</b>   | <b>2,914,562</b>                           | <b>3,397,891</b>                       | <b>2,591,158</b>                           |

### Dual Party Relay Service

#### Fund Description

This account receives fees from wireless communications providers and assessments from telephone utili-

ties to appropriate funds to plan, establish, administer, and promote the relay service and equipment distribution program.

## Dual Party Relay Service Detail

| Object Class   | FY 2019<br>Actuals | FY 2020<br>Current Year<br>Budget Estimate | FY 2021<br>Total Department<br>Request | FY 2021<br>Total Governor's<br>Recommended |
|--|--------------------|--|--|--|
| <b>Resources</b>                                     |                    |  |  |  |
| Balance Brought Forward (Funds)                      | 401,790            | 840,465                                    | 327,257                                | 513,208                                    |
| Fees, Licenses & Permits                             | 1,357,599          | 1,357,937                                  | 1,357,937                              | 1,357,937                                  |
| <b>Total Dual Party Relay Service</b>                | <b>1,759,388</b>   | <b>2,198,402</b>                           | <b>1,685,194</b>                       | <b>1,871,145</b>                           |
| <b>Expenditures</b>                                  |                    |  |  |  |
| Personal Services-Salaries                           | 28,610             | 140,702                                    | 140,702                                | 140,702                                    |
| Personal Travel In State                             | 1,412              | 1,800                                      | 1,800                                  | 1,800                                      |
| Personal Travel Out of State                         | 909                | 3,000                                      | 6,000                                  | 6,000                                      |
| Office Supplies                                      | 500                | 2,000                                      | 2,000                                  | 2,000                                      |
| Other Supplies                                       | 0                  | 1  | 1                                      | 1  |
| Communications                                       | 0                  | 365  | 365                                    | 365  |
| Professional & Scientific Services                   | 629,918            | 953,791                                    | 753,791                                | 753,791                                    |
| Outside Services                                     | 10                 | 0  | 0                                      | 0  |
| Reimbursement to Other Agencies                      | 16                 | 8,448                                      | 0                                      | 0  |
| ITS Reimbursements                                   | 2,693              | 0  | 0                                      | 0  |
| Other Expense & Obligations                          | 778                | 0  | 0                                      | 0  |
| Refunds-Other  | 22,487             | 1  | 1                                      | 1  |
| Aid to Individuals                                   | 231,591            | 300,000                                    | 300,000                                | 300,000                                    |
| Balance Carry Forward (Funds)                        | 840,465            | 513,208                                    | 255,317                                | 441,268                                    |
| IT Outside Services                                  | 0                  | 219,869                                    | 175,000                                | 175,000                                    |
| IT Equipment   | 0                  | 7,500                                      | 2,500                                  | 2,500                                      |
| Gov Fund Type Transfers - Other Agencies<br>Services | 0                  | 47,717                                     | 47,717                                 | 47,717                                     |
| <b>Total Dual Party Relay Service</b>                | <b>1,759,388</b>   | <b>2,198,402</b>                           | <b>1,685,194</b>                       | <b>1,871,145</b>                           |

## Liquor Control Act Fund

### Fund Description

Direct receipts generated from the sale of beverages, licenses, and taxes are deposited in this account.

## Liquor Control Act Fund Detail

| Object Class                             | FY 2019<br>Actuals | FY 2020<br>Current Year<br>Budget Estimate | FY 2021<br>Total Department<br>Request | FY 2021<br>Total Governor's<br>Recommended |
|--|--------------------|--|--|--|
| <b>Resources</b>                         |                    |  |  |  |
| Balance Brought Forward (Funds)          | 500,000            | 503,300                                    | 500,000                                | 503,300                                    |
| Adjustment to Balance Forward            | 3,300              | 0  | 0                                      | 0  |
| Liquor Tax                               | 8,219,810          | 7,500,000                                  | 7,500,000                              | 7,500,000                                  |
| Reimbursement from Other Agencies        | 2,164              | 15,000                                     | 15,000                                 | 15,000                                     |
| Fees, Licenses & Permits                 | 20,275,850         | 18,570,000                                 | 18,560,000                             | 18,560,000                                 |
| Refunds & Reimbursements                 | 82,659             | 37,800                                     | 37,800                                 | 37,800                                     |
| Rents & Leases                           | 83,640             | 86,500                                     | 83,000                                 | 83,000                                     |
| Liquor                                   | 339,537,642        | 329,494,648                                | 339,494,648                            | 339,494,648                                |
| Other Sales & Services                   | 45,574             | 52,000                                     | 2,000                                  | 2,000                                      |
| Unearned Receipts                        | 3,311,684          | 3,300,000                                  | 3,300,000                              | 3,300,000                                  |
| Other                                    | 221,729            | 8,000                                      | 8,000                                  | 8,000                                      |
| Gov Fund Type Transfers - Other Agencies | 730                | 0  | 3,500                                  | 3,500                                      |
| <b>Total Liquor Control Act Fund</b>     | <b>372,284,782</b> | <b>359,567,248</b>                         | <b>369,503,948</b>                     | <b>369,507,248</b>                         |
| <b>Expenditures</b>                      |                    |  |  |  |
| Personal Services-Salaries               | 3,724,426          | 1,783,898                                  | 1,783,898                              | 1,783,898                                  |
| Personal Travel In State                 | 44,090             | 4,000                                      | 4,000                                  | 4,000                                      |
| State Vehicle Operation                  | 1,103,734          | 36,500                                     | 36,500                                 | 36,500                                     |
| Depreciation                             | 5,292              | 5,500                                      | 5,500                                  | 5,500                                      |
| Personal Travel Out of State             | 20,289             | 24,200                                     | 24,200                                 | 24,200                                     |
| Office Supplies                          | 453                | 1,250                                      | 1,250                                  | 1,250                                      |
| Facility Maintenance Supplies            | 14,120             | 8,000                                      | 8,000                                  | 8,000                                      |
| Equipment Maintenance Supplies           | 0                  | 2,000                                      | 2,000                                  | 2,000                                      |
| Professional & Scientific Supplies       | 8,307              | 6,500                                      | 6,500                                  | 6,500                                      |
| Other Supplies                           | 172,518            | 36,000                                     | 36,000                                 | 36,000                                     |
| Printing & Binding                       | 28,083             | 30,000                                     | 30,000                                 | 30,000                                     |

## Liquor Control Act Fund Detail (Continued)

| Object Class   | FY 2019<br>Actuals | FY 2020<br>Current Year<br>Budget Estimate | FY 2021<br>Total Department<br>Request | FY 2021<br>Total Governor's<br>Recommended |
|--|--------------------|--|--|--|
| Uniforms & Related Items                             | 3,572              | 500  | 500                                    | 500  |
| Communications                                       | 94,327             | 80,000                                     | 70,000                                 | 70,000                                     |
| Rentals  | 97,187             | 27,000                                     | 12,000                                 | 12,000                                     |
| Utilities  | 131,725            | 150,000                                    | 150,000                                | 150,000                                    |
| Professional & Scientific Services                   | 1,225              | 100  | 0                                      | 0  |
| Outside Services                                     | 3,141,436          | 6,103,500                                  | 6,103,600                              | 6,103,600                                  |
| Intra-State Transfers                                | 128,452,470        | 120,000,000                                | 125,000,000                            | 125,000,000                                |
| Outside Repairs/Service                              | 142,425            | 210,000                                    | 175,000                                | 175,000                                    |
| Auditor of State Reimbursements                      | 49,813             | 45,000                                     | 45,000                                 | 45,000                                     |
| Reimbursement to Other Agencies                      | 286,842            | 270,000                                    | 266,000                                | 266,000                                    |
| ITS Reimbursements                                   | 855,339            | 1,515,000                                  | 1,500,000                              | 1,500,000                                  |
| Equipment  | 425,922            | 2,194,000                                  | 2,194,000                              | 2,194,000                                  |
| Office Equipment                                     | 41,915             | 7,500                                      | 7,500                                  | 7,500                                      |
| Other Expense & Obligations                          | 5,191              | 77,400                                     | 181,400                                | 181,400                                    |
| Inventory  | 223,886,962        | 218,085,000                                | 223,000,000                            | 223,000,000                                |
| Licenses   | 3,758              | 3,500                                      | 3,500                                  | 3,500                                      |
| Refunds-Other  | 837,389            | 750,500                                    | 750,500                                | 750,500                                    |
| State Aid  | 3,949,912          | 3,500,000                                  | 3,500,000                              | 3,500,000                                  |
| Capitals   | 3,566,038          | 2,000,000                                  | 2,000,000                              | 2,000,000                                  |
| Balance Carry Forward (Funds)                        | 503,300            | 503,300                                    | 500,000                                | 503,300                                    |
| IT Outside Services                                  | 667,385            | 200,000                                    | 200,000                                | 200,000                                    |
| IT Equipment   | 19,308             | 605,000                                    | 605,000                                | 605,000                                    |
| Intra-Agency Transfer                                | 0                  | 1,302,000                                  | 1,302,000                              | 1,302,000                                  |
| Gov Fund Type Transfers - Other Agencies<br>Services | 30                 | 100  | 100                                    | 100  |
| <b>Total Liquor Control Act Fund</b>                 | <b>372,284,782</b> | <b>359,567,248</b>                         | <b>369,503,948</b>                     | <b>369,507,248</b>                         |

## Settlement Account

### Fund Description

The court appoints the State to be the receiver over money, invested assets and earned interest held in this account.

## Settlement Account Detail

| Object Class   | FY 2019<br>Actuals | FY 2020<br>Current Year<br>Budget Estimate | FY 2021<br>Total Department<br>Request | FY 2021<br>Total Governor's<br>Recommended |
|--|--------------------|--|--|--|
| <b>Resources</b>                                       |                    |  |  |  |
| Balance Brought Forward (Funds)                        | 1,477,114          | 1,322,415                                  | 1,124,797                              | 1,063,586                                  |
| Fees, Licenses & Permits                               | 900                | 5,400                                      | 5,400                                  | 5,400                                      |
| Refunds & Reimbursements                               | 87,925             | 175,100                                    | 150,100                                | 150,100                                    |
| <b>Total Settlement Account</b>                        | <b>1,565,939</b>   | <b>1,502,915</b>                           | <b>1,280,297</b>                       | <b>1,219,086</b>                           |
| <b>Expenditures</b>                                    |                    |  |  |  |
| Personal Services-Salaries                             | 43,944             | 192,109                                    | 192,109                                | 192,109                                    |
| Personal Travel In State                               | 250                | 2,600                                      | 2,600                                  | 2,600                                      |
| State Vehicle Operation                                | 5,587              | 7,500                                      | 7,500                                  | 7,500                                      |
| Depreciation   | 5,376              | 5,500                                      | 5,500                                  | 5,500                                      |
| Personal Travel Out of State                           | 18,425             | 20,200                                     | 20,200                                 | 20,200                                     |
| Office Supplies  | 24,423             | 30,200                                     | 30,200                                 | 30,200                                     |
| Other Supplies   | 1,172              | 1,000                                      | 1,000                                  | 1,000                                      |
| Printing & Binding                                     | 0                  | 600  | 600                                    | 600  |
| Communications   | 4,495              | 6,190                                      | 6,190                                  | 6,190                                      |
| Rentals  | 73,805             | 65,000                                     | 65,000                                 | 65,000                                     |
| Professional & Scientific Services                     | 14,801             | 22,438                                     | 12,438                                 | 12,438                                     |
| Outside Services                                       | 7,542              | 10,987                                     | 5,987                                  | 5,987                                      |
| Intra-State Transfers                                  | 0                  | 875  | 875                                    | 875  |
| Reimbursement to Other Agencies                        | 0                  | 1,100                                      | 1,100                                  | 1,100                                      |
| Office Equipment                                       | 0                  | 2,100                                      | 2,100                                  | 2,100                                      |
| Equipment - Non-Inventory                              | 56                 | 0  | 0                                      | 0  |
| Refunds-Other  | 0                  | 6,330                                      | 6,330                                  | 6,330                                      |
| Balance Carry Forward (Funds)                          | 1,322,415          | 1,063,586                                  | 855,968                                | 794,757                                    |
| IT Equipment   | 9,135              | 10,500                                     | 10,500                                 | 10,500                                     |
| Gov Fund Type Transfers - Attorney<br>General Services | 30,392             | 45,100                                     | 45,100                                 | 45,100                                     |
| Gov Fund Type Transfers - Other Agencies<br>Services   | 4,123              | 9,000                                      | 9,000                                  | 9,000                                      |
| <b>Total Settlement Account</b>                        | <b>1,565,939</b>   | <b>1,502,915</b>                           | <b>1,280,297</b>                       | <b>1,219,086</b>                           |