For Division DHS Through Periods 1/1/2021 -- 6/30/2021

Iowa Department of Human Services

Report Series D-3 Administrative Use Only

Dependent Adult Abuse Program

The numbers reported in this report represent:

- · Number of reports of suspected abuse the Department receives according to type of abuse defined in Iowa Code 235B.
- Number of reports of abuse accepted or rejected for evaluation or assessment.
- Whether the person who reported the abuse was required to report.
- · Of those who were required to report the abuse, how many of each profession made reports.
- How many reports found the abuse occurred, did not occur or it occurred, but because the abuse was minor, isolated and not likely to reoccur was not placed on the central abuse registry.
- The number of reports founded, unfounded or confirmed, not registered of each type of abuse.
- How many dependent adults received which type of service after the report was completed.
- · The number of persons responsible for the abuse held responsible legally or received services after the report was completed.

Intake						
Accepted	Rejected	In Progress	Total			
2600	2507	0	5107			

Column Name Definition

Accepted Total number of reports of alleged abuse or neglect accepted for a social worker to go and evaluate or assess to determine if the dependent adult was in fact abused or neglected.

Rejected Total number of reports of alleged abuse or neglect rejected due to the allegation not fitting the definitions of abuse found in Iowa Code 235B, or there was not a caretaker or the adult was not dependent.

<u>In Progress</u> Open cases where the intake has not been accepted or rejected.

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Evaluation or Assessment						
Founded	Unfounded	Confirmed, Not Registered	In Progress	Total		
244	1784	69	503	2600		

Column Name Definition

Founded Total number of reports evaluated or assessed that were found to have occurred.

Unfounded Total number of reports evaluated or assessed that were determined to have not occurred.

Confirmed, Not Registered Total number of reports evaluated or assessed that were determined to have occurred, but were not placed on the Registry.

<u>In Progress</u> Open cases where the evaluation has not been accepted.

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Summary of Intake Allegations					
Type of Abuse	Total	Total Accepted	Total Rejected	% Accepted	% Rejected
Denial of Critical Care	1996	1130	866	56.61 %	43.39 %
Denial of Critical Care Due to Adult's Actions	1380	778	602	56.38 %	43.62 %
Exploitation	1073	446	627	41.57 %	58.43 %
Personal Degradation	191	111	80	58.12 %	41.88 %
Physical Abuse	578	338	240	58.48 %	41.52 %
Sexual Abuse	143	45	98	31.47 %	68.53 %
Total Allegations	5361	2848	2513	53.12 %	46.88 %

Column Name Definition

Type of Abuse Iowa Code 235B defines what abuse is. The types of abuse recognized in the Code are: Physical, sexual, exploitation, denial of critical care when there is a caretaker and denial of critical of care when the dependent adult is responsible.

<u>Total</u> Total number of accepted/rejected allegations made to the Department.

Total AcceptedThe number of reports of alleged abuse or neglect accepted for a social worker to evaluate or assess circumstances surrounding the allegation of abuse to determine if the dependent adult was in fact abused or neglected.

<u>Total Rejected</u> The number of reports of alleged abuse or neglect rejected due to the allegation not fitting the definitions of abuse found in Iowa Code 235B, or there was not a caretaker or the adult was not dependent.

<u>% Accepted</u> The percentage of the total number of reports accepted for evaluation or assessment for each category of abuse.

<u>% Rejected</u> The number of reports of alleged abuse or neglect rejected due to the allegation not meeting the definitions of abuse found in Iowa Code 235B, or there was not a caretaker or the adult was not dependent.

^{*} This report is a Summary of Allegations and not a summary of reports. If a reported incident has more than one allegation, that report will be counted multiple times in the totals above.

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Types of Reporters					
Type of Reporter	Total	Total Accepted	Total Rejected	% Accepted	% Rejected
AAA/ADRC	2	0	2	0.00 %	100.00 %
Anonymous	0	0	0	0.00 %	0.00 %
Financial Institution - Permissive	258	30	228	11.63 %	88.37 %
Hospital Social Worker	162	98	64	60.49 %	39.51 %
Known Permissive	1878	810	1068	43.13 %	56.87 %
Licensed Psychologist	9	5	4	55.56 %	44.44 %
Nurse	664	421	243	63.40 %	36.60 %
Other Medical Personnel	478	279	199	58.37 %	41.63 %
Other Mental Health Professional	135	70	65	51.85 %	48.15 %
Other Social Service Professional	896	529	367	59.04 %	40.96 %
Parole/Probation Officer	6	3	3	50.00 %	50.00 %
Physician	128	92	36	71.88 %	28.13 %
Residential Staff	18	11	7	61.11 %	38.89 %
School Personnel	26	16	10	61.54 %	38.46 %
Sheriff/Deputy/Police Officer	304	148	156	48.68 %	51.32 %
Social Worker – non DHS	302	181	121	59.93 %	40.07 %
Social Worker -DHS	78	61	17	78.21 %	21.79 %
Total Reporters	5344	2754	2590	51.53 %	48.47 %

Column Name Definition

Type of Reporter Anyone may report suspected abuse or neglect; however, IA Code 235B mandates professionals who work with dependent adults to report suspected abuse. These reporters are called mandatory reporters. All other reporters are called permissive reporters. A Mandatory Reporter Reporting Permissively is a person who is mandatory reporter due to their employment, but they are reporting suspected abuse that occurred outside of their employment.

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<u>Total</u>	Total number of accepted/rejected reports of suspected abuse made to the Department.
Total Accepted	The number of reports of alleged abuse or neglect accepted for a social worker to go and evaluate or assess to determine if the dependent adult was in fact abused or neglected.
Total Rejected	The number of reports of alleged abuse or neglect rejected due to the allegation not fitting the definitions of abuse found in lowa Code 235B, or there was not a caretaker or the adult was not dependent.
% Accepted	The percentage of the total number of reports accepted for evaluation or assessment for each category of abuse.
% Rejected	The percentage of the total reports rejected due to there not being an allegation of abuse, the person responsible for abuse is not a caretaker, or the adult was not dependent.

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Types of Mandatory Reporters					
Туре	Total	Total Accepted	Total Rejected	% Accepted	% Rejected
AAA/ADRC	2	0	2	0.00 %	100.00 %
Hospital Social Worker	162	98	64	60.49 %	39.51 %
Licensed Psychologist	9	5	4	55.56 %	44.44 %
Nurse	664	421	243	63.40 %	36.60 %
Other Medical Personnel	478	279	199	58.37 %	41.63 %
Other Mental Health Professional	135	70	65	51.85 %	48.15 %
Other Social Service Professional	896	529	367	59.04 %	40.96 %
Parole/Probation Officer	6	3	3	50.00 %	50.00 %
Physician	128	92	36	71.88 %	28.13 %
Residential Staff	18	11	7	61.11 %	38.89 %
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Sheriff/Deputy/Police Officer	304	148	156	48.68 %	51.32 %
Social Worker – non DHS	302	181	121	59.93 %	40.07 %
Social Worker –DHS	78	61	17	78.21 %	21.79 %
Totals Mandatory Reporters	3208	1914	1294	59.66 %	40.34 %

Column Name Definition

Type of Mandatory The types of professionals who are required by Code to report suspected abuse fall into the following general categories: social work, health care, law enforcement, mental health and other.

<u>Total</u> Total number of accepted/rejected reports of suspected abuse received from each identified professionals.

<u>Total Accepted</u> Total number of reports of suspected abuse made by identified professionals that were accepted for evaluation or assessment.

<u>Total Rejected</u> Total number of reports of suspected abuse made by identified professionals that were rejected for evaluation or assessment due to not being a Code defined allegation of abuse, of the persons responsible for the abuse was not a caretaker, or the adult was not dependent.

% Accepted Percentage of number of reports of suspected abuse made by identified professionals accepted for evaluation or assessment.

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% Rejected Percentage of number of reports of abuse made by identified professionals rejected for evaluation or assessment.

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Outcomes of Accepted Allegations for Evaluation or Assessment

Type of Abuse	Founded		Unfou	nded	Confirmed, Not Registered	
	Total	Percentage	Total	Percentage	Total	Percentage
Physical Abuse	12	4.5%	242	90.0%	15	5.6%
Denial of Critical Care	67	7.0%	832	87.4%	53	5.6%
Sexual Abuse	8	18.6%	35	81.4%	0	0.0%
Exploitation	61	18.4%	271	81.6%	0	0.0%
Denial of Critical Care Due to Adult's Actions	106	16.3%	543	83.7%	0	0.0%
Personal Degradation	8	8.0%	89	89.0%	3	3.0%
Total	262		2012		71	

~		Nama	Definition
CO	lumn	Name	Definition

Founded Total The total number of reports of abuse evaluated or assessed that were found to have occurred in each category

Founded Percentage Percentage of the total number of reports evaluated or assessed for this category of abuse that were determined to have occurred.

<u>Unfounded Total</u> Total number of reports evaluated or assessed for this category of abuse that were determined to have not occurred.

<u>Unfounded Percentage</u> Percentage of total number of reports evaluated or assessed for this category of abuse that were determined to have not occurred.

Confirmed, Not Registered Total Total number of reports of abuse evaluated or assessed in this category of abuse that were determined to have occurred, but were not placed on

the Registry.

Confirmed, Not Registered Percentage Percentage Percentage Percentage of total number of reports of abuse evaluated or assessed in this category of abuse founded, but were not registered in this category.

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Recommendations For Services

Service	Total
Care/case management services (includes AAA/ADRC)	198
Caregiver support services	51
Education, employment and training services	6
Emergency assistance and material aid services	5
Financial planning services	19
In-home assistance services	180
Legal services referral	19
Medical and dental services	59
Mental health services	63
Public assistance benefits	22
Substance use services	10
Transportation	15
Victim services	6
Recommended service is unavailable in the subject's area	2
Periodic Visits	66
Other Services	206
None	1436

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Perpetrator Legal Remedy

Remedy	Total
Recommendation of removal of guardianship rights	5
Recommendation of restraining order on perpetrator regarding the client	18
Recommendation of eviction of perpetrator	3
Recommendation of restitution by perpetrator	17
Recommendation of other legal remedy	29
No crime committed	918
None	1218

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Interagency Coordination

Agency	Total
Law enforcement or prosecutorial offices (includes DCI, County Attorney, Local Law Enforcement)	285
Protection and Advocacy or Client Advocacy Program (CAP) such as Disability Rights Iowa, Iowa Civil Rights Commission, and the Equal Opportunity Commission, Federal Department of Labor	7
State licensing agency (Department of Inspections & Appeals, Department of Public Health, Iowa Medicaid Enterprise)	19
State Medicaid Fraud Control (MFCU)	0
Long Term Care Ombudsman Program	1
Office of the Substitute Decision Maker	6
AAA/ADRC	63
Crime Victim Assistance Program	0
Child Action Center	3
Iowa Workforce Development, Division of Labor Services	0
State Fire Marshall's Office	3
None	1688
Other	164