

**House File 2460 Calendar Year 2014 Report
Iowa Department of Transportation
October 31, 2014**

House File 2460, passed during the 2010 session of the 83rd Iowa General Assembly, requires the Iowa Department of Transportation (DOT) provide recommendations to the General Assembly and to the Governor regarding methods to track and assess the participation of small business and disadvantaged business enterprises (DBE) in receiving nonfederal highway funding (see Attachment 1). This report fulfills that requirement.

In response to this requirement, the Iowa DOT recommends to the General Assembly and the Governor that the Iowa DOT annually collect small business contract (SBC) data and report it in Excel spreadsheet format to the General Assembly and the Governor. It is recommended the following information be included in the report:

Pre-Letting Information

Letting Date

Number of Small Business Contracts

Number of Requested Bidding Documents

Number of New Iowa DOT Bidders

Number of Existing Iowa DOT Bidders

Awarded Contract Information

Low Bid Contractor

Dollar Amount of Awarded Contract

Awarded Contractor – New Iowa DOT Bidder

Awarded Contractor - Existing Iowa DOT Bidder

Awarded Contractor- DBE Certified

The summary should include Iowa DOT actions to be taken when identified program areas could be modified or improved to better achieve intended outcomes of the program.

Consistent with this Iowa DOT reporting recommendation, an Excel spreadsheet (see Attachment 2) and summary of calendar year 2014 SBC program accomplishments to date (see Attachment 3) are provided.

HF 2460- Section 4
83rd General Assembly

Sec. 4. PARTICIPATION GOALS == SMALL BUSINESSES AND DISADVANTAGED BUSINESS ENTERPRISES.

1. The department of transportation, in cooperation with organizations that represent highway contractors, shall submit recommendations to the general assembly and the governor by November 1, 2010, concerning methods to track and assess the participation of small businesses and disadvantaged business enterprises in receiving nonfederal highway funding. The recommendations may include methods to assess the effort on the part of contractors to achieve participation by small businesses and disadvantaged business enterprises and may involve the establishment of participation goals. Following the consideration and adoption of recommendations by the general assembly, the department shall annually review the small business and disadvantaged business enterprise participation achievements of contractors who were awarded contracts for nonfederal aid highway projects with the department.

2. The department shall work in cooperation with organizations that represent highway contractors, small businesses, and disadvantaged business enterprises to maintain communication among the entities to further the dissemination of information about contract and training resources that are available from the department.

Small Business Winners in the March 18, 2014 Letting						
<i>Letting Date</i>	<i>BO</i>	<i>Contract ID</i>	<i>Bid Winner</i>	<i>Contract Work Type</i>	<i>Low Bid Amount</i>	<i>Responsive Bidders</i>
3/18/2014	981	62-0635-709	MID-CONTINENT CONTRACTING, INC.	HMA CRACK FILLING	\$99,708.99	1
3/18/2014	982	70-0615-704	MID-CONTINENT CONTRACTING, INC.	HMA CRACK FILLING	\$91,764.08	1

Small Business Winners in the April 15, 2014 Letting						
<i>Letting Date</i>	<i>BO</i>	<i>Contract ID</i>	<i>Bid Winner</i>	<i>Contract Work Type</i>	<i>Low Bid Amount</i>	<i>Responsive Bidders</i>
4/15/2014	981	57-3806-711	HUNT CONSTRUCTION CO. INC.	CLEARING & GRUBBING	\$9,545.00	4
4/15/2014	982	57-3806-712	DUFFIELD, ANNE CONSTR., INC	DELINEATOR & MILEPOST SIGNS	\$42,000.00	3

Small Business Winners in the May 20, 2014 Letting						
<i>Letting Date</i>	<i>BO</i>	<i>Contract ID</i>	<i>Bid Winner</i>	<i>Contract Work Type</i>	<i>Low Bid Amount</i>	<i>Responsive Bidders</i>
5/20/2014	981	06-0306-706	DUFFIELD, ANNE CONSTR., INC	DELINEATOR & MILEPOST SIGNS	\$23,130.00	3
5/20/2014	982	00-0006-715	HUNT CONSTRUCTION CO. INC.	MISCELLANEOUS	\$19,928.00	3

Small Business Winners in the June 17, 2014 Letting						
<i>Letting Date</i>	<i>BO</i>	<i>Contract ID</i>	<i>Bid Winner</i>	<i>Contract Work Type</i>	<i>Low Bid Amount</i>	<i>Responsive Bidders</i>
6/17/2014	981	97-0293-710	TAYLOR FENCING	FENCING	\$38,542.20	4

Small Business Winners in the August 19, 2014 Letting						
<i>Letting Date</i>	<i>BO</i>	<i>Contract ID</i>	<i>Bid Winner</i>	<i>Contract Work Type</i>	<i>Low Bid Amount</i>	<i>Responsive Bidders</i>
8/19/2014	981	85-0301-712	K & L CONSTRUCTION, INC.	CLEARING & GRUBBING	\$107,370.00	1

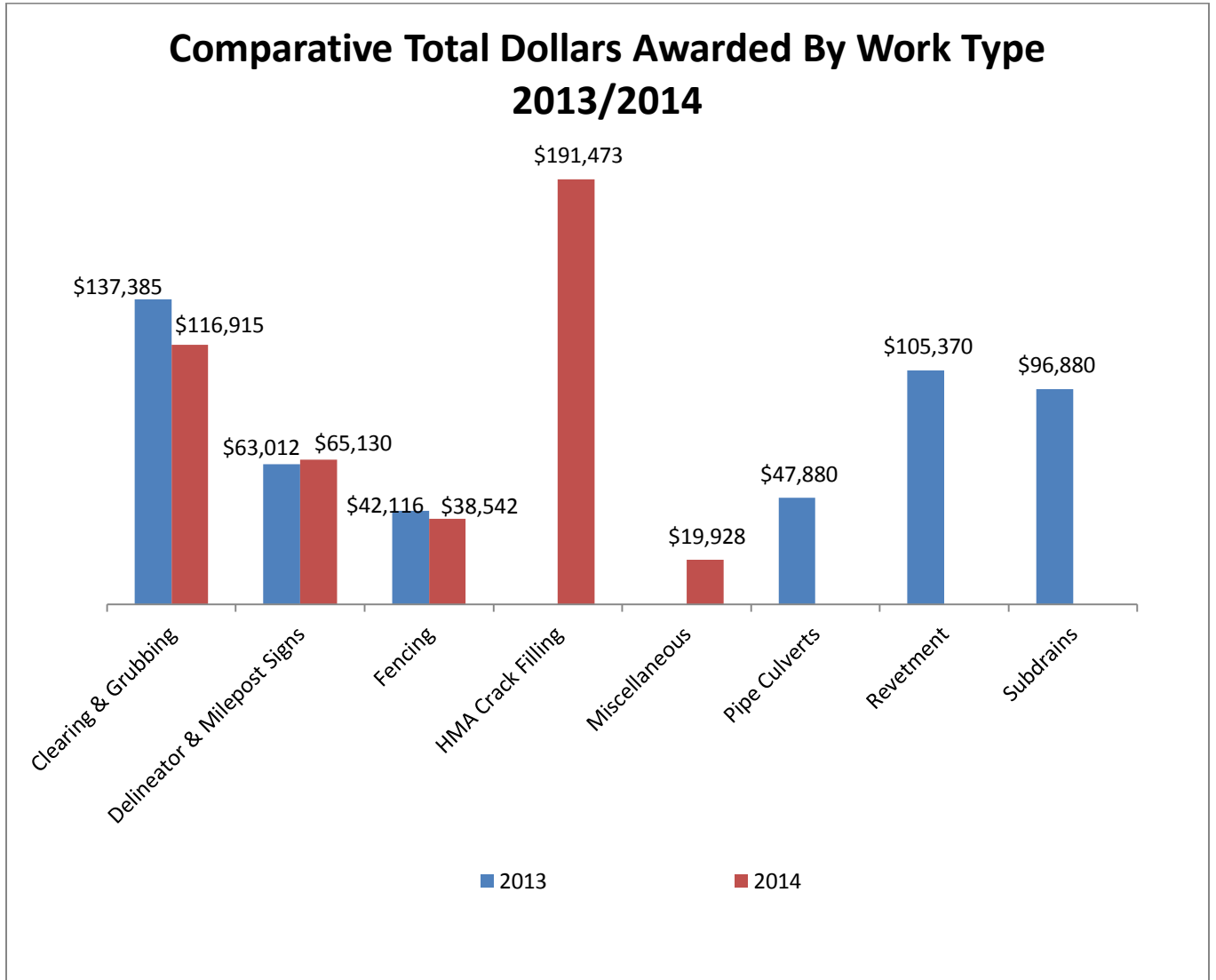
2014 Small Business Contract Summary

Prior to the passage of House File 2460, the Iowa DOT began a pilot program to let for bid small business contracting (SBC). The self-certification process started in late 2009 with anticipation of the first SBC letting in January 2010. Contractor involvement in the SBC program implementation included discussions at the Annual AGC/DBE/DOT Task Force Meeting and a Targeted Small Business Workshop. Informational articles about the SBC program were included in the Iowa DOT's Weekly Letting Report Newsletter and Civil Rights Semi-Annual Newsletter. In addition, information was posted to the Iowa DOT's Office of Contracts Web page. The results of the program to date are as follows:

- Five lettings in 2014 had small business contract projects with a total of eight SBCs awarded
- Program generated 37 requests for bidding documents from interested contractors
 - 20 actual bids were submitted
- The "low bidder" dollar amounts awarded totaled \$431,988.27

Most of the dollars awarded were for the SBC work types of HMA Crack Filling with only two contracts totaling \$191,473.07 (see Figure 1).

Figure 1



Future Actions

As part of a DBE program revision, the SBD program has been rewritten to better serve small business partners throughout the state. The new program will work closer with the local public agencies and the Iowa DOT recreational trails program. The new program is currently being reviewed by administrators at Iowa DOT and it is our goal to have it fully implemented by the 2015 calendar year.