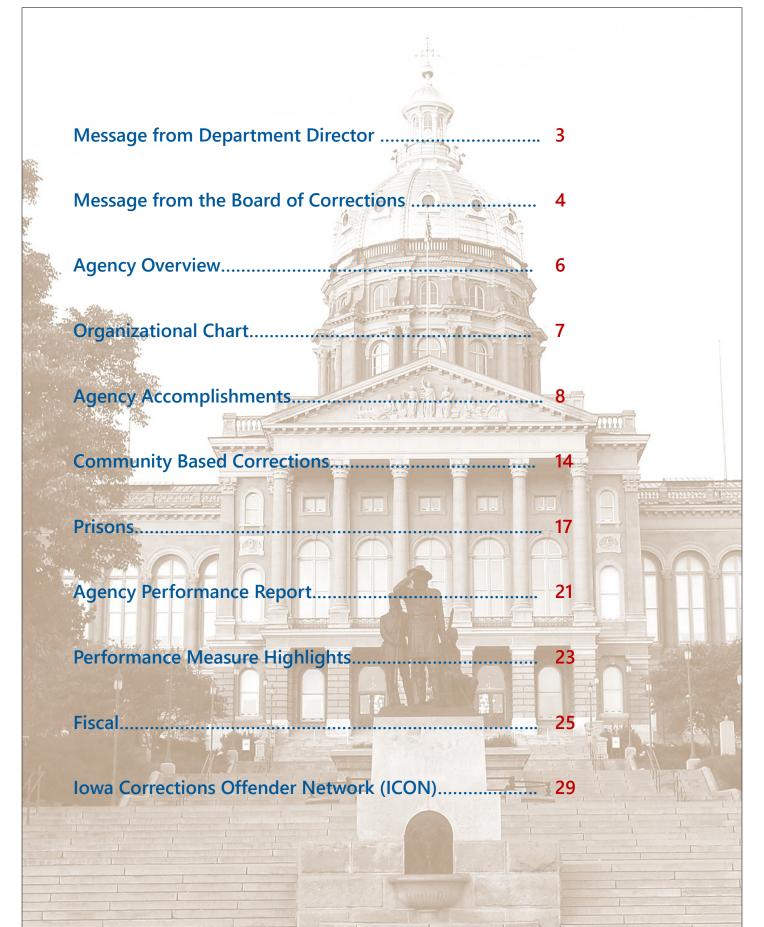


Iowa Department of Corrections FY2018 Annual Report

Offender Success is our goal. Reentry is our process. Evidence Based Practices are our tools. Staff is our most important asset.



Message from Director Jerry Bartruff

The lowa Corrections annual report offers an opportunity. An opportunity to display and evaluate data that allows to take a step back and examine how we're doing. And an opportunity to look ahead at the plans and programs that need to remain as they are, but also those that need modification or improvement.

This year's annual report tells several stories. As the reader examines the information contained in the report, I encourage thoughtful and constructive ideas that can help to improve correctional system and better-allow us to move towards our goal, which is providing the opportunity for safer communities.

There are several areas of the past year that I'd like to bring to light.

The department strives for equitable treatment of those we supervise, as well as for our staff. The return rate for African-Americans to prison has decreased compared to whites. Additionally, the department developed policy to reduce racial disparities in our system. The policy targets human resources (hiring,



retention, and training) and metrics that involve individuals under our supervision (programming, discipline, grievances, and work assignments). The goal is to address disparity issues across our system and to ensure fair treatment.

Keeping our policies, practices, training and programs aligned with data-driven research continues to be a priority. Iowa Corrections utilized data to make more informed decisions by creating and enhancing a data dashboard, and expanding a continuous quality improvement database to ensure fidelity to correctional practices and strategies. We are working on better integration of our data utilizing metrics we know impact supervision success that both case managers and administrators have access to.

Investing in staff now means benefits for the whole system in the future. Iowa Corrections invested in staff by making a concerted effort of training all staff in evidence-based practices with over 12,000 completions for Core Correctional Practices, risk assessment, risk-need-responsivity, and leadership development training. Additionally, 87% of programs offered are evidence-based to reduce recidivism and improve individual outcomes of those under supervision.

We continue to stay committed to focusing resources on individuals most likely to reoffend. Community-based corrections developed a tiered model of levels of supervision. The purpose of the model is to focus on higher risk individuals with the proper supervision and dosage. Supervision and risk communication will be standardized statewide utilizing a consistent practice model.

And looking ahead, I see challenges on the horizon for this department. Challenges that we can take head-on and overcome, but we have to be aware of them, and working towards addressing them collectively.

I'm concerned about our overall prison population. The prison population has slowly continued to incrementally grow over the past several years. Individuals returning to prison has steadily increased for revocations from 3,323 in FY 2017 to 3,654 in FY 2018. Moreover, recidivism rates have steadily increased from 35.4% in FY 17 to 37.8% in FY 2018.

Additionally, while men continue to dramatically outnumber women in our system, I'm concerned about the trends we've been seeing in their overall population. Women continue to be a growing population in our community based corrections and prisons. In prison, we have seen a 20% increase in the past five years. Women recidivism rates are increasing and are now more comparable to men. Nearly 40% of women incarcerated have an identified mental illness. Nearly, 97% of women are on some type of prescribed medication.

Again, even though those in Corrections will have their work cut out for them, I am fully confident that the dedicated employees that make up Iowa Corrections will continue the tradition of embracing hard work and overcoming daunting challenges; we have in the past, and we will in the future. There are indeed bright days ahead for this department and the citizens it serves.

Thank you,

Jerry Bartruff, Director Iowa Department of Corrections

Message from the Board of Corrections



Sitting from Left to Right: Rebecca Williams - (Vice Chair), Dr. Mary Chapman, and Dr. Lisa Hill.

Standing (L to R) : Dr. John Chalstrom, Richard LaMere - (Chair), Thomas Phillips, and Larry Kudej.

To Those Reading this Report,

As a citizen board, our duties and expectations are outlined in Iowa Code. In essence, it is our duty to provide oversight of the Iowa Department of Corrections, and to also provide recommendations to the Governor and the General Assembly regarding the department. As board members, we feel an obligation to, when necessary, advocate on behalf of the correctional system as a whole. In an age of tight budgets, and continually polarized debates, we feel it is vital that citizens and elected officials keep in mind the extremely important and challenging nature of the correctional system. If we as a society allow the distractions of the day to degrade the integrity of the system, we risk jeopardizing public safety for all.

Message from the Board of Corrections, continued

This department, over the last several years, has made great strides in its efforts to continually modernize and streamline their work in an attempt to become equitable, efficient, and resultsoriented. The men and women that work in corrections have truly been doing more with less. We feel that they have challenges now, and future challenges that will require the system to adapt and address, but this is a department that we can say, with confidence, will rise to meet those challenges. Which is why we feel it vital that this state, through its elected officials, continue to support lowa Corrections through policy and also through budgetary allowance.

Those that understand public agency budgets know that without yearly budgetary appropriations that will meet the requirements of annual salary growth, agencies are forced to reduce their spending in various ways. Often, that reduction has to come from their largest discretionary expenditure, which is typically by reducing workforce. Iowa Corrections has done its part of reducing their workforce significantly through the years that state revenues required reduced appropriations. They are now at a point, we feel, that any further reduction in workforce would result in significant impact to the system as a whole. With this in mind, we encourage any stakeholders or elected officials to financially support this department so that it can continue to do the work that we all expect them to do.

Last fiscal year, the department met its obligation as a large state agency to weather their mid-year de-appropriation without having to let go of any staff members. They did this through smart budgeting throughout the year. And for the current fiscal year, the General Assembly and Governor allocated the department a budgetary increase that they have been working diligently to invest wisely. We again encourage those considering the budget of Corrections to consider every dollar invested an investment in public safety.

While the future of this department will hold good days and bad days ahead, we are confident that the good will far outweigh the bad. And that through the continued dedication, bravery, and perseverance of the men and women that comprise the Iowa correctional system, Iowa can have the opportunity for safer communities.

Richard LaMere, Jr., Chair Board of Corrections Rebecca Williams, Vice Chair Board of Corrections

Agency Overview



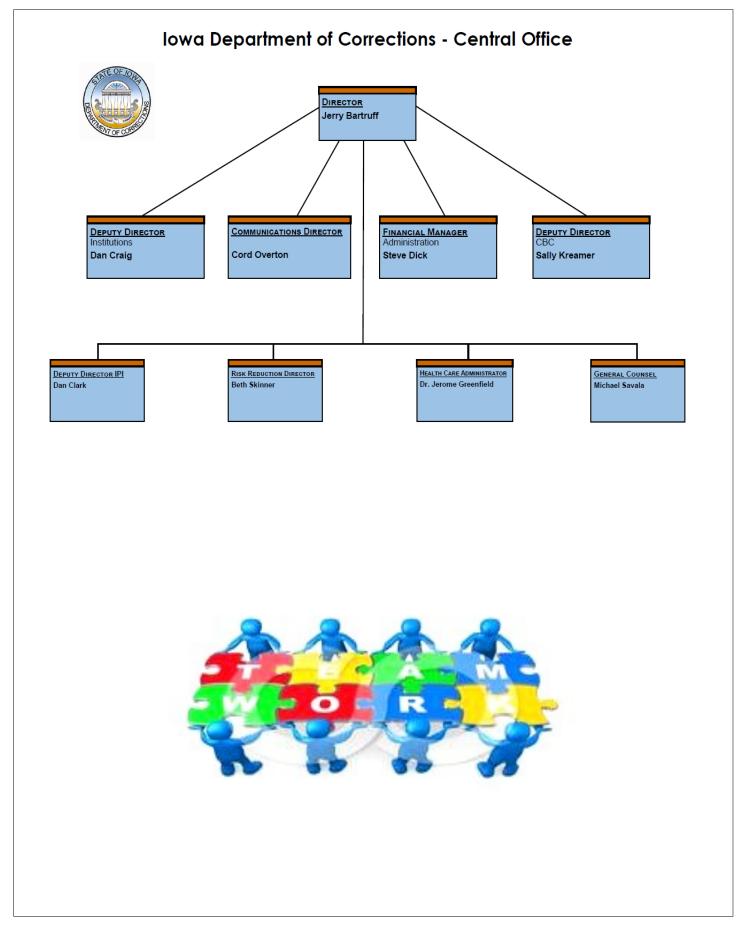
Desired Outcomes

• Safer communities

Quality services

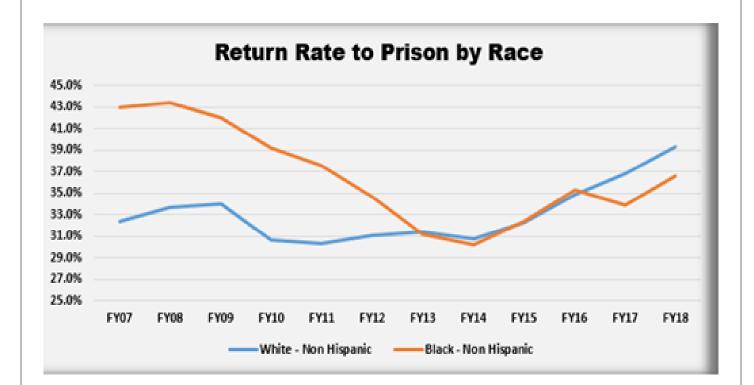
- Accountability for those breaking law Responsible use of taxpayer dollars

Organizational Chart



African-American Recidivism Drop Below Whites

In FY2018 the African-American return to prison rate is lower than White Non-Hispanics for two consecutive years. African-American re-entry efforts in Des Moines and Waterloo continue to make a difference. In FY 2018 IDOC developed policy to annually measure racial disparities across the system to include various metrics of individuals incarcerated (i.e., discipline, revocations, core programming, grievances). Additionally, IDOC will be tracking hiring practices, retention, and training of staff.



Statewide Recidivism Reduction Strategy

The Statewide Recidivism Reduction (SRR) Strategy is a collaborative effort to contribute to safer communities through recidivism reduction strategies aimed at systemic, sustainable, long-term change. The strategy is funded through a \$3 million dollar grant from the Bureau of Justice Assistance. The multi-prong approach includes training, human resources, workload analysis, and seamless re-entry practices, with continuous quality improvement models throughout. This is a statewide effort to improve outcomes for justice system-involved individuals with support from the Governor's office, state and local agencies, community-based corrections, and prisons.

Highlights from Strategy Work this Year Include:

- A dashboard created to assist the Iowa Department of Corrections (IDOC) with data-driven decision making. Resources will continue to be effectively utilized and allocated based on data. The dashboard measures important practices and strategies to improve client outcomes and affords IDOC the opportunity to set baselines and benchmarks to increase performance.
- Improving the proficiency of IDOC's risk assessment tools has been a top priority. Risk
 assessments allow IDOC to target resources and interventions to higher risk individuals in
 order to reduce recidivism. IDOC increased proficiency with the tool in the prisons by 37%
 and 31% in community-based corrections.
- Evidence-based job competencies were developed for impact positions (i.e. correctional officers, probation officers, residential officers, correctional counselors, front-line supervisors). Evidencebased knowledge, skills, and abilities when working with the client population were embedded into job descriptions, hiring processes, and evaluations. IDOC is providing training to support the 200+ competencies identified.

The IDOC has been implementing SRR the past four years. In late September 2018, the SRR grant closed; however, IDOC is still implementing the various strategies set forth in the grant. IDOC will continue implementing SRR strategies for the next year. Importantly, with the vast changes made statewide throughout the past several years will take time to impact recidivism. CJJP will be conducting an evaluation of SRR outcomes on recidivism for the next several years.



Agency Accomplishments

Community Connections Supporting Reentry

The IDOC, in partnership with the Department of Human Services (DHS), developed a statewide training and discussion forum to better serve individuals under supervision returning to their communities. The trainings are one part of the work being done to reduce recidivism statewide through the IDOC's Statewide Recidivism Reduction Strategy (SRR). The goal of these trainings is to develop strong connections among the community, prisons and community-based corrections, the community mental health and disability system, and the substance use disorder service systems for individuals re-entering the community after incarceration. We hope these connections will lead to a greater understanding of the resources available to individuals to help them establish successful and permanent lives in the community, to reduce recidivism, and to increase public safety.

<u>Goals</u>

The goals of the training were the following: develop an understanding of the challenges faced by individuals and their families as they re-enter the community after incarceration; learn how corrections and community service systems operate, including information about services and supports available and how they interact to support persons re-entering the community; and establish connections between corrections and community services systems, including individual and agency contacts.

Resource Guide

The resource guide was developed to complement the information shared at the training and to serve as an on-going resource to help connect individuals and families with necessary services and support to help them maintain stability and find success in the community after incarceration. The goal of this guide is to provide simple overviews of the many services and supports available in the community, where to go to make referrals, or get more information.

Outcomes

Approximately 1,324 participants attended state-wide. A total of three rounds of trainings were conducted across eight Judicial Districts. A variety of agencies participated in the trainings. Staff from a total of 274 unique prisons, community corrections districts, community organizations, and state agencies attended the trainings. A total of 1,212 evaluation surveys were distributed electronically after all 24 trainings with 735 being completed (61% response rate). The "overall satisfaction" across the domains (training, resource guide, overall) ranged from 87% - 94%.



IDOC will continue implementing the forums and training throughout the year. Recently, IDOC has partnered with the Department of Human Services to conduct "reentry simulations" across the state.

Apprenticeship Program

Many "returning citizens" in the IDOC are taking advantage of opportunities in skill-based training and education programs. The IDOC has partnered with the U.S. Department of Labor (USDOL) Office of Apprenticeship to develop apprenticeship programs in all nine state correctional facilities. Since May of 2015, the IDOC apprenticeship program has grown 890% to 325 participants. On April 25, 2016, IDOC was designated as an Apprenticeship USA Leader by the USDOL. As of November of 2018 there have been 155 apprentices who completed their occupational training and become journeymen in their field.

There have been 3 new programs registered in 2018 bringing a total of 22 registered programs offered statewide. These include: Landscape Technician, Housekeeper (Commercial, Residential or Industrial), Cook (any industry), Maintenance Repairer (Building), Screen Printer, Upholsterer, Materials Coordinator, Computer Operator, Welder, Baker, Painter Construction, Sewing Machine Repair, Cabinet Maker, Electrician, Refrigeration and Air Conditioning Mechanic, Plumber, Fabricator-Assembler Metal Production, Powder Coating Technician, Peer Specialist, Office Manager, Job Printer, and Carpenter. These programs range from one to four years .

The IDOC continues to collaborate with colleges, manufacturers, labor unions, lowa *WORKS*, and other community and state agencies to assist in developing a highly skilled workforce by training incarcerated individuals for skilled jobs that are in high demand. In 2018 IDOC implemented a learning management system to provide online training to apprentices. This online training will help bolster the collaboration efforts with employers and other training agencies by giving the apprentices access to the most up to date training required in today's workforce.

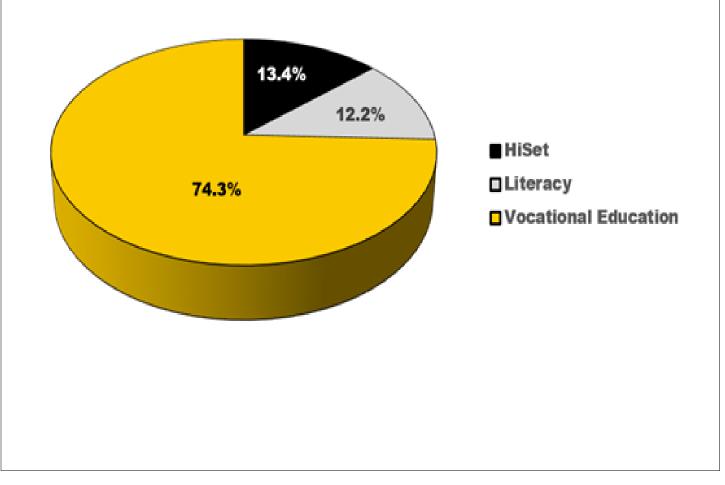


Correctional Education Programs

The lowa Department of Corrections contracts with state community colleges to provide quality education and vocational programs in the state prison system. During FY2018 there were 287 incarcerated individuals who received their high school equivalency diploma (HiSet); 250 incarcerated individuals completed literacy programs; 1,591 incarcerated individuals completed vocational education programs, and 5 incarcerated individuals received their High School Diploma.

Compared to FY2017, there was an 8% increase in vocational education program completions. HiSet and literacy program completions were lower this year by 4% and 5% respectively.

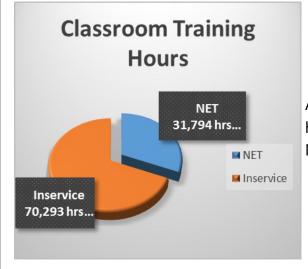
IDOC Educational Program Completions



Staff Training



The Iowa Department of Corrections database tracks training throughout the DOC prisons, Central Office, and CBCs (for eLearning only because community based corrections have separate tracking systems for other types of training.) This database showed a total of 202,088 hours of training provided statewide. 102,087 hours were completed through classroom training, and 100,001 hours were completed via eLearning.



A further breakdown of classroom hours reflects 70, 293 hours of In-service training and 31,794 hours of NET, or New Employee training.

There were new developments in the employee training program this year. As of September 1, 2017, Pre-Service training traditionally offered to new employees, was reorganized into a new employee training program titled NET, or New Employee Training. While Pre-Service classes for new employees were for the most part taught at Central Office in Des Moines, NET training is now scheduled by the institution Training Specialists, and held at the institutions.

For FY18, thirty-one NET trainings were offered state wide at the institutions.

Community Based Corrections



First District



Third District



Fifth District



Seventh District



Second District



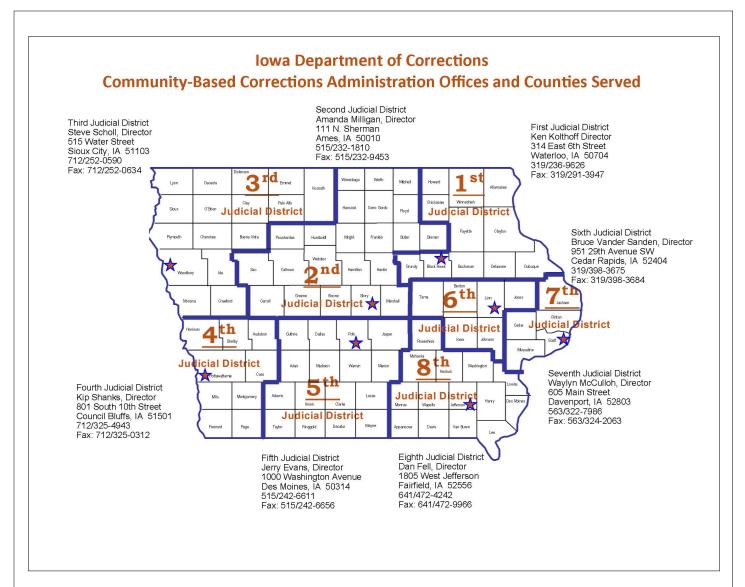
Fourth District

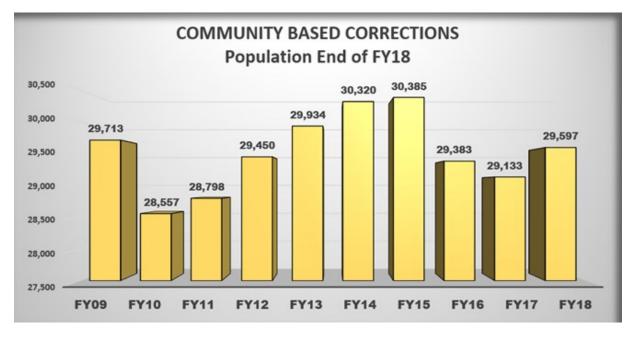


Sixth District



Eighth District

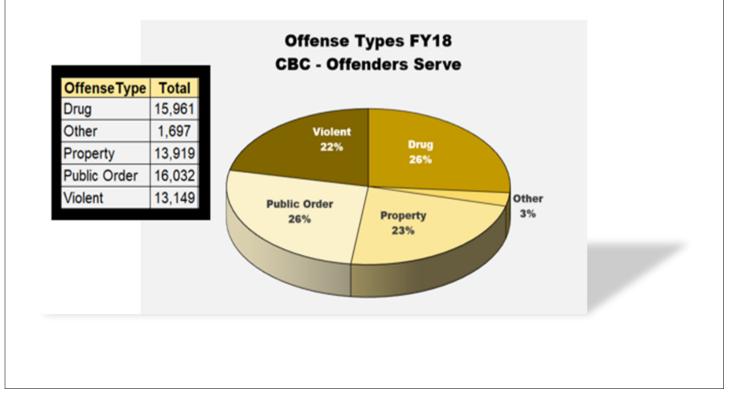




CBC Offenders Served

	Supervision Status	Active at Start 7/1/17	New Admits	Closures	Active at End 6/30/18	Offenders Served
s	CCUSO Release with Supervision 229A.9A	2		1	1	2
Ш	Federal	1	1			2
RVIC	Interstate Compact Parole	295	175	192	270	470
	Interstate Compact Probation	992	682	665	998	1674
SE	No Correctional Supervision Status	7	60	60	11	67
ő	OWI Continuum	5	34	2	7	39
	Parole	3,693	3182	2,718	3,807	6875
FIE	Pretrial Release With Supervision	1,640	6994	5,208	2,429	8634
-	Probation	20,186	14868	14,242	19,655	35054
	Special Sentence	893	182	218	982	1075
	Statewide Total	27,714	26,178	23,306	28,160	53,892

	RESIDENTIAL	Active At Start	New Admits	Closures	Active At End	Offenders Served
	CCUSO Release with Supervision 229A.9A		2			2
	Federal	187	516	481	125	703
F	Interstate Compact Parole	3	2	4		5
Ē	Interstate Compact Probation		8		1	8
L L	Jail (Designated Site)	2	43	43	1	45
⊡	OWI Continuum	82	282	292	67	364
S	Parole	15	85	46	16	100
L L L L	Pretrial Release With Supervision	3	29	6		32
	Probation	583	2024	1232	647	2607
	Special Sentence	65	272	202	83	337
	Work Release	574	2217	1684	577	2791
	Statewide Total	1514	5480	3,990	1,517	6,994



Iowa Prisons



Anamosa State Penitentiary, Anamosa



Clarinda Correctional Facility, Clarinda



Iowa Correctional Institution for Women, Mitchellville



Fort Dodge Correctional Facility, Fort Dodge





Iowa Medical and Classification Center, Coralville



Iowa State Penitentiary, Fort Madison



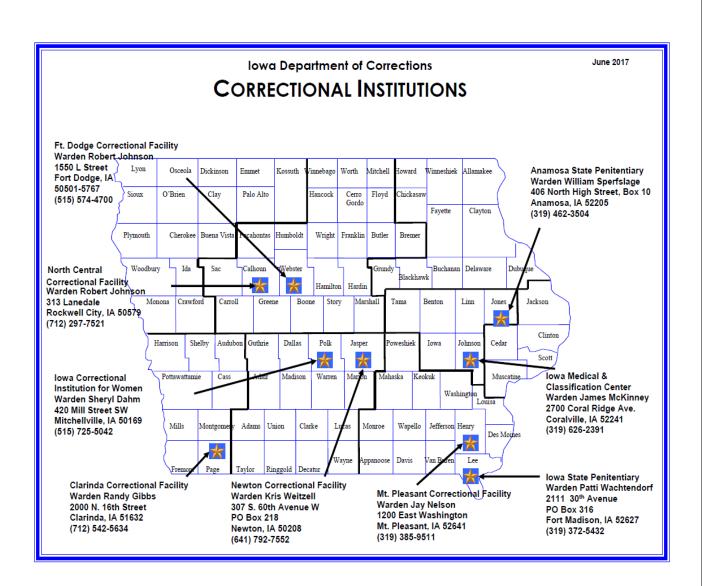
Mt Pleasant Correctional Facility, Mt. Pleasant

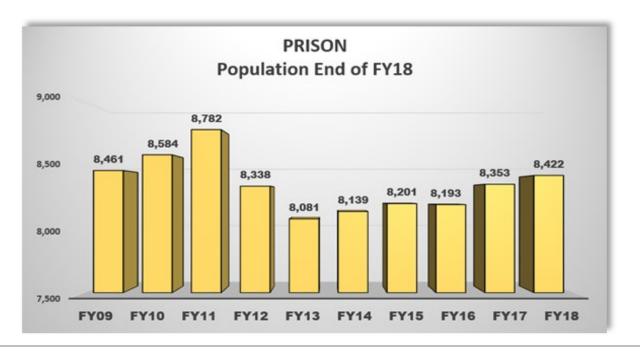




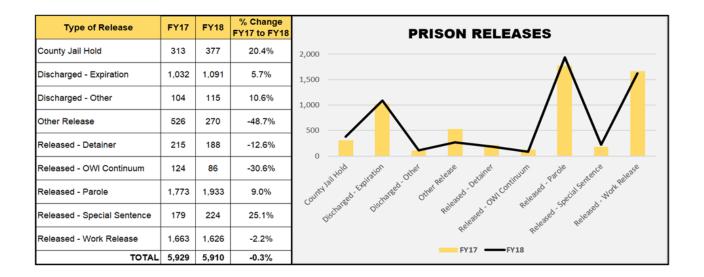
North Central Correctional Facility, Rockwell City

Newton Correctional Facility, Newton

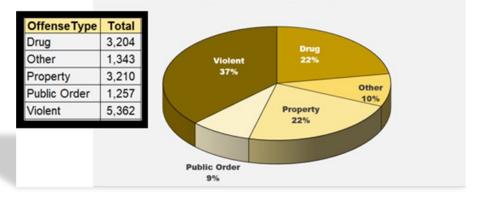




Type of Admission	FY17	FY18	% Change FY17 to FY18
County Jail Hold	598	734	22.7%
New Court Commitment	1,940	1,885	-2.8%
Other	374	121	-67.6%
OWI Continuum Revoked	46	37	-19.6%
Parole Revoked	646	725	12.2%
Probation Revoked	1,711	1755	2.6%
Special Sentence Revoked	85	107	25.9%
Work Release Revoked	700	630	-10.0%
TOTAL	6,100	5,994	-1.7%



Offense Types FY18 Prison - Offenders Serve



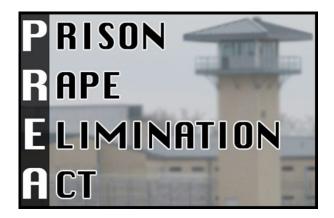
Agency Performance Report

	owa Depa	rtment of (Corrections FY201	8 Performance Report
Performance Measure (Outcome)	Performance Target	Performance Actual	Performance Comments and Analysis	Graphic
Core Function	n: Offender super	vision, custody a	and treatment	
Post-Custody/Post-Supervision Recidivism Rate (3 year follow-up; high risk offenders only)	28.0%	36.0%	Recidivism rose from last year (35.4%). We are not at our performance target of 28%; however this is recognized and many initiatives and strategies are aimed at reducing recidivism for FY18-FY20.	Recidivism 31.9% 34.2% 35.4% 36.0% FY15 FY16 FY17 FY18
Number of escapes (prison only)	0	1	Target was not met. IDOC had one escape in FY18	Prison Escapes
Number of disturbances (prison only)	0	3	Target was not met.	Prison Disturbances
Percent DNA collected on eligible offenders	95.0%	90.0%	The percentage of DNA collected on offenders both prison and district has has slightly decreased since FY17.	DNA COLLECTED 90.8% 91.4% 92.8% 90.0% FY15 FY16 FY17 FY18
Servi	ice, Product or Acti	vity: Risk Identifica	tion	
Percent required custody classifications completed within 45 days.	99.0%	100%	Target was met with a slight increase of (.09%) from FY17.	% Custody Classifications 105.0% 99.9% 99.1% 100.0% 95.0% 91.0 91.0 100.0% 90.0% FY15 FY16 FY17 FY18
Percent of prison inmates housed in institutions who match their security level per custody classification assessments.	Max - 99% Med - 99% Min - 99%	Max - 93% Med - 84% Min - 99%	The target was only met on Maximum custody. The numbers fell short on Minimum and Medium security.	Offenders Housed According to Risk

Agency Performance Report

Performance Measure (Outcome)	Performance Target	Performance Actual	Performance Comments and Analysis	Graphic
		ivity: Risk Manager		
Number of Suicides/Attempts	Suicides: 0 Attempts: 0	Suicides: 1 Attempts: 7	Target was not met as we had one suicide in FY18 and 7 attempts.	Suicides/Attempts 2 3 4 FY15 FY16 FY17 FY18
Number of offender deaths from natural causes and other than suicide	0	14	Target was not met. IDOC had an increase of 1 death since FY17.	Offender Deaths Excluding Suicide 15 26 13 14 FY15 FY16 FY17 FY18
Prison population as a % of capacity	112%	115%	Target was met and prison population/capacity remains stable.	Prison Population/Capacity 113% 115% FY15 FY16 FY17
Community Corrections Staffing: % required FTEs that are filled.	90.0%	94.0%	Target was met with an increase of 10.2% from FY17.	CBC % FTEs Filled 83.8% 89.5% 83.8% 94.0% FY15 FY16 FY17 FY18
Sen	vice, Product or Ac	tivity: Risk Reduct	ion	
Percent of interventions designated by Offender Services as evidence based.	45.0%	87.0%	Target was met and we are higher this year than last.	% Interventions Evidence Based Institutions 42.4% 41.9% FY15 FY16 FY17 FY18
Ser	vice, Product or Ac	tivity: Basic Life C	are	
Number of offender serious injuries	O	13	Target was not met. Offender Serious Injuries are down from last year significantly.	Offender Serious Injuries 27 18 18 FY15 FY16 FY17 FY18
Number of staff serious injuries	0	8	Target was not met and the number of staff serious injuries are down this year.	Staff Serious Injuries 14 12 10 8 FY15 FY16 FY17 FY18
Offender on Offender abusive contact: investigations/substantiated	Investigations: 0 Substantiated: 0	Investigations: 47 Substantiated: 9	Did not meet target.	
Offender on Offender non- consensual acts: investigations/substantiated	Investigations: 0 Substantiated: 0	Investigations: 31 Substantiated: 6	Met target but had a increase of 1 from FY17.	9 6 2 1 4 4 3 6 5 7 5 5 6 5 6 6 4 0
Staff sexual misconduct: Investigations/substantiated	Investigations: 0 Substantiated: 0	Investigations: 35 Substantiated: 4	Did not meet target.	FY14 FY15 FY16 FY17 FY18 Offender on Offender Abusive Offender on Offender Non-Consensual
Staff sexual harassment: investigations/substantiated	Investigations: 0 Substantiated: 0	Investigations: 15 Substantiated: 0	Met target with no substantiated sexual harassment. The numbers dropped by 5 since FY17.	■ Staff Sexual Misconduct Staff Sexual Harassment

IMPLEMENTING the PRISON RAPE ELIMINATION ACT (PREA)

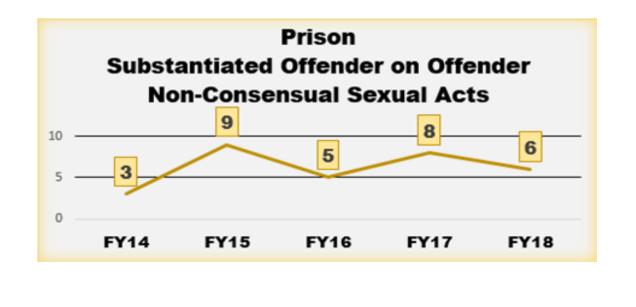


Why this is important:

It's about offender safety. PREA is a federal statute focused on sexual assault and victimization in juvenile facilities, prisons, jails, lockups, and other detention facilities. The goal of PREA is to prevent, detect, and respond to sexual abuser in detention and correctional facilities.

What we're doing about this:

All DOC institutions have completed and passed PREA audits that ensure compliance with PREA Standards. Audits are conducted every three years to ensure compliance.



OFFENDER SUICIDES

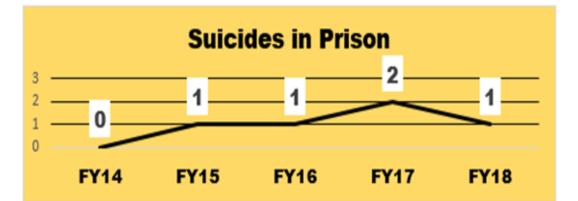
Why this is important:

It's about providing basic life care for individuals in custody, and intervening effectively to prevent them from harming themselves.

What we're doing about this:

Staff training is key to prevention. Recognizing the warning signs of someone contemplating suicide, and intervening in an emergency situation are essential. Staff training goes beyond these basics to include recognition of and effective communication with individuals who have mental health issues. Regular screening for mental illness and provision of appropriate levels of care ranging from acute units to integration within the general inmate population is also important in reducing suicide incidents.

While it is clear from the graph that the department has made significant process in reducing suicides in the prison system over time, our target must be zero. One suicide is too many.



Financial Status Reports-Department Totals FY 2018

		Department Revised Budget	Actual Revenues and Expenditures	Encumbrances	Actual + Encumbrances	Percent (Actual of Budget)
	FTE Positions					
	Correctional Officer Total Staffing	1,371 2,550				
	Total Stalling	2,550				
	Resources Available					
04B	Balance Brought Forward	583,152	583,152.41		583,152.41	1.0
05A	Appropriation	287,864,021	287,864,021.20	-	287,864,021.20	1.
	Appropriation Transfer	(1,297,894)	(1,297,894.00)	-	(1,297,894.00)	1.
	De-appropriation	(2,583,336)	(2,583,336.00)		(2,583,336.00)	1.
201R	Federal Support	-		-		
202R	Local Governments	217,900	297,946.55	-	297,946.55	1.
204R	Intra State Receipts	697,117	612,177.69	-	612,177.69	0.
205R 234R	Reimbursement from Other Agencies Transfers - Other Agencies	78,306 984,014	93,996.31 1,126,556.09	-	93,996.31 1,126,556.09	1.
301R	Interest	-	-	-	-	
401R	Fees, Licenses & Permits	446,610	595,684.72	-	595,684.72	1.
501R 602R	Refunds & Reimbursements Sale of Equipment & Salvage	411,100 105	461,346.27 1,757.62	-	461,346.27 1,757.62	1. 16.
603R	Rents & Leases	1,151,900	1,367,216.17	-	1,367,216.17	1.
604R	Agricultural Sales	•	-	-	-	
606R 701R	Other Sales & Services	•		-		
7018	Unearned Receipts	•	· · ·			
	Total Resources Available	288,552,995	289,122,625.03		289,122,625.03	1.
	Funds Expended and Encumbered					
101	Personal Services-Salaries	233,073,748	218,614,786.30	-	218,614,786.30	0
202 203	Personal Travel (In State) State Vehicle Operation	199,573 611,908	176,374.49 570,777.10		176,374.49 570,777.10	0.
204	Depreciation	818	1,681,847.82	-	1,681,847.82	2,056
205	Personal Travel (Out of State)	23,969	26,992.33		26,992.33	1
301 302	Office Supplies	217,388	333,127.02 2,279,798.74	•	333,127.02 2,279,798.74	1.
302	Facility Maintenance Supplies Equipment Maintenance Supplies	1,219,321 760,183	903,719.74	-	903,719.74	1.
304	Professional & Scientific Supplies	709,868	1,211,476.98	-	1,211,476.98	1.
306	Housing & Subsistence Supplies	2,206,390	3,415,726.27	-	3,415,726.27	1.
307 308	Ag,Conservation & Horticulture Supply Other Supplies	33,015 479,259	68,328.35 973,348.31		68,328.35 973,348.31	2
309	Printing & Binding	100	120.00	-	120.00	1
310	Drugs & Biologicals	7,386,805	7,887,846.17	-	7,887,846.17	1
311 312	Food Uniforms & Related Items	9,294,177 1,355,561	11,059,712.14 1,823,641.85	-	11,059,712.14 1,823,641.85	1
312	Postage	55,852	99,775.87		99,775.87	1
401	Communications	519,372	535,439.23	-	535,439.23	1.
402	Rentals	90,538	154,961.31		154,961.31	1.
403 405	Utilities Professional & Scientific Services	8,216,521 1,678,479	10,144,810.00 2,608,634.35		10,144,810.00 2,608,634.35	1.
406	Outside Services	7,412,883	5,511,428.46	-	5,511,428.46	0
407	Intra-State Transfers	158	-	-	-	
408 409	Advertising & Publicity Outside Repairs/Service	5,352 850,663	27,358.55	-	27,358.55	5
409	Auditor of State Reimbursements	050,003	1,273,211.03	-	1,273,211.03	
414	Reimbursement to Other Agencies	5,089,077	5,092,760.92	-	5,092,760.92	1.
416	ITD Reimbursements	967,594	981,991.44	-	981,991.44	1
417 418	Worker's Compensation IT Outside Services	- 2,073,205	- 2,075,748.80	-	2,075,748.80	1
433	Transfers - Auditor of State	1,200	1,209.00	-	1,209.00	1
434	Transfers - Other Agencies Services	67,096	104,769.97	-	104,769.97	1
501	Equipment	65,658	1,596,885.60	-	1,596,885.60	24
502 503	Office Equipment Equipment - Non-Inventory	17,545 162,607	28,566.45 884,595.78		28,566.45 884,595.78	1.5
510	IT Equipment	567,838	1,297,463.06	-	1,297,463.06	2
601	Claims	301	-	-	-	
602 701	Other Expense & Obligations Licenses	3,130,563 8,195	3,280,317.10 13,485.60	-	3,280,317.10 13,485.60	1.
701	Fees	8,195	13,485.60 850.00	-	13,485.60 850.00	7
705	Refunds-Other	-		-		
901	Capitals	100	-	-	-	
	Balance Carry Forward		1,393,553.73		1,393,553.73	
	Reversion	-	987,185.17	-	987,185.17	
		200 552 005	289,122,625.03		289,122,625.03	
	Total Expenses and Encumbrances	288,552,995	203,122,625.03	-	203,122,625.03	1.

Financial Status Reports-Institution Totals FY 2018

		Department Revised Budget	Actual Revenues and Expenditures	Encumbrances	Actual + Encumbrances	Percent (Actual of Budget)
	FTE Positions					
	Correctional Officer Total Staffing	1,371 2,511				
		2,011				
	Resources Available					
04B	Balance Brought Forward			-		
05A	Appropriation	274,640,222	274,640,222.00	-	274,640,222.00	1.
	Appropriation Transfer	-	-	-	-	
	De-appropriation	(2,476,399)	(2,476,399.00)		(2,476,399.00)	1
201R	Federal Support		-	-	-	
202R	Local Governments	217,900	297,946.55	-	297,946.55	1
204R	Intra State Receipts	84,938	-	-	-	
205R 234R	Reimbursement from Other Agencies Transfers - Other Agencies	3,306 26,316	- 139,577.89	-	- 139,577.89	5
301R	Interest			-	-	
401R	Fees, Licenses & Permits	446,610	595,684.72	-	595,684.72	1
501R	Refunds & Reimbursements	360,100	397,061.33	-	397,061.33	1
602R	Sale of Equipment & Salvage	105	1,757.62	-	1,757.62	16
503R	Rents & Leases	1,151,900	1,367,216.17		1,367,216.17	1
504R 506R	Agricultural Sales Other Sales & Services					
701R	Unearned Receipts			-		
	Total Resources Available	274,454,998	274,963,067.28		274,963,067.28	1
	Funds Expended and Encumbered					
101	Personal Services-Salaries	228,155,410	213,987,183.20		213,987,183.20	
202	Personal Travel (In State)	126,348	126,080.76		126,080.76	1
203	State Vehicle Operation	589,905	547,865.70	-	547,865.70	
204	Depreciation	817	1,681,847.82	-	1,681,847.82	2,058
205	Personal Travel (Out of State)	6,777	14,832.08	-	14,832.08	2
301 302	Office Supplies Facility Maintenance Supplies	207,067 1,219,321	297,976.00 2,279,798.74		297,976.00 2,279,798.74	
303	Equipment Maintenance Supplies	760,183	903,719.74		903,719.74	
304	Professional & Scientific Supplies	709,868	1,211,476.98	-	1,211,476.98	1
306	Housing & Subsistence Supplies	2,206,390	3,415,726.27	-	3,415,726.27	1
307	Ag,Conservation & Horticulture Supply	33,015	68,328.35		68,328.35	2
308 309	Other Supplies Printing & Binding	459,299	952,876.34		952,876.34	2
310	Drugs & Biologicals	7,386,805	7,887,846.17	-	7,887,846.17	1
311	Food	9,294,177	11,059,712.14	-	11,059,712.14	
312	Uniforms & Related Items	1,355,561	1,823,641.85	-	1,823,641.85	
313	Postage	51,851	96,008.78		96,008.78	
401 402	Communications	443,141 90,537	447,987.97 154.961.31		447,987.97 154.961.31	
402	Utilities	8,216,521	10,144,810.00		10,144,810.00	
405	Professional & Scientific Services	1,648,278	2,552,854.08	-	2,552,854.08	,
406	Outside Services	1,119,911	1,284,458.23	-	1,284,458.23	
407	Intra-State Transfers	156	-	-	-	
408 409	Advertising & Publicity	5,351	27,358.55		27,358.55	· · · · · · · · · · · · · · · · · · ·
412	Outside Repairs/Service Auditor of State Reimbursements	850,662	1,273,211.03		1,273,211.03	
414	Reimbursement to Other Agencies	4,678,950	4,745,383.26	-	4,745,383.26	
416	ITD Reimbursements	927,433	956,738.64	-	956,738.64	
417	Worker's Compensation		-	-	-	
418	IT Outside Services	205				
433 434	Transfers - Auditor of State Transfers - Other Agencies Services	5,095	18,749.97		18,749.97	
501	Equipment	65,658	1,596,885.60		1,596,885.60	24
502	Office Equipment	17,545	28,566.45	-	28,566.45	
503	Equipment - Non-Inventory	162,111	874,293.50	-	874,293.50	
510	IT Equipment	521,377	1,074,910.82	-	1,074,910.82	
501 502	Claims Other Expense & Obligations	301 3,130,562	- 3,280,253.35		- 3,280,253.35	
701	Licenses	8,195	13,485.60		13,485.60	
702	Fees	115	210.00	-	210.00	
705	Refunds-Other	- 100		-		
901	Capitals	100				
	Balance Carry Forward	-	66,675.68	-	66,675.68	
	Reversion	-	66,352.32	-	66,352.32	
	Total Expenses and Encumbrances	274,454,998	274,963,067.28		274,963,067.28	,
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Financial Status Reports-Community Based Corrections FY 2018

		Department Revised Budget	Actual Revenues and Expenditures	Encumbrances	Actual Revenues and Expenditures	Percent (Actual of Budget)
	FTE Positions					
		201.10	201.52		202.62	102.56
	Residential Officer Total Staffing	291.16 1,108.48	291.52 1,108.48		298.62 1,119.11	102.569
	Resources Available					
04B	Balance Brought Forward	5,008,705.00	5,282,763.28	-	5,282,763.28	105.479
05A	Appropriation	91,201,351.00	91,201,351.00	-	91,201,351.00	100.009
	Appropriation Transfer			-		
	Legislative Adjustments	(822,352.00)	(822,352.00)	-	(822,352.00)	100.009
201R	Federal Support	93,000.00	50,109.89	-	50,109.89	53.889
202R	Local Governments	1,000,288.00	1,014,851.56	-	1,014,851.56	101.469
204R	Intra State Receipts	60,000.00	111,425.00	-	111,425.00	185.719
205R 234R	Reimbursement from Other Agencies Transfers - Other Agencies	366,322.00	440,723.87		440,723.87	120.319
301R	Interest	27,150.00	77,876.44	-	77,876.44	286.849
401R	Fees, Licenses & Permits	5,837,126.00	5,837,188.16	-	5,837,188.16	100.009
402R	Tuition & Fees	-	187,916.98	-	187,916.98	- 99.119
501R 602R	Refunds & Reimbursements Sale of Equipment & Salvage	14,244,590.00	14,117,829.58 600.00	-	14,117,829.58 600.00	
603R	Rents & Leases		-	-		
604R	Agricultural Sales			-		
606R 704R	Other Sales & Services	-	-		464 904 26	146 4 49
704K	Other	317,400.00	464,804.36	-	464,804.36	146.449
	Total Resources Available	117,333,580.00	117,965,088.12		117,965,088.12	100.549
	Funds Expended					
101	Personal Services-Salaries	103,539,238.00	99,964,273.10		99,964,273.10	96.55
202	Personal Travel (In State)	296,535.00	233,356.91	-	233,356.91	78.69
203	State Vehicle Operation	311,728.00	294,318.93	-	294,318.93	94.429
204	Depreciation	-	-	-	-	
205	Personal Travel (Out of State)	14,450.00	17,591.04	-	17,591.04	121.74
301 302	Office Supplies	276,514.00	286,737.70 172,470.48	-	286,737.70	103.709
302	Facility Maintenance Supplies Equipment Maintenance Supplies	182,397.00	-	-	172,470.48	94.569
304	Professional & Scientific Supplies	316,580.00	287,399.18	-	287,399.18	90.789
306	Housing & Subsistence Supplies	278,925.00	316,039.87	-	316,039.87	113.319
307 308	Ag, Conservation & Horticulture Supply	-	-	-	-	57.09
309	Other Supplies Printing & Binding	181,212.00	103,458.87		103,458.87	57.09
310	Drugs & Biologicals			-		
311	Food	2,156,330.00	2,150,284.54	-	2,150,284.54	99.729
312	Uniforms & Related Items	4,900.00	3,649.33	-	3,649.33	74.489
313 401	Postage Communications	- 711,217.00	- 604,343.58		- 604,343.58	84.97
402	Rentals	790,726.00	760,422.16	-	760,422.16	96.179
403	Utilities	1,251,032.00	1,345,544.45	-	1,345,544.45	107.55
405	Professional & Scientific Services	2,559,445.00	2,763,330.95	-	2,763,330.95	107.97
406	Outside Services	402,799.00	341,449.58		341,449.58	84.77
407 408	Intra-State Transfers Advertising & Publicity	7,625.00	8,091.24	-	- 8,091.24	106.11
409	Outside Repairs/Service	624,089.00	778,548.15	-	778,548.15	124.75
412	Auditor of State Reimbursements	600.00	772.50	-	772.50	128.75
414	Reimbursement to Other Agencies	656,849.00	602,986.67	-	602,986.67	91.80
416 417	ITS Reimbursements Worker's Compensation	270,261.00 8,500.00	283,032.58		283,032.58	104.73 0.00
418	IT Outside Services	-				0.00
434	Transfers - Other Agencies Services	-	-	-	-	
501	Equipment	364,353.00	241,897.77	-	241,897.77	66.399
502	Office Equipment	- 209,600.00	11,874.72 166,090.38		11,874.72	79.24
503 510	Equipment - Non-Inventory IT Equipment	794,262.00	802,955.35	-	166,090.38 802,955.35	101.09
601	Claims	-		-		
602	Other Expense & Obligations	426,851.00	495,212.35	-	495,212.35	116.029
609	Bonds, Credit Union, Deferred Comp			-		
705 901	Refunds-Other Capitals	- 177,510.00	- 39,183.98		- 39,183.98	22.07
551		177,510.00	53,103.30		33,103.30	22.07
91B	Balance Carry Forward	519,052.00	4,889,771.76	-	4,889,771.76	942.06
	Reversion					
	Total Expenses	117,333,580.00	117,965,088.12	-	117,965,088.12	100.549

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Daily Cost......\$90.03



COMMUNITY BASED CORRECTIONS

Pretrial Interviews	.\$71.89 (per interview)
Presentence Investigations	.\$394.36 (per investigation)
Pretrial Release with Supervision	.\$2.91 (daily)
Low Risk Probation Supervision	.\$0.68 (daily)
Probation/Parole Supervision	.\$5.36 (daily)
Drug Court	. \$21.19 (daily)
Sex Offender	\$16.16 (daily)
Intensive Supervision Program	. \$7.81 (daily)
Iowa Domestic Abuse Program	. \$0.98 (daily)
Residential	\$74.66 (daily)

ELECTRONIC MONITORING Θ

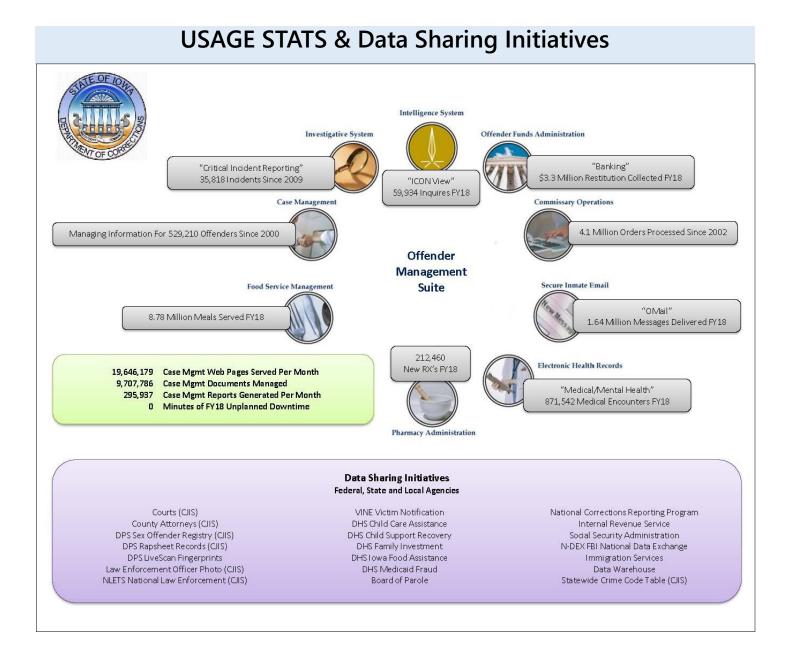
SCRAM Remote Breath	\$5.50 (daily)
Radio Frequency (RF)	\$2.25 (daily)
Global Positioning System (GPS)	\$3.15 (daily)

IOWA CORRECTIONS OFFENDER NETWORK

ICON is the Department's Offender Management System. Started in 1999, IDOC continues to enhance and add to the comprehensive suite of modules. As the system grows, so does the number of staff served in the use of the system and data provided to outside state agencies.

Future Initiatives:

Dashboard Enhancements Photo Messaging Electronic Signature Integration Revised Assessments Board of Parole Enhancements



IOWA CORRECTIONS OFFENDER NETWORK

Deployment Dates

- 1999 Offender Funds Administration (Banking)
- 1999 Commissary Operations
- 2000 Case Management Community
- 2002 Electronic Health Records (Medical/Mental Health)
- 2003 Pharmacy Administration
- 2004 Case Management Prisons
- 2007 Food Service Management
- 2008 Investigative System (Critical Incident Reporting)
- 2009 Intelligence System (ICON View)
- 2010 Secure Inmate Email (OMail)
- 2012 Case Management PDA Integration
- 2013 Board of Parole Integration
- 2017 Dashboard

Active Offenders by Supervision

- 28,407 Probation
- 8,845 Prison
- 5,207 Parole
- 2,823 Pretrial Release With Supervision
- 1,316 Special Sentence
- 1,038 Interstate Compact Probation
- 812 Work Release
- 296 Interstate Compact Parole
- 198 Federal
- 160 OWI Continuum
- 30 Prison Safekeeper
- 26 Prison Compact
- 22 Other

Outside Federal, State and Local Agencies with System Access

- Governor's Office
- Public Safety
- Human Services (Child Support Recovery Unit)
- Attorney General
- Board of Parole
- Local Sheriff and Police Departments
- Immigration and Customs Enforcement
- Federal Probation/Parole Offices
- Citizen's Aide/Ombudsman
- Criminal and Juvenile Justice Planning
- Inspection and Appeals
- Insurance Fraud Bureau
- Vocational Rehabilitation Services
- Workforce Development



Mission:

Creating Opportunities for Safer Communities.

Iowa Department of Corrections Central Office 510 East 12th Street Des Moines, IA 50319 (515) 725-5701

First Judicial District	Fourth Judicial District	Seventh Judicial District
314 East 6th Street	801 South 10th Street	605 Main Street
Waterloo, Iowa 50704-4030	Council Bluffs, IA 51501	Davenport, Iowa 52803-5244
(319) 236-9626	(712) 325-4943	(563) 322-7986
Second Judicial District	Fifth Judicial District	Eighth Judicial District
111 N. Sherman	1000 Washington Avenue	1805 West Jefferson
Ames, IA 50010	Des Moines, Iowa 50314	Fairfield, Iowa 52556
(515) 232-1810	(515)242-6600	(641) 472-4242
Third Judicial District 515 Water Street Sioux City, Iowa 51103 (712) 252-0590	Sixth Judicial District 951 29th Avenue SW Cedar Rapids, Iowa 52404 (319) 398-3675	

Anamosa State Penitentiary	Iowa Correctional Institution for Women	Mount Pleasant Correctional Facility
406 North High Street	420 Mill Street SW	1200 East Washington Street
Anamosa, Iowa 52205	Mitchellville, Iowa 50169	Mount Pleasant, Iowa 52641
(319) 462-3504	(515) 725-5042	(319) 385-9511
Clarinda Correctional Facility	Iowa Medical and Classification Center	Newton Correctional Facility
2000 North 16th Street	2700 Coral Ridge Avenue	307 South 60th Avenue, W
Clarinda, Iowa 51632	Coralville, Iowa 52241	Newton, Iowa 50208
(712) 542-5634	(319) 626-2391	(641) 792-7552
Fort Dodge Correctional Facility	Iowa State Penitentiary	North Central Correctional Facility
1550 L Street	2111 330th Avenue	313 Lanedale
Fort Dodge, Iowa 50501	Fort Madison, Iowa 52627	Rockwell City, Iowa 50579-7464
(515) 574-4700	(319) 372-5432	(712) 297-7521

Annual Report prepared by: Joan Ringgenberg 515-725-2154