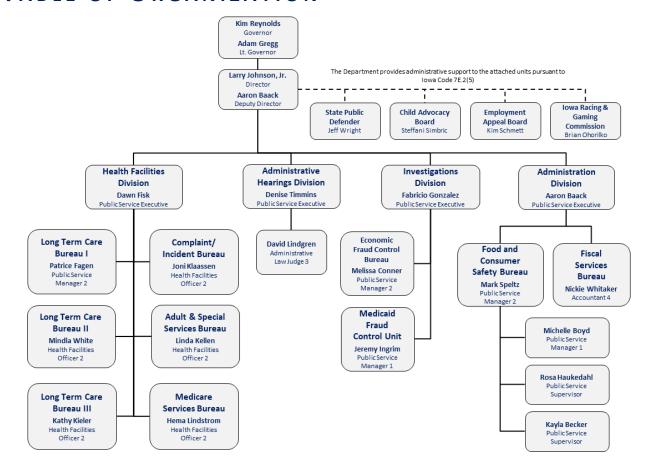
IOWA DEPARTMENT OF INSPECTIONS AND APPEALS

STRATEGIC PLAN 2020-2025



TABLE OF ORGANIZATION



OUR FOCUS

In June 2017, Governor Kim Reynolds and Lieutenant Governor Adam Gregg began their first term in guiding the future progress of the executive branch. Governor Reynolds appointed Larry Johnson, Jr. director of the Iowa Department of Inspections and Appeals, effective March 1, 2019. Director Johnson had previously served as the State Public Defender and legal counsel to Governor Branstad.

Governor Reynolds and Lt. Governor Gregg set out five enterprise goals upon taking office. DIA is working to fulfill these enterprise goals:

- Future Ready Iowa
- · High quality health care
- Empower rural lowa
- Second chances
- Innovative energy policy

Mission

Achieve compliance through education, regulation and due process for a safe and healthy lowa.

Vision

Be an effective, efficient, and approachable regulatory agency.

Our Strategic Plan for 2020-2025 reflects how DIA will focus its resources.



Due to the diversity of the responsibilities of the department, our strategic plan is composed of four individual strategic plans representing the operational divisions of the department and the attached units to the department. While the Hospital Licensing Board is an attached unit, their plan is rolled in with the department's strategic plan. The State Public Defender's Office, while an attached unit, will submit their strategic plan separately.

OPERATIONAL DIVISIONS

Assessment

We have identified our internal strengths and limitations as well as our external challenges and opportunities, all of which impact our mission and vision. These factors were taken into consideration as goals and strategies were developed for the department.

Internal Assessment **External Assessment** • Fresh ideas coming in with new hires Strong relationships with stakeholders Flexible work schedules and spaces • Employees expertise, experience and dedication • Opportunity to increase employee engagement · Collaboration among state, federal and local agencies Strength **Opportunity** • New and different work from referring agencies • In-house administrative and fiscal support • PDS training and staff development · Lean tools utilization in each division · Diverse funding streams · New staff with new ideas and vision · New technologies Siloed structure • Low unemployment rate/difficulty in recruitment · Complicated/outdated communication methods · Lack of succession planning; loss of institutional knowledge · Reliance on work from other agencies Weakness **Threat** · Industry trends and needs moving faster than expected Recruitment Updating priorities • IT expertise; overly reliant on paper Space/office

GOALS, MEASURES, AND STRATEGIES

The department's vision is to be an effective, efficient and approachable agency. The department has aligned its goals and resources to achieve its vision as set out below. Key strategies have been identified for moving toward achieving these goals. The goals, outcome measures and strategies are:

Goa	#1 – be an eff o	ective agency.
		Have new databases with online applications by 01/01/22.
	Outcome	Develop and implement staff mentoring and training program by 01/01/21.
	Measures	Develop agency-wide space assessment by 07/01/21.
		Provide stakeholders with timely data.
	Key Strategies	Research current technology options.
		Have timely inspections/surveys.
		Have online licensing for licenses issued by DIA.
		Update DIA databases.
		Review space options for staff.



Goa	Goal #2 – be an efficient agency.						
	Outcome Measures	Have e-filing complete by 07/01/20. Have the Food bureau able to take credit card payments by 07/01/20. Decrease phone calls and webmaster inquiries seeking information by 50%. Reduce the annual long-term care survey cycle to 14.0 months by 07/01/20, 13.5 months by 01/01/21, and 12.5 months by 07/01/21.					
	Key Strategies	Use email distribution lists. Have an intranet for employees. Move to e-filing system. Have timely annual surveys.					

Goa	l #3 – be an ap r	proachable agency.
	Outcome	Launch new DIA website with training resources by 01/01/21.
	Measures	Increase traffic to website by 30%.
		Update DIA website.
		Ensure our online access is mobile compatible.
	Was .	Ability to use multiple platforms
	Key Strategies	Meet-with and conduct training-for industry and associations.
		Have a multimedia library.
		Survey industry for feedback on areas for improvement
		Share data with industry, legislators, stakeholders, other agencies

EMPLOYMENT APPEAL BOARD STRATEGIC PLAN 2017-2020

Our Mission

The Employment Appeal Board is a quasi-judicial state agency pursuant to Iowa Code Section 10A-601that is legislatively mandated to hear and decide contested cases under Chapter 8A, Subchapter IV, and Chapter 80, 88, 91C, 96 and 97B. As a quasi-judicial state agency, the Employment Appeal Board will provide timely adjudication on matters under their review.

Core Functions

- Adjudication of Unemployment Insurance (UI) Laws
- Adjudication of OSHA Violations
- Adjudication of Department of Administrative Services (Human Resources) decisions
- Adjudication of Iowa Public Employees Retirement System (IPERS) decisions



- Peace Officer and Capitol Security disciplinary actions
- Adjudication of Labor Commissioner's citations or proposed penalties
- Communication

Our Vision

The Employment Appeal Board is dedicated to being fair and timely in reviewing the decisions from the lower level based on the administrative evidence. This would include adopting rules pursuant to Chapter 17A to establish the manner in which contested cases are presented and hearings are conducted. The Board's ultimate goal is to be responsive to the citizens of lowa by promoting efficient and prompt notification to the interested parties of its findings and decisions.

Guiding Principles

The Employment Appeal Board is service focused and renders timely decisions that are based on the evidence and evaluated in accordance with relevant statutes, regulations and case law.

Fairness Timeliness Promptness Efficiency

Internal and External Assessment

The Employment Appeal Board has identified our strengths, limitations, opportunities and threats through the following factors.

- An overall assessment of the knowledge, skills, and abilities of Board Members, General Counsel and Administrative Staff that will reinforce the mission of the unit.
- An assessment of the inter-office cross-training module with modification to areas that will aide
 in improving overall promptness and efficiency to stakeholders desiring information.

Strengths:

- The Employment Appeal Board has combined expertise in the public and private sector as it relates to labor and human resources.
- The Administrative staff coupled with their longevity has constant and stable expertise in the day-to-day operations of the office that promotes efficiency and timeliness as it relates to handling incoming calls from stakeholders and decision processing.

Limitations:

- Changing Federal guidelines regulating the calculation of UI decisions.
- Uncertain public perception on how decisions which contain personal information are communicated to the public.



Opportunities:

- Delivery of final decision through Internet posting.
- Collaboration with Iowa Workforce Development on website development for UI decisions.

Challenges:

Unpredictability of future federal funding and its impact on our budget.

Goals/ Outcome Measures / Strategies

Goal 1: The Employment Appeal Board will continue to increase compliance as it relates to the following:

- Unemployment Compensation Insurance (UI) (Chapter 96, Iowa Code)
- Occupational Safety and Health Administration (OSHA) violations.
- Department Administrative Services (Human Resources) decisions involving disqualification, restriction or removal from eligible lists (581 IAC 12.2 (4)).
- Iowa Public Employees' Retirement System (IPERS) decisions (Chapter 97B, Iowa Code).
- Peace Officer and Capitol Security disciplinary actions (Iowa Code Section 80.15).
- Labor Commissioner's citations or proposed penalties for violations of construction contractor's registration laws (IAC Section 91C.8).

Outcome Measures

- Increase efficiency and maintain timeliness
- Educating the public to the overall operation of EAB

Key Strategies:

- Ensure all decisions are reviewed and adjudicated with adherence impartiality and fairness with the final decisions adhering to the regulatory guidelines communicating the results to the stakeholders in an efficient and prompt manner.
- Daily monitoring of decisions by the Board and Administrative Staff to troubleshoot quagmires.
- Develop a process to improve timely communication to stakeholders.
- Enhance communication to the public through prompt return of phone calls and expediting questions as it relates to the resolution of decisions.
- Continue cross training on all inter-office staff increasing the overall efficiency of decision turn around and response.
- Continue to improve stakeholder satisfaction on decision processing.

Goal 2: Create a work environment that perpetuates job satisfaction, customer service, process improvement and public accountability.



Outcome Measure:

• Encourage staff to maintain an explicit, continuous focus on results and program improvement.

Key Strategy:

Develop a recognition program within the unit to reward unit performance.

Goal 3: Continue to improve electronic media capabilities that will ensure that the Employment Appeal Board is upgrading their effort to respond to the Iowa citizenry in a prompt and timely manner.

Outcome Measure:

 Identification of innovative approaches to service delivery comparing the data with the old to the new service delivery for effectiveness to stakeholders.

Key Strategy:

Collaborate with Iowa Workforce Development to improve the delivery of services to all
of Iowa citizens fostering integrity of the process.

RACING AND GAMING COMMISSION STRATEGIC PLAN 2018-2023

BACKGROUND

In May of 1983, the Iowa Legislature passed the Pari-Mutuel Wagering Act under Iowa Code Chapter 99D allowing parimutuel wagering on horse and dog racing. The Governor appointed the first Iowa Racing Commission (Commission) on 07/01/83. The Commission consists of five members, each serving a staggered three-year term. The Commission appoints an Administrator for a four-year term.

July 1, 1989, lowa Code Chapter 99F was enacted to allow qualified sponsoring organizations to conduct gambling games on excursion gambling boats in a county where the electorate approves a proposition by referendum. The legislature changed the name of the Commission at that time to the Iowa Racing and Gaming Commission. The original excursion gambling boat legislation provided boarding restrictions, limitations on the amount of space boats could use for gambling, and wagering limits.

In March of 1994, those restrictions were lifted. In addition, slot machines were allowed at the currently licensed parimutuel facilities. In May of 2004, legislation was enacted to allow table games at racetrack enclosures, allow an excursion gambling boat to be a moored barge, and allow an excursion gambling boat to be located or operated on a natural or man-made lake or reservoir as long as the size would accommodate recreational activity; and also providing that a boat may be located on a body of water adjacent to a river within 1000 feet from the high watermark of the river.



In May of 2007, legislation was enacted to allow for gambling structures (not including racetrack enclosures) that are subject to land-based building codes rather than maritime or lowa Department of Natural Resources' inspection laws and regulation in which lawful gambling is authorized and licensed. This legislation effectively ended the requirement that gambling games be conducted over water.

In May of 2011, legislation was enacted to allow Advance Deposit Wagering, a method of pari-mutuel wagering in which an individual may establish an account, deposit money into the account, and use the account balance to pay for parimutuel wagering.

In 2014, legislation was enacted to allow Iowa West Racing Association and Dubuque Racing Association to maintain a license to conduct gambling games without the requirement of scheduling performances of live dog races; allowed the Iowa Greyhound Association to apply for a pari-mutuel license to race greyhounds at Dubuque and established the Iowa greyhound pari-mutuel racing fund under the control of the Commission. The legislation also allowed for simulcast venues at 99F facilities under certain circumstances.

In 2016 legislation was enacted to exclude certain promotional play receipts from the definition of adjusted gross receipts for purposes of the wagering tax on gambling games. In 2017 legislation was enacted to allow statewide self-excluded persons to lift their lifetime ban if it had been more than five years since their self-exclusion and allowed for new patrons wishing to self-exclude to opt for a five year or lifetime ban going forward.

The Commission is attached to the Department of Inspections and Appeals for administrative support purposes.

Mission Statement:

The lowa Racing and Gaming Commission will administer the laws and rules on pari-mutuel wagering at racetracks and gambling at excursion gambling boats, gambling structures and racetrack enclosures to protect the public and to assure the integrity of licensed facilities and participants.

Vision Statement:

To be a regulatory commission that creates a honest business climate/environment, that encourages operators and racing participants to come to lowa, and ensures the people of lowa and its visitors of the integrity of the racing and gaming industry.

Guiding Principles:

Upholding the law through:

- Protecting those we serve
- Protecting confidential information
- Ensuring program integrity by having policies and procedures follow legislative intent
- Continuous improvement based on integrity, excellence and quality
- Regulation strengthened by collaboration with other agencies and jurisdictions
- Ensuring financially responsible and accountable licensees



Internal/External Assessment:

Our strengths include:

- Experienced employees with professional expertise.
- Dedicated long-term employees with strong work ethic.
- Rules and procedures are continuously reviewed to adapt to changing industry standards.
- Technological enhancements are utilized by staff to provide effective communication, the ability to collect accurate data, and the ability to more effectively and efficiently respond to customer needs.
- Leadership supportive of decentralized site-based decision-making.
- Commission office locations convenient to the customer and a pleasant environment for regulatory employees.
- lowa licensed facilities under 99D and 99F have met stringent background requirements, are respected operators and are excellent corporate citizens.
- The coordinated effort of the Commission and the Division of Criminal Investigation.

Our limitations include:

- Training is costly usually incurring travel expenses and a diversity of individual skills, knowledge and abilities hampers group training.
- Staffing and funding level limitations.
- Challenge in staying abreast with rapid industry growth and changes.
- Impact of changing laws in contiguous jurisdictions.

Our opportunities include:

- Increased collaboration and improved relationship with other state agencies, licensees and associations.
- Technological advances and enhancements.
- Meetings and conferences where new developments in the industry are introduced and industry leaders and regulators congregate to discuss issues of mutual concern.
- Improved customer satisfaction.

Our challenges include:

- Budget constraints/rising costs.
- Changes in laws in contiguous states.
- · Negative public image.
- Reliance on other state agencies in performing our responsibilities.
- Rapid changes in the needs of customers.
- Increased emphasis on serving the public and industry through electronic means.



GOALS/OUTCOME MEASURES/STRATEGIES

The Commission has identified two major Goals. Key strategies have been identified for moving toward achieving these Goals. The Goals, Outcome Measures and Strategies are:

1. Achieve the highest possible voluntary compliance of statutes, rules and regulations.

Performance Measurers:

Percent of occupational licensees with initial issues receiving no serious violations after licensure.

Key Strategies:

- Develop a thorough background application screening process
- Provide an open exchange of information between the Commission and licensees.

2. Ensure the integrity of licensed facilities.

Performance Measure:

• Percent of licensees with significant deficiencies found as a result of an audit.

Key Strategies:

- Audit gambling revenue records.
- Audit slot systems.
- Ongoing training for staff to provide the knowledge necessary for them to perform auditing duties.



CHILD ADVOCACY BOARD STRATEGIC PLAN 2019-2024

2019-2024 Iowa Child Advocacy Board Strategic Framework

Our Vision: Safety, permanency, and wellbeing for every child in Iowa

Our Mission: Advocating for the protection of lowa's children and improvement of the child welfare system

Funding

Maintain state funding at current level or above.

Increase Friends' contribution to ICAB.

Increase grants, and seek other funding to support ICAB Programming.

Forecast IV-E Funding based upon volunteer training needs and FCRB activities.

Public Awareness

Recruit by using media and public speaking

Focus on volunteer and staff retention.

Reach a more diverse population.

Promote policy improvements in child welfare and juvenile law.

Training

Increase engagement of CASA volunteers in continuous learning

Provide facilitator and volunteer training to increase the value of FCRB advocacy to courts and DHS.

Engage staff in continuous learning opportunities.

Partnerships

Collaborate with the courts and DHS to respond to the implementation of Family First legislation.

Educate partners so they can advocate effectively

Improve and expand collaborative partnerships around the state.

Our Values: Respect, Integrity, Partnering and Advocacy



Definitions

Integrity: being honest with everyone involved in every case; having strong moral principles; following through on commitments

Respect: being considerate of the rights, wishes, feelings and traditions of everyone involved

Partnering: being collaborative with key people and organizations involved in child welfare to bring about the best solutions for children

Advocacy: influencing discussions and taking action for the benefit of neglected and abused children in Iowa



Goal 1: Consistently increase annual funding to maintain and expand ICAB programming

Proposed Goal Tenders: Wayne Schellhammer and Mike Steele

Performance Measure: Increase funding by 5% annually or to \$5 million a year by year 5.

Strategy 1: Maintain state funding at current level or above. Promote policy improvements in the child welfare and juvenile law. [For operationalization, Strategy 4 from Goal 2 is combined with this strategy]

Actions	Ranking	Person Responsible	Due By
Expand legislative advocacy with all people who have an ICAB connection.	A		
 Prepare messaging, training materials to communicate effectively with policy makers. 	A		
2. Communicate with Administration and Regulation Committee.			
 Develop messaging that connects the funding needed with established statute and policy and with intended outcomes of our advocacy programs. 			
3. Develop a storyboard.			
Strategy 2: Increase Friends' contribution to ICAB			
Actions	Ranking	Person Responsible	Due By
Continue and expand Light of Hope Breakfasts	A		
Ensure media coverage including pre and post-event	A A		
Utilize contacts to get more people in the door.	А		
	В		



		1	
 Expand donor management to include continuous periodic contributions. 			
Develop other marketing strategies for Friends fundraising.	В		
 Grants and other contributions; sponsors. Maintain current relationships and expand corporate coverage for operational costs. 	A B B		
Amazon Smile/Facebook Donate	A A		
Emphasize Employee Match.	Α		
 Talk to non-profits in other states to find out how they fund their program. 			
Planned giving program.			
Estates and wills.			
Engage ICAB staff and volunteers to help with funding efforts.			
Ameri Group			
Total Care			
Strategy 3: Increase grants, and seek other funding to support ICAB pro	gramming		1
Actions	Ranking	Person Responsible	Due By



Identify and prioritize funding opportunities for grants	Α	Slife (Clarke)	
United Way			
Community Foundations			
Social Groups and Service Clubs; Rotary, Elks, Jaycees, etc.			
Get local ICAB Coordinators more involved.			
• VOCA			
Identify national, state, local government, corporate and foundation funding opportunities for prioritized areas.			
Establish local strategic corporate partnerships for continuous support.			
Support a working agreement between the Grants Specialist and Friends to collaborate and support each entity in their fundraising efforts.			
 Expand and support a full-time position to focus on increasing funding opportunities and preparing local coordinators for local responsibilities. 			
Strategy 4: Forecast IV-E Funding based upon volunteer training needs	and FCRB a	ctivities	
Actions	Ranking	Person Responsible	Due By
 Refocus staff on achieving the training target; 6 or 12 hour annual volunteer training and tracking in CAMS. 	А		
Track all training and training related activities.	А		
	А		



Recruit more advocates and establish more boards to increase training time and board members; training hours will increase.
 Redefine work of FCRB and determine the location of local boards.



Goal 2: Increase public awareness of the programs to raise support, inspire involvement and influence systemic change.

Proposed Goal Tenders: Courtney Clarke and Marc Elcock

Performance Measure:

- Increase the total number of volunteers by 50% over the five year plan.
- 25% of new volunteers will be diverse in race, ethnicity, age and gender.

Strategy 1: Recruit by using media and public speaking engagements.

Actions	Ranking	Person Responsible	Due By
A. Fatal Pal Land Land Land Control of the control	^		
Establish brand and message consistency.	A		
2. Pursue opportunities for free publicity continuously using the outlets	C		
that work.			
3. Promote word of mouth.	В		
4. Develop promotional campaigns to different organizations in local	Α		
communities.			
5. Establish a database of community groups, churches, etc. to be	В		
tracked.			
 Identify groups to reach out to and who is responsible 			
6. Optimize ICAB website to drive more people to visit the site.	В		
7. Continually update and create a presence on volunteer recruitment			
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sites, e.g. Volunteer Match, United Way, etc.			
8. Develop a meet and greet kit for accurate and consistent information			
Strategy 2: Focus on volunteer and staff retention.			
Actions	Ranking	Person Responsible	Due By



1.	Identify reasons volunteers and staff are leaving/staying.	А		
2.	[Use Exit Interviews – Owens] Recognition activities:	В		
	 Volunteers and staff who have made a unique contribution 	A		
	Secure funding for recognition events	В		
3.	Promote value of volunteers by ensuring recognition by other parties, such as judges.	В		
4.	Focus on training to build confidence and competence to increase retention.			
5.	Organize networking and opportunities to learn from each other and get to know each other to promote belonging.			
St	rategy 3: Reaching a more diverse population.			
St	rategy 3: Reaching a more diverse population. Actions	Ranking	Person Responsible	Due By
		Ranking A	Person Responsible	Due By
	Actions Identify community groups that cater to more diverse populations		Person Responsible	Due By
1.	Actions Identify community groups that cater to more diverse populations and ways to work with them.	A	Person Responsible	Due By



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naible Due Du
nsible Due By



Goal 3: Provide high quality training for volunteers and staff to ensure competent advocacy.

Proposed Goal Tenders: Sarah Madojemu and Beth Myers

Performance Measures:

- 10% annual increase in overall volunteer participation in training activities
- By mid FY22 implement a process to measure training quality and advocacy competence
- 100% of staff meets required training

Strategy 1: Increased engagement of CASA volunteers in continuous learning opportunities

Actions	Ranking	Person Responsible	Due By
Consistently evaluate and revise advocate and coach training	Α		
materials every three years based on the results of quality reviews	A		
2. Ensure volunteers consistently receive 12 hours training annually			
and meet first year and annual required training needs.	Α		
Ensure the topic, title, time and evaluation data is			
captured/entered in the system to help monitor the level of training provided.	В		
Provide independent learning opportunities to help meet the	Α		
annual training requirements			
 Adjust training performance measures for staff to measure achievement of increased engagement of volunteers. 			



e of FCRB advo	ocacy to courts and DF	lS
Ranking	Person Responsible	Due By
А		
В		
А		
А		
В		
1		
Ranking	Person Responsible	Due By
	Ranking A B A A B	A B A A B B



1.	Assess staff training needs.	А	
2.	Develop performance measures connected to staff development for all ICAB roles.		
	Encourage staff to seek and complete their own independent	В	
	learning opportunities.	Α	
3.	Using resources we have (such as Google Suites and staff) to define and implement a peer-to-peer training program.	В	
4.	Continuous assessment, design and delivery of volunteer management training to meet staff development needs.		
5.	Training and education of staff on FF and its impact on CASA and FCRB role.		

Goal 4: 10 become an integral partner in the child welfare system.					
Proposed Goal Tenders: Judge Bill Owens and Dr. Angela Stokes					
Performance Measures: (First establish a baseline of outcome numbers) Increase the number of referrals made by X%. Implement a partner survey and establish a baseline. Show an increase of 5% in participation					
Strategy 1: Collaborate with the courts and DHS to respond to the implementation of Family First legislation					
Actions	Ranking	Person Responsible	Due By		



;	 Create liaison relationship with Supreme Court Task Force on FFPSA Work with Children's Justice district teams on efforts at implementation in each judicial district (service area). Administrator engage with Children's Justice State Council on efforts to implement FFPSA Explore the possibility of having FCRB involved in voluntary placement and safety plan cases Define the role of ICAB programs in FFPSA implementation. 	A A A A	Judge Owens Judge Owens/ Shirley/Amy Administrator	
,	Strategy 2: Educate partners so they can advocate effectively			
	Actions	Ranking	Person Responsible	Due By
	 Identify ways that ICAB positively impacts each of the partners and the work they do and share data and information with partners. Educate judges, especially new judges, on role of CASA and FCRB and the benefits of their involvement in CINA cases 	A A		
	 Interface with Children's Justice and the Judge's Assoc. to provide an opportunity to share the role and benefits 			
	 Develop talking points on roles and responsibilities of CASA and FCRB volunteers Get local feedback from Judges 	Α		
		А		
	Educate coordinators on Judge's feedback 3. Educate county attorneys on CASA and FCRB	A		



Develop talking points on roles and responsibilities of CASA and FCRB volunteers (e.g. More referrals for a CASA assignment)				
 4. Educate DHS on CASA and FCRB Develop talking points on roles and responsibilities of CASA and FCRB volunteers (e.g. Update case plans, participation in reviews, encourage families to attend - FCRB) 				
 5. Educate interested parties about the FCRB process and value Develop talking points on roles and responsibilities of FCRB volunteers and process (e.g. participation in reviews, encourage families to attend - FCRB) 				
Educate coordinators Teach coordinators how to use the talking points to meet with identified partners.				
Strategy 3: Improve and expand collaborative partnerships around the state				
Actions	Ranking	Person Responsible	Due By	
Define needed collaborative partnerships; identify current and potential partners.	А			
Establish partnership agreements.	A A			
a Identify what we need from them, how it can be done help engage				
 Identify what we need from them, how it can be done, help engage others, and what we can do for them 	A			
	A A A			



6. Identify partners in unserved areas of the state for both short and long		
term [Think about partners who can further our mission but we are not currently working with - (Owens). Examples: Child Advocacy Centers, ACE 360, other partners with mutual interests.]		