



TERRY E. BRANSTAD, GOVERNOR

BOARD OF MEDICAL EXAMINERS  
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January 29, 1993

The Honorable Dorothy Carpenter, Chair  
House Committee on State Government  
Iowa House of Representatives  
State Capitol Building  
L O C A L

Dear Representative Carpenter:

Pursuant to the provisions of Section 258A.4(2) of the 1991 Code of Iowa, the Iowa State Board of Medical Examiners (Board) hereby submits the annual report of its activities for calendar year 1992.

A. The Board and its staff opened 415 new investigations during calendar year 1992. The investigations resulted from contact by a variety of sources including; patients, other health care professionals, law enforcement or criminal justice agencies, hospitals, the general public, etc., or were opened on the Board's own motion.

B. There were no disciplinary actions taken by the Board during calendar year 1992 as the result of peer review committee action alone. Registered peer review committees were utilized by the Board however, to review and evaluate medical records, investigative reports and similar material, and to submit reports and recommendations to the Board relating to committee findings.

C. There were 30 formal disciplinary actions commenced before the Board or Courts during calendar year 1992.

D. The Board imposed a total of 125 formal and informal disciplinary sanctions during calendar year 1992 which include:

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|--|------|
| 1. License or certification revocations  | - 2  |
| 2. License or certification suspensions  | - 6  |
| 3. License or certification surrenders<br>accepted as disposition to a contested<br>case | - 4  |
| 4. Licenses or certifications placed on<br>probation                                     | - 13 |
| 5. Civil penalties (fines) assessed  | - 2  |
| 6. Licenses restricted   | - 1  |
| 7. Permanent retirement from practice  | - 1  |

8. Letters of Warning/Concern issued \* - 96

GRAND TOTAL 125

\* Informal sanctions

E. At the end of calendar year 1992, three (3) Board disciplinary actions remained in Iowa District Courts for judicial review. One (1) Board disciplinary action remains on appeal before the Iowa Supreme Court.

F. During calendar year 1992, the Board registered six (6) peer review committees. The committees are:

1. Surgical peer review committee, 3 members.
2. Anesthesiology peer review committee, 3 members.
3. OB/GYN peer review committee, 2 members.
4. Internal Medicine peer review committee, 2 members.
5. Emergency Medical Service peer review committee, 2 members.
6. Family Practice peer review committee, 2 members.

G. In addition to the formal disciplinary actions previously outlined in this report, the Board through formal action:

1. Denied the applications for Iowa licensure submitted by nine (9) physicians.
2. Following an appeal hearing, issued a license to one (1) physician, whose application had been originally denied.
3. Issued one (1) physician license on terms and conditions imposed by Consent Agreement.

H. Formal actions concluded by the Board during calendar year 1992, both Licensure and Disciplinary, totaled 39. It should be noted that many formal actions filed by the Board are not concluded in the same calendar year in which they are filed. Discovery and other due process requirements often take several months. Thus, delays often exist between the initiation of a formal action and its ultimate resolution. In addition, in many disciplinary cases more than one sanction is imposed (e.g. suspension followed by probation, and civil penalty, etc.), only the most serious sanction imposed is reflected in Section E of this report.

I. During calendar year 1992, the Board approved the issuance of the following physician licenses: Total 957

1. 651 permanent licenses, including both M.D. and D.O.
2. 27 temporary licenses, including both M.D. and D.O.
3. 19 special licenses, M.D.
4. 260 resident licenses, including both M.D. and D.O.



J. The following administrative rule relating to the duties and responsibilities of the Board was promulgated, and adopted.

653-Chapter 11.1 - General licensure requirements. This rule updated certain requirements which must be met in order to be issued an Iowa medical license. This rule became effective May 20, 1992.

A copy of the said rule is enclosed for review.

Also, during calendar year 1992, the Board and its staff processed 4,636 renewal applications and continuing medical education certifications for permanently licensed physicians. Also the Board authorized the reinstatement of permanent licensure for 17 physicians whose licenses had expired and had become invalid for practice in Iowa. Additionally, the Board and its staff randomly audited the continuing medical education statements submitted by 191 physicians as part of their license renewal process. The Board and its staff also responded to at least 30,210 requests for verification of physician licensure during calendar year 1992.

Lastly, the Board and its staff processed applications for, and administered the nationally accepted Federation Licensing Examination (FLEX) and the Special Purpose Examination (SPEX) to 351 physicians.

Respectfully submitted,

A handwritten signature in cursive script that reads "Dennis M. Carr".

DENNIS M. CARR  
Acting Executive Director  
IOWA STATE BOARD OF MEDICAL EXAMINERS

Enclosures

cc: all committee members

FILED  
APR 24 1992

## IOWA BOARD OF MEDICAL EXAMINERS [653]

Adopted and Filed

Pursuant to the authority of Iowa Code section 147.76, the Iowa Board of Medical Examiners hereby adopts an amendment to Chapter 11, "Licensure Requirements," Iowa Administrative Code.

Notice of Intended Action was published in the Iowa Administrative Bulletin on February 19, 1992, as ARC 2781.

This rule is identical to that published under Notice of Intended Action with the exception of the deletion of subrule 11.1(3). The Board did not adopt proposed subrule 11.1(3). The requirements of the former subrule 11.1(3) are being adopted.

This rule is intended to implement Iowa Code chapter 147 and Iowa Code sections 148.3, 150.11 and 150A.3.

The amendment will become effective May 20, 1992.

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Rescind rule 653-11.1(147,148,150A) and insert in lieu thereof the following:

653-11.1(147,148,150,150A) General requirements.

11.1(1) Each applicant for a license to practice medicine and surgery, osteopathic medicine and surgery or osteopathy shall:

a. Submit a completed application on a form prescribed by the board with required credentials, all required documentation, and the required fee.

b. Submit a photocopy of the applicant's degree of doctor of medicine and surgery, osteopathic medicine and surgery or osteopathy or its equivalent issued to the applicant by a school or college of medicine and surgery, osteopathic medicine and surgery or osteopathy approved by the board.

(1) The schools or colleges of medicine and surgery approved by the board are those schools or colleges of medicine and surgery approved by the American Medical Association at the time the applicant graduated and was awarded the degree. The schools or colleges of osteopathic medicine and surgery or osteopathy are

those schools or colleges approved by the American Osteopathic Association at the time the applicant graduated and was awarded the degree. However, a degree awarded by an approved school or college of medicine and surgery, osteopathic medicine and surgery or osteopathy shall not be accepted if the applicant's academic training was not completed at the said approved school or college.

(2) In lieu of submission of a degree awarded by an approved school or college of medicine and surgery, osteopathic medicine and surgery or osteopathy, the applicant shall submit all of the following:

1. A photocopy of a degree awarded by a school or college of medicine and surgery, osteopathic medicine and surgery or osteopathy which has been neither approved nor disapproved by the board;

2. Documentation of the successful completion of one year of postgraduate internship or resident training which training has been approved by the board; and

3. A photocopy of a valid certificate issued by the Educational Commission for Foreign Medical Graduates or documentation of the successful completion of a fifth pathway program in accordance with criteria established by the American Medical Association.

11.1(2) Each applicant shall submit documentation of the successful completion of one year of postgraduate internship or resident training in a hospital approved by the board. The hospitals approved by the board for postgraduate internship or resident training are those located in the United States and Canada which were, at the time the postgraduate training was received, accredited by the Accreditation Council for Graduate Medical Education of the American Medical Association, accredited by the Committee on Postdoctoral Training of the Committee on Hospitals of the American Osteopathic Association, or accredited by the Royal College of Physicians and Surgeons of Canada.

11.1(4) Each applicant shall submit the number and the date of issuance of any license issued by another state, territory or

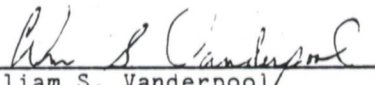


country, and shall submit a written statement showing the basis upon which each license was issued. In addition, each applicant shall submit a written statement as to whether any license so issued has ever been suspended, revoked or otherwise disciplined by formal action or by voluntary agreement.

11.1(5) Each applicant shall submit a chronological statement accounting for all time periods from when the applicant graduated from high school until the date of the application.

11.1(6) Any applicant applying for licensure may be required to appear for a personal interview before the board, a board committee or a designee of the board.

This rule is intended to implement Iowa Code chapter 147 and Iowa Code sections 148.3, 150.11 and 150A.3.

  
William S. Vanderpool  
Executive Director  
Iowa Board of Medical Examiners