

Iowa Department of Corrections

Corrections Today

Presentation to
**Justice Appropriations
Subcommittee**

John Baldwin, Director
January 2008



Institution Perspective

While standing in the hallway outside my office I saw a man along with his wife and a young girl. They were waiting to visit their son – the child's father. As a group of Correctional Officers reporting for their work shift passed by, the man leaned down to the little girl and said, "All these people are your Daddy's teachers." We made eye contact and I said, "I sure hope so." The man said, "It's true," and then his wife added, "This has been the best experience. He's learned so much."

Jerry Burt, Warden

Anamosa State Penitentiary

District Perspectives

“I would like to introduce myself, I am Ruth and I have become friends with Steve. In talking in great depth with Steve, your name has been brought up many times. Steve has asked me several times to contact you and tell you what a great job you did with him and he has the utmost respect for you. He is doing well, working full-time as a welder and really enjoys the work that he does.”

Reentry Feedback, 5th Judicial District

“It was important to have an opportunity to give back and have the trust of my PO and my family and the community who gave me a chance.

*Giving Back to the Community
Mario Hazlett, Director of Hansen House*

Corrections Governance

The Board of Corrections approves policy for the Department of Corrections. The Board of Corrections meets monthly.

Board of Corrections Members

Robyn Mills, Chair
(Johnston)

Arthur Neu, Vice Chair
(Carroll)

David Erickson
(West Des Moines)

Sheryl Griffith
(Fort Dodge)

Johnie Hammond
(Ames)

Rev. Michael Coleman
(Waterloo)

Michael Sadler
(Urbandale)

Names and Numbers You Need to Know

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Jeanette Bucklew, Deputy Director, Offender Services	(515) 725-5713	cell number: (515) 314-2644
Dan Craig, Deputy Director, Western Region	(515) 725-5730	cell number: (515) 314-2638
Brad Hier, Deputy Director, Administration	(515) 725-5703	cell number: (515) 314-8820
Michael Savala, General Counsel, Legal & Policy/Legislative Liaison	(515) 725-5715	cell number: (515) 314-2635
Fred Scaletta, Media and Public Relations/Legislative Liaison	(515) 725-5707	cell number: (515) 360-9300
Curt Smith, Executive Assistant	(515) 725-5721	cell number: (515) 418-7437

When you really need to find somebody:

Terry Boehlje..... (515) 725-5708 Abby Williams..... (515) 725-5717
 Diana Billhorn..... (515) 725-5710 Fay Olson..... (515) 725-5712

25 Years of Progress

- **The Department was created on October 1, 1983.**
- **Prior to 1983, Corrections was a division within the Department of Social Services (now Human Services).**
- **In 1998, the Department was divided into two regional operations, East and West, supporting offender reentry process.**
- **The Department operates 9 institutions and contracts with 8 Community-Based Corrections Districts that serve every county in the state.**

Criminal Justice Relationships

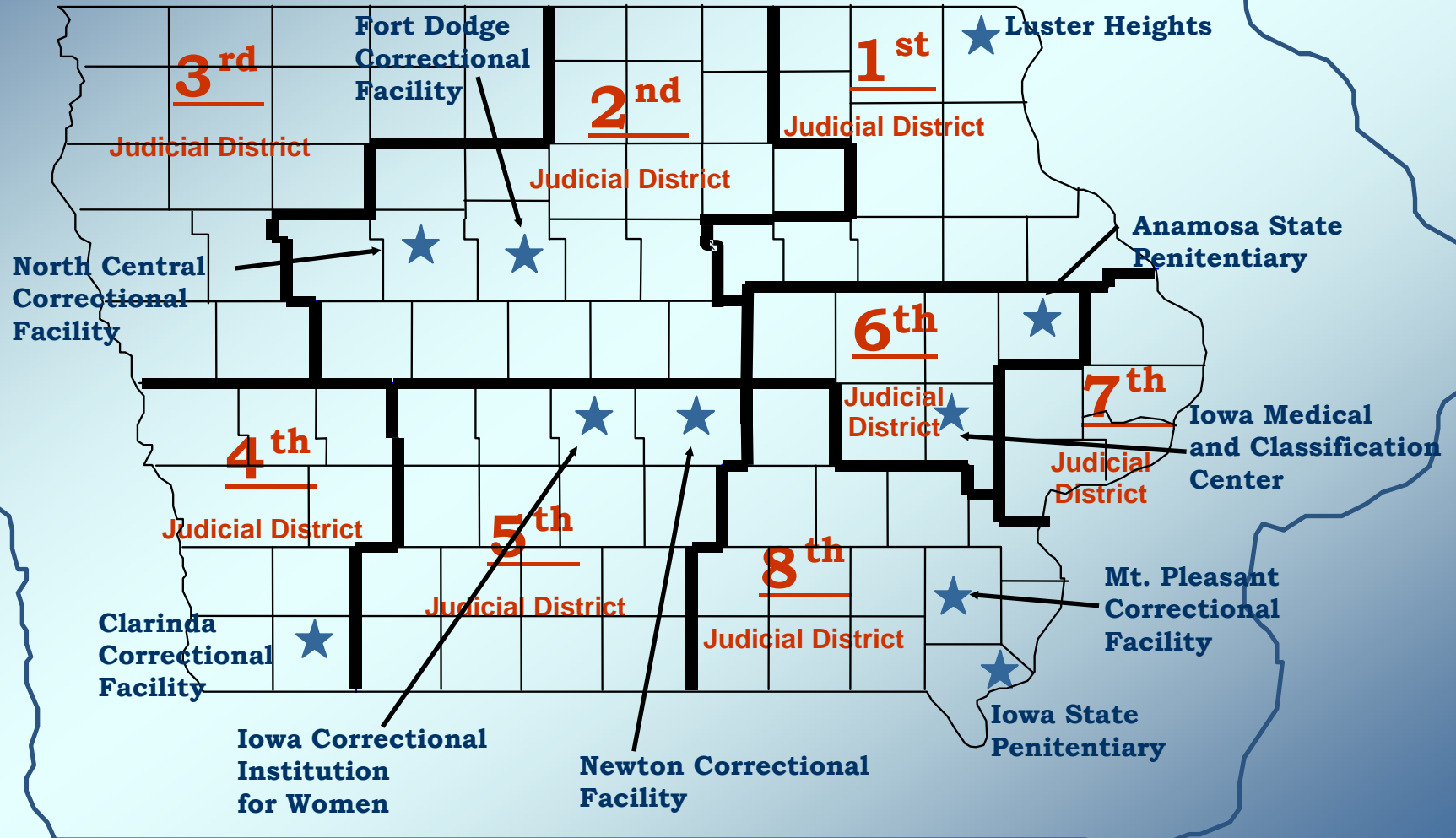
Code of Iowa 904: Department of Corrections' primary role is to manage Institutions and contract with Community-Based Corrections.

Code of Iowa 904A: Board of Parole's primary role is to determine release from institutions.

Code of Iowa 905: Community-Based Corrections' primary role is to manage pre and post institutional services for offenders.

Code of Iowa 901B: Corrections Continuum

Iowa Department of Corrections' Institutions and Community-Based Corrections Districts



Institution Established Locations

Iowa operates 9 correctional institutions located at:

Fort Madison Iowa State Penitentiary, Est. 1839

Anamosa Anamosa State Penitentiary, Est. 1872

Oakdale Iowa Medical and Classification Center, Est. 1969

Mt. Pleasant Mt. Pleasant Correctional Facility, Est. 1977

Newton Newton Correctional Facility, Est. 1963

Rockwell City North Central Correctional Facility, Est. 1982

Clarinda Clarinda Correctional Facility, Est. 1980

Mitchellville Iowa Correctional Inst. for Women, Est. 1982

Fort Dodge Fort Dodge Correctional Facility, Est. 1998

Total facilities space is 3,787,000 square feet.

Institution Construction

Clarinda	750 Beds – Men – General Pop.	4/1996
Newton	750 Beds – Men – General Pop.	7/1997
Fort Dodge	750 Beds – Men – General Pop. 400 Beds – Men – General Pop.	7/1998 2/2000
Mt. Pleasant	100 Beds – Women – Special Needs Unit	4/1999
Mitchellville	184 Beds – Women – General Pop. 48 Beds – Women – General Pop.	4/2000 11/2000
Ft. Madison	200 Beds – Men – Clinical Care Unit	9/2002
Clarinda Lodge	225 Beds – Men – General Pop.	3/2005
Oakdale	178 Beds – Men – Special Needs Unit	7/2007
Total Additional Beds = 3,585		

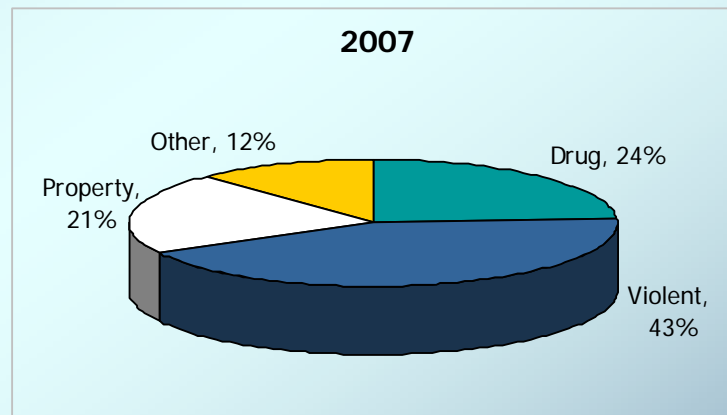
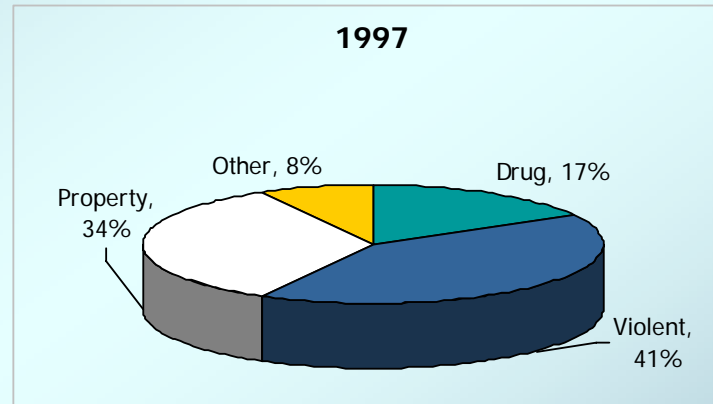
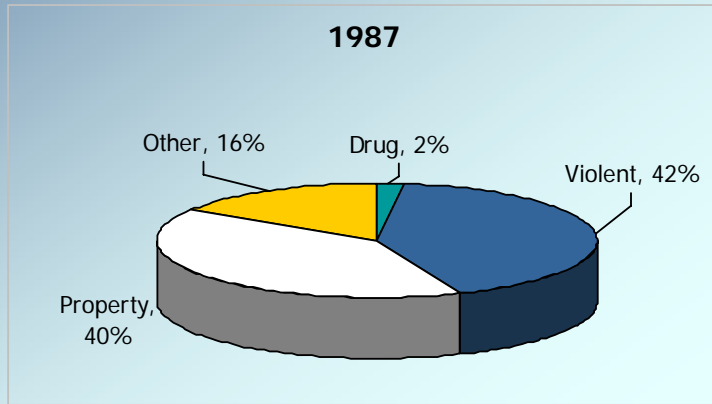
Institution Capacity / Security Type

	<u>Capacity</u>	<u>Security Type</u>
Iowa State Penitentiary (John Bennett) (Farms 1 & 3)	1,081	Max. – 749 Med. – 152 Min. – 180
Anamosa State Penitentiary (Luster Heights)	1,001	Max. – 913 Min. – 88
Iowa Medical and Classification Center	688	Med. – 688
Mt. Pleasant Correctional Facility	875	Med. – 875
Newton Correctional Facility (CRC)	944	Med. – 762 Min. – 182
North Central Correctional Facility	245	Min. – 245
Clarinda Correctional Facility Clarinda Lodge	975	Med. – 750 Min. – 225
Iowa Correctional Inst. for Women	443	Med. – 443
<u>Fort Dodge Correctional Facility</u>	<u>1,162</u>	<u>Med. – 1,162</u>
Total Capacity	7,414	

Institution Facts

- On January 2, 2008, there were 3,223 staff employed in the institutions.
- The top 5 personnel classes in the institutions are:
 - Correctional Officer – 1,693
 - Correctional Counselor – 167
 - Registered Nurse – 150
 - Correctional Food Service Coordinator – 103
 - Correctional Trades Leader – 86
- The institutions operate 24-hours a day, every day.
- It takes over 6 staff to cover a single, 24/7 post or job assignment.

Institution Population by Offense Type



Source: CJJP

What are the characteristics of an “average” institution offender?

Race Breakdown

White	5,753	66.0%
African American	2,161	25.0%
Native American	142	1.6%
Asian	67	0.8%
Hispanic	536	6.0%
Unknown	33	.0.4%

Education Level –

Average Education is 11.6

Iowa Adult Commitments

1 -	5,679
2 -	1,680
3 -	691
4 -	302
5 -	96
6 -	38
7 -	11
8 -	07
9 + -	06

Average Age is 35

Offenders with...

Life Sentences	617	28%
Mandatory Minimum	1,625	72%

Crime Types

Violent	3,736	44.0%
Drug	2,041	24.0%
Property	1,694	19.0%
Public Order	578	7.0%
Other	518	6.0%

Sentences (Years)

< 1 year	0
1 to < 3 yrs.	374
3 to < 5 years	128
5 to < 10 years	1,687
10 to < 20 years	3,024
20 to < 40 years	1,986
40+ years	662
Life	617
Unknown	214

Dependents

Average Dependents per offender who have visited – 1.4

Average Reading Level – 9.5

No. of Sentences Per Offender

1 -	3,290	6 -	209
2 -	2,536	7 -	108
3 -	1,226	8 -	69
4 -	666	9+	43
5 -	377		

2006 Critical Life Saving Measures

9/1/06	Choking Offender	Abdominal Thrusts (Kelly Jones, Mike Robinson, Loretta Whitlow, ICIW Staff)
9/1/06	Choking Offender	Abdominal Thrusts (Steven Price, CO, ICIW Staff)
12/1/06	Suicide Attempt	Officers intervened (Loretta Whitlow, Lynisa Smith, Kelly Jones, Lynn Larsen, Teresa Brumer, Doris Schmitt, Dan Jasper, Casey Seieroe, RN Greg Weaver, ICIW Staff)

2007

11/9/07	Traffic Accident	While traveling, a CO stopped and used life saving measures to help a citizen until officials arrived (IMCC)
11/24/07	Obstructed Airway/ Offender	Abdominal Thrusts (IMCC)
12/15/07	Suicide Attempt/Hanging	CO's cut him down, used CPR and called an ambulance (ISP)

2008

1/3/08	Suicide Attempt	CPR/called ambulance (CCU)
1/7/08	Suicide Attempt	Officers intervened (ICIW)

Legal Issues

Federal Court Orders:

- Today, there are over 250 various lawsuits pending against DOC.
- The court looks at issues involving the 8th and 14th Amendments (the 8th relates to CRUEL AND UNUSUAL PUNISHMENT and the 14th relates to DUE PROCESS). Basically, the court looks at issues of condition and confinement:

- 1) Health care
- 2) Mental health
- 3) Access to religion
- 4) Food
- 5) Housing and Overcrowding (120% - 130% of capacity)
- 6) Exercise
- 7) Treatment programs
- 8) Discipline and movement

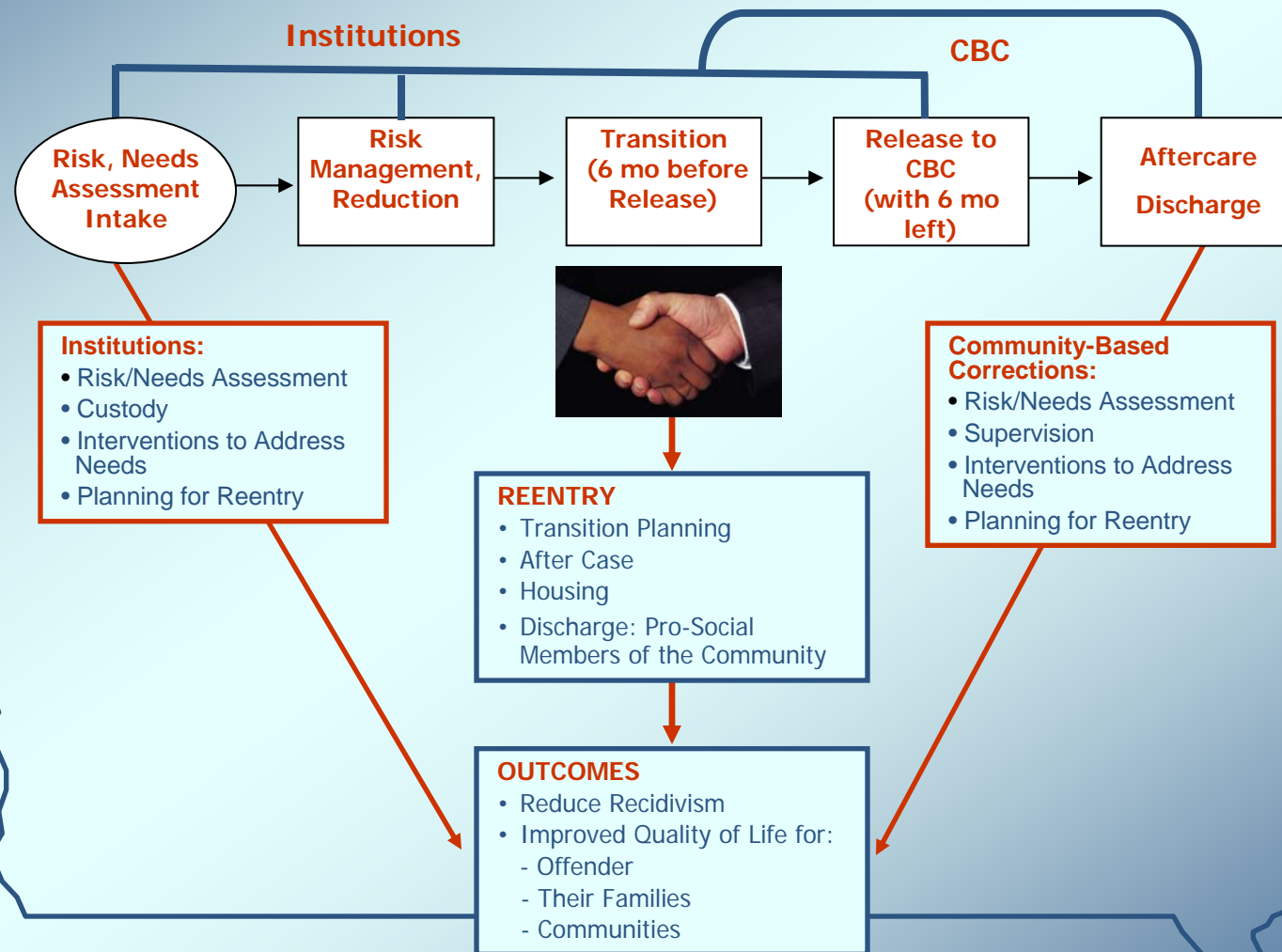
Corrections' Responsibilities

The Code of Iowa specifies that the following programs be provided.

- Education
- Day Programming
- Electronic Monitoring
- Pretrial Release
- Presentence Investigation
- Jail Inspections
- Iowa Prison Industries and Prison Farms
- OWI Offenders
- Violators Program
- Interstate Compact
- Drug Court
- Sex Offender Supervision
- Batterer's Education
- Anger Management
- Victim Impact Classes

Integrating Responsibilities

We protect the community, staff and offenders from victimization by creating partnerships and utilizing evidence based practices to prepare offenders to be pro-social members of the community without correctional supervision.



Community-Based Corrections (CBC) Facts

- Iowa operates 8 Community-Based Corrections Districts with headquarters located in:

Waterloo – 1st District

Ames – 2nd District

Sioux City – 3rd District

Council Bluffs – 4th District

Des Moines – 5th District

Cedar Rapids – 6th District

Davenport – 7th District

Fairfield/Ottumwa – 8th District

- By Code of Iowa, Community-Based Districts and the courts' Judicial Districts share the same geographic boundaries.

Community-Based Corrections Bed Space Facts

- The 22 residential facilities are listed below with their respective bed capacity.

1st District

West Union Residential Facility - 48
Dubuque Residential Facility - 80
Waterloo Residential Corr. Facility - 150

2nd Judicial District

Curt Forbes Residential Center, Ames - 45
Fort Dodge Residential Facility - 34 (Total 60 recommended for FY 2009)
Marshalltown Residential Facility - 51
Beje Clark Residential Center, Mason City - 51

3rd Judicial District

Residential Treatment Facility, Sioux City - 57
Residential Treatment Facility, Sheldon - 29

4th Judicial District

Council Bluffs Residential Facility - 71
Council Bluffs Women's Res. Facility - 26

5th District

Fort Des Moines Work Release - 119
Fort Des Moines Residential Facility - 80
Fort Des Moines OWI Correctional Center - 67
Des Moines Women's Residential Facility - 48

6th Judicial District

Hope House (John Stratton Center), Coralville - 55
Gerald R. Hinzman Center, Cedar Rapids - 83
Lary A Nelson Center, Cedar Rapids - 90
Mental Health Center - (Total 26 projected TBD)

7th Judicial District

Work Release Center, Davenport - 81
Residential Corrections Facility, Davenport - 64

8th Judicial District

Ottumwa Residential Facility - 51
Burlington Residential Facility - 60

Community-Based Corrections Facts

- On January 2, 2008, there were 1,238 staff employed by the CBC Districts.
- The top 5 personnel classes in the Districts are:
 - Probation/Parole Officer – 490
 - Residential Officer – 276
 - Secretary – 113
 - Probation/Parole Supervisor – 54
 - Treatment Coordinator – 34
- Residential bed capacity is 1,440

Did You Know?

Iowa's institution population has more than doubled in the last 18 years while the community corrections supervision population has increased by nearly 60% in the same time period.

Institution population on June 30, 1990 = 3,842

Institution population on January 1, 2008 = 8,692

January 1, 2007 = 8,838

CBC population on June 30, 1990 = 17,716

CBC population on January 1, 2008 = 30,121

January 1, 2007 = 30,051

Corrections Continuum - FY 2007 Served

Community Corrections
Monitored

- Low Risk Probation, Minimum Risk Program
- 15.6% (11,667)

Regular
Supervision

- Probation, Parole, Pre-Trial Release, Other
- 49.4% (36,880)

Intensive
Supervision

- Intensive Supervision, Sex Offenders, Pretrial Release, Low Functioning
- 6.0% (4,444)

Quasi-
Incarceration

- Residential Facilities
- 8.7% (6,473)

Short-Term
Incarceration

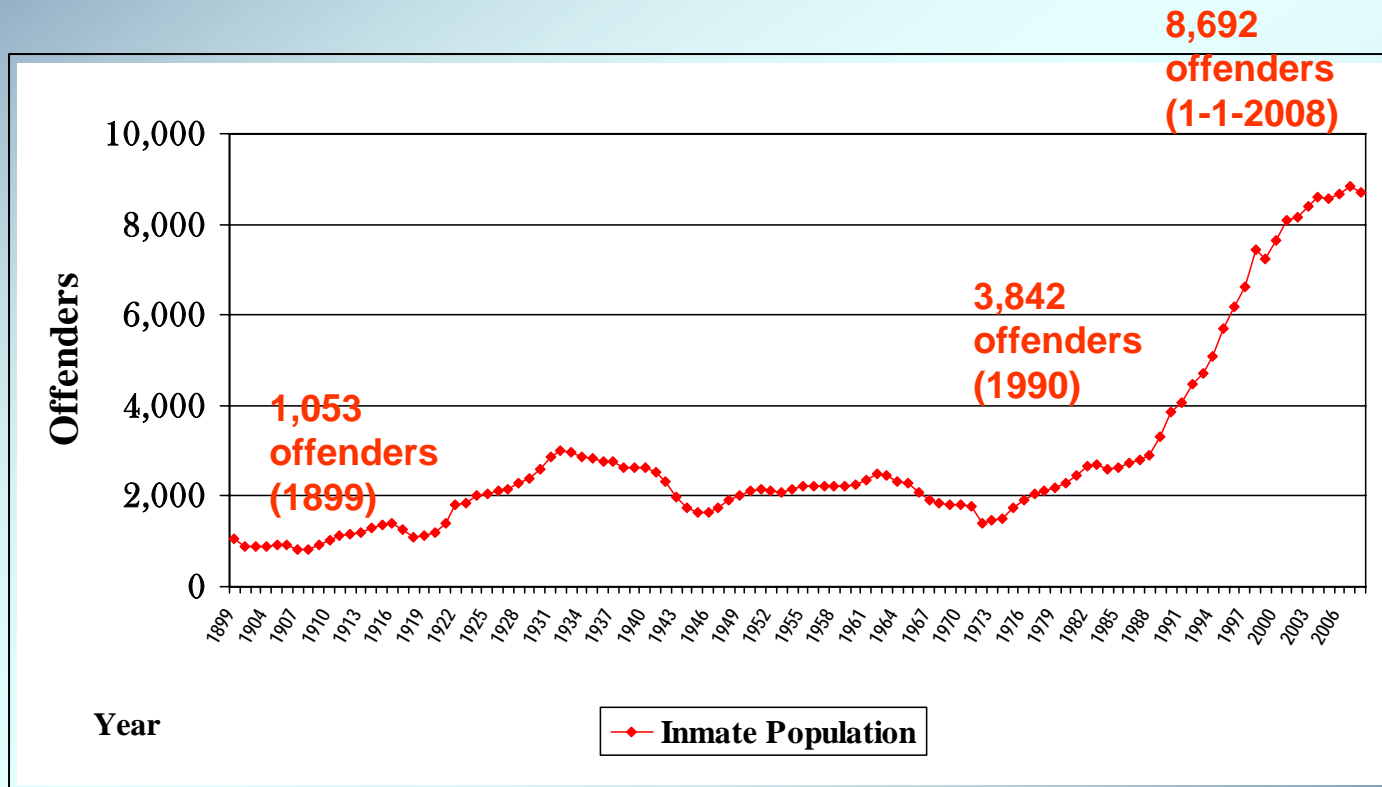
- Violators' Program
- 0.9% (642)

Full-Term
Incarceration

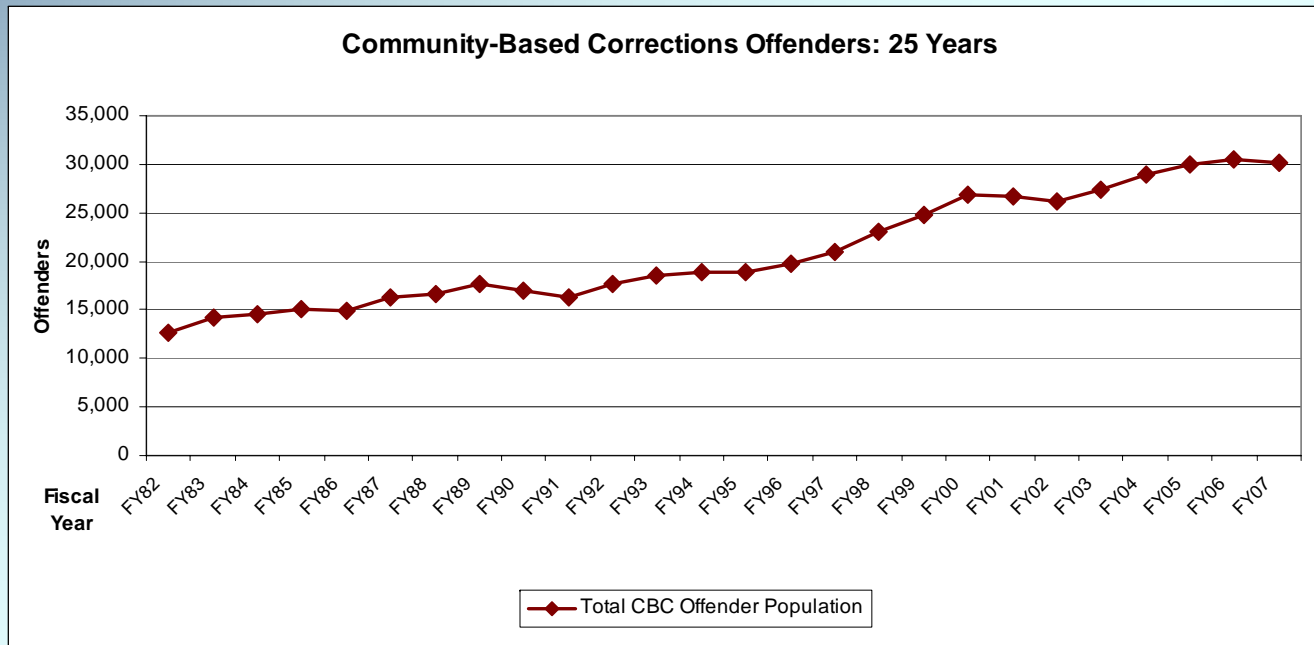
- Institution
- 19.4% (14,446)

A Century of Institution Growth

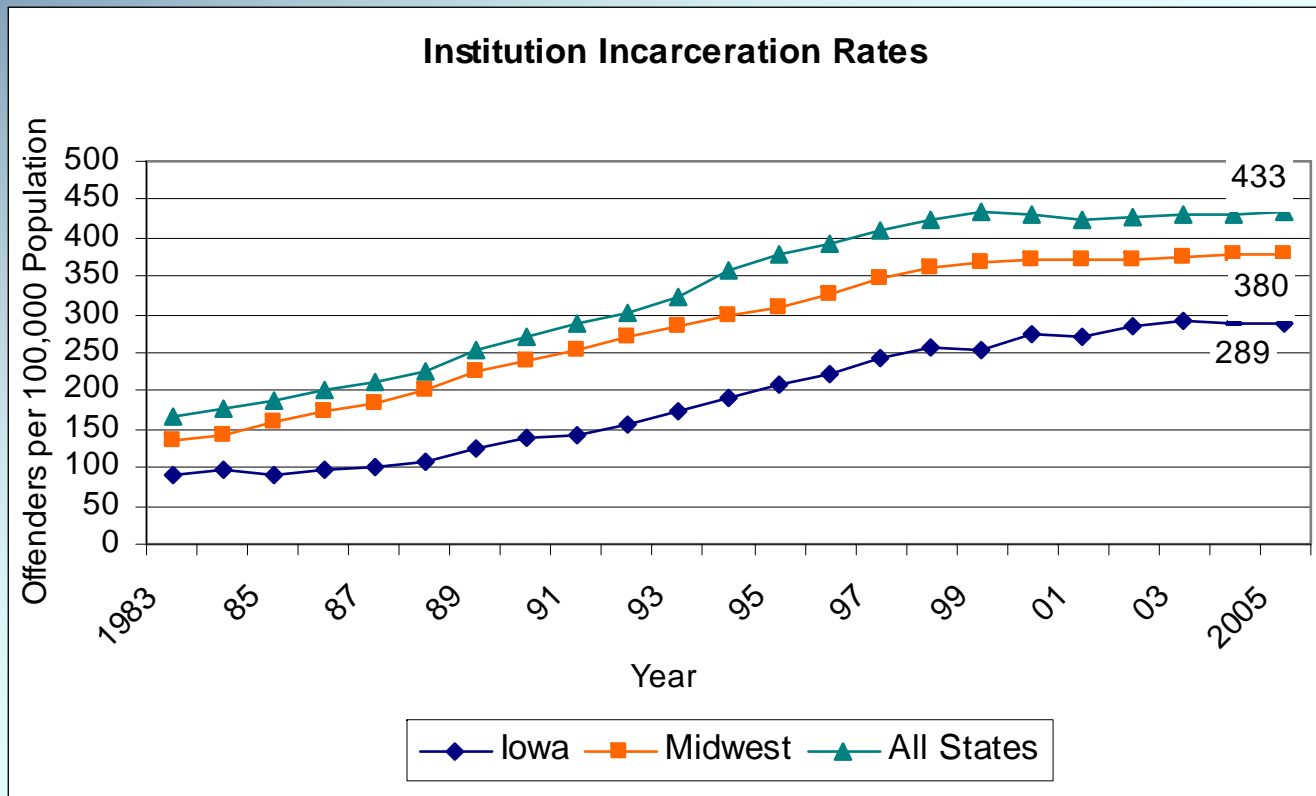
The corrections system has experienced a slower growth rate over the last 3 - 4 years.



Twenty-five Years of Community-Based Corrections Growth

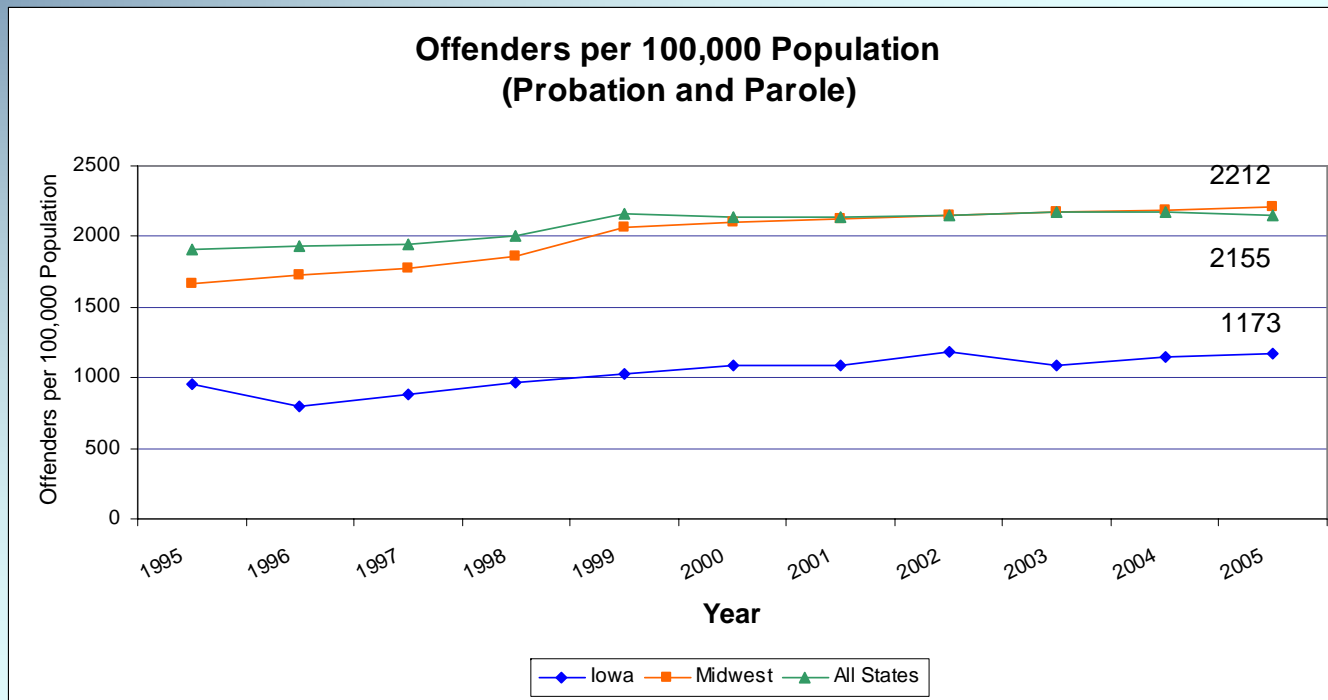


Offenders Per 100,000 Population



Source: BJS

Offenders Per 100,000 Population

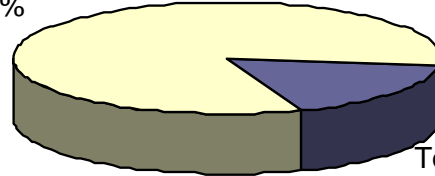


Source: BJS

Total Change in Corrections' Population

Corrections Population FY 1982

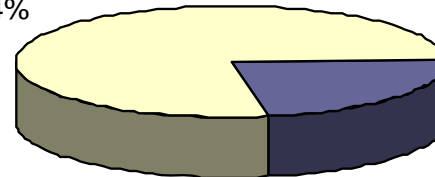
Total CBC
82.6%



Total
Prison
17.4%

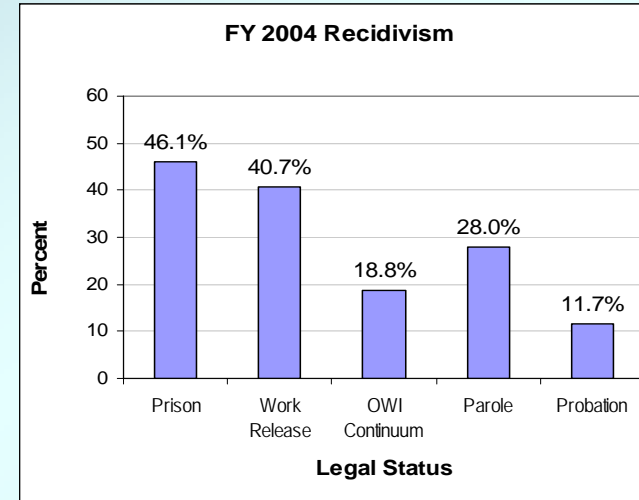
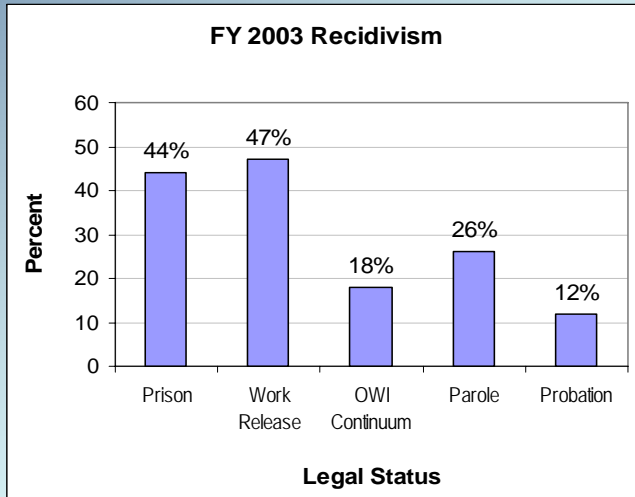
Corrections Population FY2007

Total CBC
77.4%



Total
Prison
22.6%

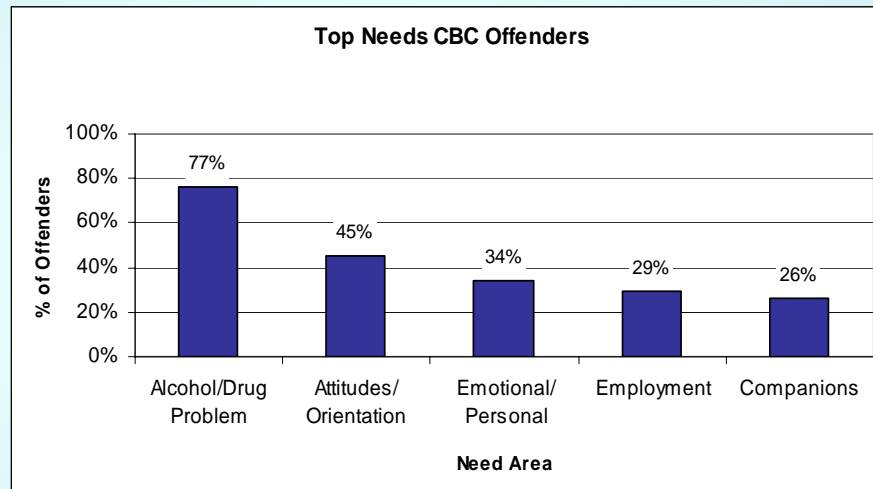
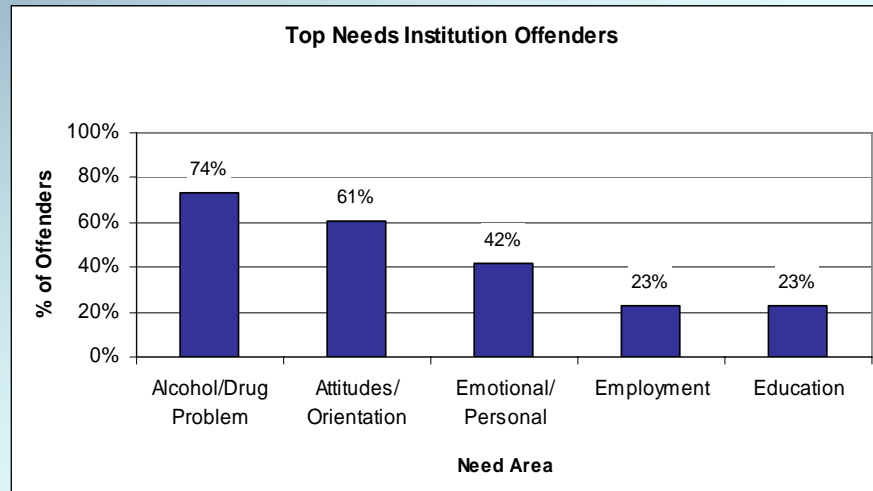
Impacting Recidivism



New convictions for aggravated misdemeanor or felony charges within three years of final discharge from all corrections supervision.

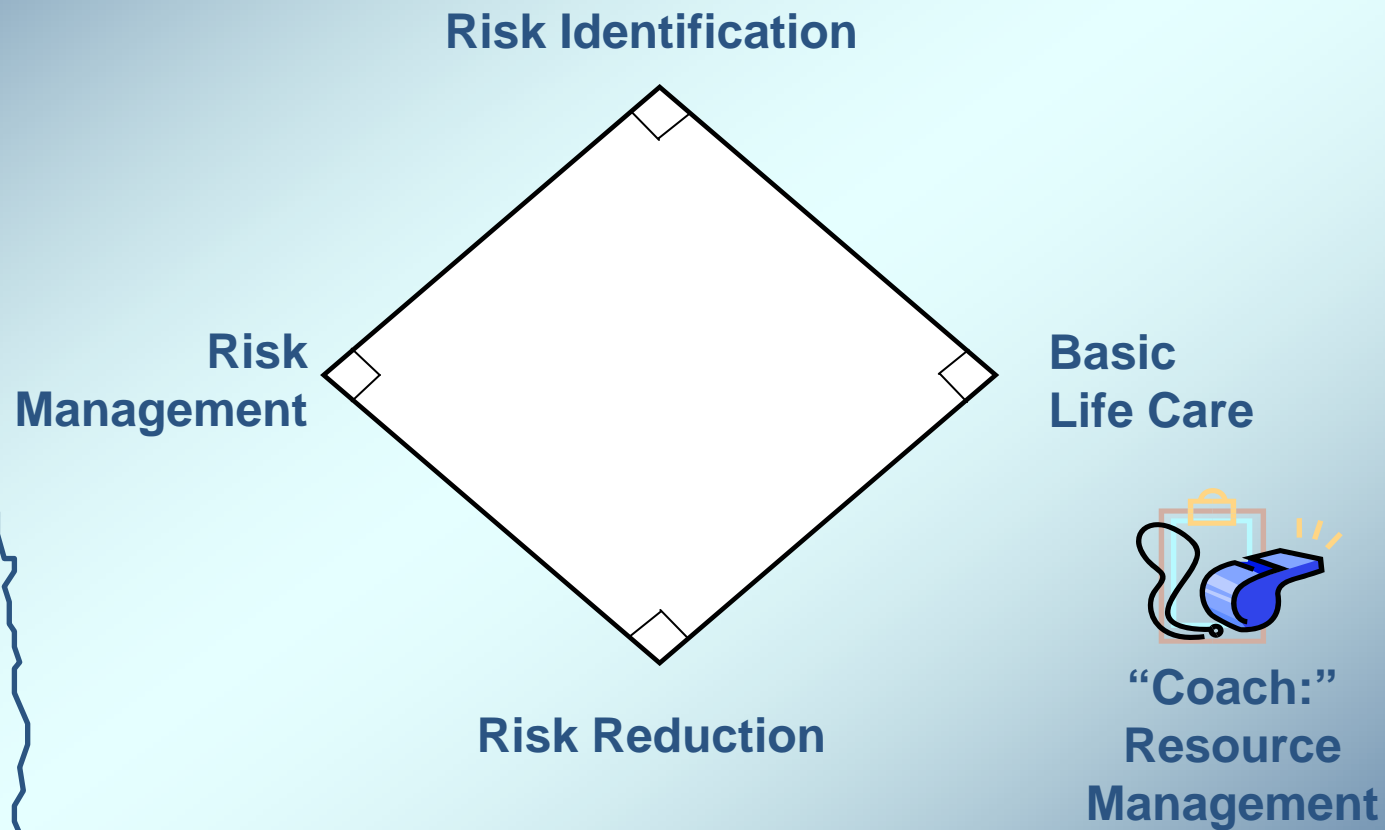
Source: ICON

Institution and CBC Top Priority Needs

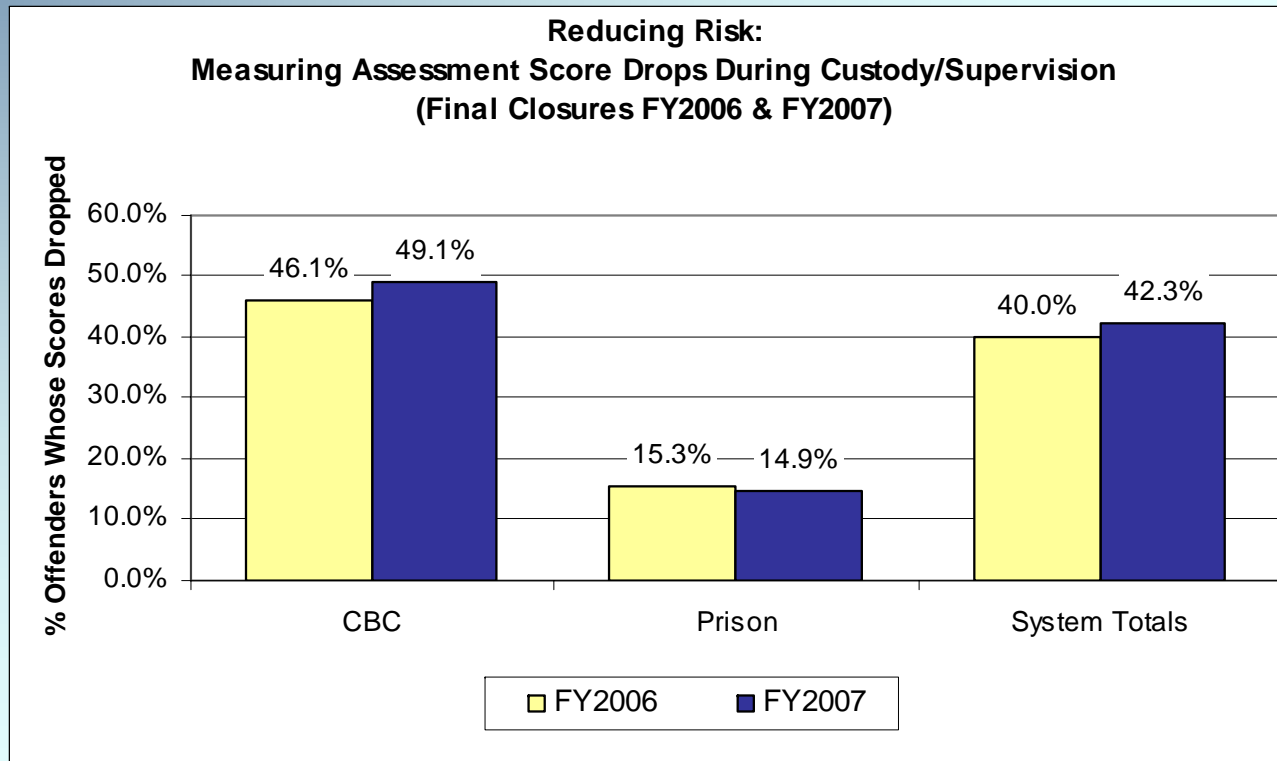


Safety and Risk

Safely Impacting Recidivism



Measuring Our Success



Overall, more offenders are exiting Corrections supervision with a lower likelihood of reoffending than when they first came in.



Iowa Corrections Offender Network



- Continued improvement and effective use of ICON (Iowa Corrections Offender Network)
- New Modules/Agency interactions to be deployed by July 1, 2008.
 - Critical Incident Reporting
 - Food Service
 - CJIS – County Attorney and DOC
 - CJIS – Courts and DOC
- Continued effective use of ICON data:
 - Lean
 - Performance Measures
 - Accountable Government

Major Issue: Mental Health

- 40% of institutional offenders are mentally ill.
- Released offenders with mental illnesses have much higher recidivism rates than those with no such diagnoses.
- Availability of mental health treatment is an important reentry issue.

Major Issue: Women Offenders

- Women offender populations have grown faster than male offender populations.
- More women offenders than men have substance abuse identified as a Priority One need.
- 67% of women offenders in institutions are mentally ill.
- Treatment resources in institutions and community-based corrections are a critical issue.

Major Issue: Population Growth

- Increases in the following types of offenders have had a substantial impact on Iowa's institutional and community-based corrections populations:
 - Drug offenders
 - Sex offenders
 - Violent offenders (especially those subject to *Iowa Code 902.12* provisions)
 - Women offenders.
- CJJP projects over 9,730 offenders in prison by mid-year 2017.

Department of Corrections Leadership Goals

- Safe and orderly system
- Impact recidivism
- Restoration and reparation to victims
- Effective resource management
- It's all about the basics

How will we accomplish this?

- Assess Risk and Need
- Manage risk
- Meet programming need to reduce risk
- Offender management: Best Practice Reentry Model
- Information Best Practices and Measurement
- Doing the smart thing in the right way to incorporate lean operations and increase social benefit

Near Term Issues (As of January 2008)

- **State Prison System Planning Study Committee**
 - Substance Abuse
 - Mental Health
 - Coordination of Medical Needs
 - Women's Issues
 - Sex Offenders
 - Infrastructure
 - Population Management
- **Workforce Investment**
 - Recruitment, Selection and Retention of Diverse Staff
- **Over Representation of African Americans in the Justice System**
- **Evidence Based Practices (EBP)**
- **Reentry**

Strategic Focus

Focus Group Initiatives

- Building Basic Security
- CBC Beds
- Classification
- Education
- Expanding EBP
- Mental Health (CBC's and Institutions)
- Quality Assurance
- Reentry
- Sex Offender
- Substance Abuse
- Women Offenders

Formal Presentations to Board of Corrections – Spring 2008

Strategic Focus cont.

Infrastructure and Construction –

- Iowa State Penitentiary – 800 bed maximum security unit
- Iowa Correctional Institution for Women – 700 bed gender specific centralization
- Community Based Corrections – Bed expansion at Des Moines (5th), Ottumwa(8th), Sioux City (3rd) and Waterloo (1st)
- Mount Pleasant Correctional Facility – Kitchen & Warehouse
- North Central Correctional Facility – Kitchen
- Security Upgrades – Address critical needs and security audit compliance primarily at Anamosa
- Major Maintenance – Improvement at all locations identified

Best Practices and Doing the Right Thing

Transformation Projects – FY 2008

- **Accounting and Finance** – Central Bank (Fort Dodge Money Orders (Western Union) & Deposits; Fort Madison Offender Obligations (Restitution, Telephone, Child Support, Court Filing Fees); Offender Payroll & ID's; Offender Allowance Policy
- **Procurement** – Food Service ICON, Master Menu and Central Warehouse, 50k Purchasing Threshold
- **Offender Transportation** – Review Current Processes, Develop Consistencies and Enhance Delivery
- **Pharmacy** – Standardize purchasing and contracts, Centralized Distribution and Transportation and ICON Medical
- **Central Records** – Centralized Operations for Records Administration, Jail Credit and Visiting
- **Energy Management** – Green Government

Vision: an Iowa with no Victims.



**Our mission is to
Protect the Public, the Staff and
the Offenders from Victimization.**