

Iowa Vocational Rehabilitation Services

Performance Report

Performance Results for State Fiscal Year 2009

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Introduction

This report will present the accomplishments of Iowa Vocational Rehabilitation Services, a division of the Iowa Department of Education, for the state fiscal year ending June 30, 2009. Accomplishments include those related to core functions identified in the IVRS SFY 2009 performance plan as well as progress toward new goals in the revised IVRS Strategic Plan (developed early in FY 08). Information in this report is provided in accordance with the Accountable Government Act to improve decision-making and increase accountability to Iowa stakeholders and citizens.

Key services of the agency are essentially separated into **two major program areas**: **1.** Services that assist Iowans with disabilities to become employed in the competitive labor market or to live independently in their homes through provision of various supports. **2.** Services involving the evaluation of Iowa citizens' disabilities to determine eligibility for economic support via Social Security disability benefits.

IVRS has managed a waiting list of potentially eligible clients seeking vocational rehabilitation services since May, 2002 and for several years thereafter has had to balance the need for VR services against inadequacy of funding to meet all needs identified. Agency funding was reasonably stable during FY 09 which permitted significant progress on the waiting list. IVRS was not able to match all available federal funding for VR services but was able to meet or exceed all but one of the federal standards and indicators that are crucial to continued federal funding. The Disability Determination Services bureau has met the requirements set out by the Social Security Administration for accuracy and timeliness associated with determinations on disability claims.

This report will provide a brief update on progress toward the IVRS strategic plan goals as well as information on performance of the three identified core functions of the agency:

- Vocational Rehabilitation Services and Independent Living
- Economic Supports
- Agency Resource Management.

Information for the two major program areas named above will be presented using the “**Key Results Template**”. These continue to be the most crucial indicators of this agency's success in providing services to its customers.

Agency Overview

Iowa Vocational Rehabilitation Services (IVRS) exists to serve individuals with disabilities under Title II and Title XVI of the federal Social Security Act and Title IV of the Workforce Investment Act. IVRS serves people with disabilities by: 1) providing vocationally related assistance to achieve economic independence; or 2) providing disability determinations that result in appropriate financial benefits per Social Security Administration guidelines. Other services and financial assistance are provided to enable persons with disabilities to maintain independent functioning as long as possible within their communities and to prevent institutionalization. IVRS is an integral part of the statewide disability community.

The mission, vision and guiding principles of IVRS were reviewed and modified by a cross-division team in the fall of 2007. This was part of a successful effort to develop a new IVRS

strategic plan with five key strategic objectives. The current statements of mission and vision are included below. The new Strategic Objectives are identified in the “Results” section of this report.

MISSION

We provide expert, individualized services to lowans with disabilities to achieve their independence through successful employment and economic support.

CORE FUNCTIONS

Assist eligible lowans with disabilities in obtaining, maintaining and advancing in employment through rehabilitation services individually designed to disability and employment needs. Provide specialized services to the business community to meet their workforce and workplace needs.

Determine eligibility of lowans who apply for disability benefits administered by the federal Social Security Administration

VISION

To be a respected leader that delivers innovative services to better the lives of lowans with disabilities.

Background:

IVRS is the largest division of the Department of Education and functions with considerable autonomy. The division employs over 400 people in Des Moines and 43 locations throughout the state. Employees work within three Bureaus and a Planning and Development Team. For vocational rehabilitation services, IVRS received \$25.19 million in federal funds and another \$5.6 million in non-federal funds; for disability determination services, IVRS received federal funds totaling approximately \$21 million from the Social Security Administration (no state funds).

The majority of staff is professionally trained rehabilitation counselors and disability examiners. Almost 90% of the counselors have master’s degrees in counseling or a closely related field. IVRS is mandated by its federal funding agency, the Rehabilitation Services Administration, to have qualified rehabilitation counselors – i.e., possession of an appropriate graduate degree. All disability examiners have at least a bachelor’s degree or its equivalent. In addition, DDSB has on its payroll 35 professional consultants who are licensed as physicians, clinical psychologists, or speech pathologist. Most IVRS employees are covered under collective bargaining agreements negotiated with Iowa United Professionals and the American Federation of State, County and Municipal Employees.

All employees of the Planning and Development Team, Administrative Services and Disability Determination Services bureaus work in the Des Moines facility. With the exception of a few administrative personnel and the Polk County area office, most of the Rehabilitation Services Bureau employees are geographically disbursed outside of Des Moines to cover all 99 counties

and every high school in the state. They are housed in every community college, regent's institution, and in most mental health institutes in the state. In addition, staff co-locates or has itinerant offices in some Iowa Workforce Development Centers.

The Rehabilitation Services Bureau (RSB) has the primary responsibility for the statewide program of quality vocational rehabilitation services to all eligible disabled Iowans through direct and purchased services from a network of providers. The Disability Determination Services Bureau (DDSB) is responsible for determining the eligibility of Iowa residents who apply for Social Security Disability Insurance (Title II), and Supplemental Security Income (Title XVI) or the Department of Human Services Medicaid waiver programs. DDSB makes the initial determination of eligibility and any subsequent determination of continuing eligibility and handles first-level appeals of unfavorable decisions. The Administrative Services Bureau (ASB) provides fiscal, personnel, information services and administrative support to the other Bureaus. The Planning and Development Team (PDT) is responsible for planning, budgeting, staff development, program evaluation and outreach.

IVRS customers are individuals with disabilities who need vocational or other assistance to help meet their goals for vocational or personal independence or who need financial benefits due to their disabilities. Vocational rehabilitation and disability determination programs are eligibility rather than entitlement programs. Applicants must meet federally determined criteria. Customers of both RSB and DDSB may apply on multiple occasions during their lifetime. Customers of the vocational rehabilitation program, be they Iowans with disabilities or the business community, expect and receive professional and accurate career planning information and involvement to achieve workforce planning, placement or personal independence. DDSB claimants require accurate and timely decisions on their claims.

Competitive success is determined at the federal level by performance standards and indicators. In DDS that translates to timeliness and accuracy of case processing; on the vocational rehabilitation side, success relates to employment outcomes and equal access to services.

Strategic Challenges

In October of 2006 the Rehabilitation Services Bureau management team redesigned the service delivery system and implemented workforce planning strategies to increase efficiency and outcomes. The waiting list reduced significantly as a result of these measures, evidenced by the fact that no individual was on the waiting list for more than 30 days. While the management team was successful in creating a more efficient bureau during these times of economic difficulties it does create a major challenge in today's climate.

IVRS is federally and state funded and, so, the multiplying effect of losing one state dollar due to budget cuts cripples the Rehabilitation Services Bureau since the efficiencies had already been found and realized during FFY 08. There are offices around the state where counselors are handling caseloads in excess of 200, which will require more purchase of services, thereby reducing efficiencies and impacting budget expenditures. Ultimately, more individuals will have to be placed on the waiting list because of the strain this will place on the budget and the lack of staff capacity to serve the number of individuals requesting services.

An area of efficiency that could be tapped by IVRS is to reduce the amount of tuition and fees IVRS pays for students who attend college. Such a reduction comes at a time when colleges are raising their tuition rates. While it is to the State of Iowa's advantage that these individuals pursue a college degree -- since the majority of clientele remain in the state after graduation, it

does pose a burden on students who already have significant needs not experienced by the non disabled population. It is too early to tell if the triangular effect of reduced tuition from IVRS, increased cost of college programs, and decrease in disability support services will impact graduation and employment rates.

IVRS received \$4.6 million in ARRA funding and used it to support temporary workers in offices where the hiring freeze seriously impacted our ability to perform the work. IVRS has historically found that purchasing, rather than delivering services directly, is taxing on the budget. IVRS expects to see these expenditures rise as there are insufficient staff to deliver the services.

IVRS reported that a new technology system was changing the nature of the work in the division and it was anticipated that when fully implemented, coupled with the organization re-design, the technology would positively impact productivity. As is customary with any new technology initiative, there have been a number of delays in realizing this vision; efforts to enhance this system will continue, however.

In January of 2009, the Rehabilitation Services Administration which supplies most of the funds for IVRS operations, conducted an intensive monitoring visit. The review was challenging -- both in preparation and ensuring the monitoring team understood the work of the agency. Although the final outcome of this visit is still pending, IVRS did not have any major compliance issues with its programming.

The Centers for Independent Living (CIL) lobbied and received a separate appropriation from the state legislature of \$250,000. The appropriation was for existing centers and there was not a provision that the dollars were earmarked for expansion of IL to cover areas not currently served. As such the CILs receive limited federal funding, provided through IVRS, and the state funding along with other donations. IVRS does serve the entire state for independent living providing the necessary accommodations and assistive technology that enables lowans with significant disabilities to live independently in their home community.

While there are no direct competitors for Disability Determination Services, there is competition for funding with other Social Security Administration entities and other states.

New Developments

The IVRS Business Network: During fiscal year '09 IVRS continued to infuse business outreach activities into local and state plans resulting in strengthened business relationships statewide.

These relationships enhance the counselors' understanding of business expectations and are directly connected to the planning process with consumers. It has been found that employer involvement upfront supports informed choice in building career plans and results in consumer access to a broader range of employment opportunities.

Iowa Vocational Rehabilitation is committed to serving business and industry as a valued customer and in FY'09 demonstrated this commitment through the following activities:

- The IVRS strategic plan highlights the importance of understanding the changing workforce needs of Iowa business and industry and dedicates resources for developing IVRS' capacity to respond to those needs.

- Local office placement plans have resulted in the development of business relationships with over 800 employers. Information on these businesses is entered into a user-friendly database that allows counselors easy access.
- IVRS is serving on the Governor's Diversity Committee to increase employment opportunities for persons with disabilities within state government.
- Development of internships, customized and on-the-job training opportunities has resulted in successful placements in various industries across the state.
- IVRS is coordinating the activities of the Employer Disability Resource Network (EDRN), www.EDRNetwork.org. With the support of the EDRN partners private and public rehabilitation professionals are collaboratively mobilizing resources, supports and services that add value to Iowa businesses hiring persons with disabilities.
- IVRS continues to be an active participant in the national and regional network (The NET) of 80 public VR agencies across the country. The NET membership allows for participation in online trainings, sharing of multi-state job leads and keeping up-to-date on federal employment opportunities.

Results

Strategic Plan:

The IVRS strategic plan was revised in September, 2007 and includes five major objectives listed below.

Strategic Objective 1: Develop and foster an engaging work environment that promotes innovation and continual improvement to achieve organizational priorities.

Strategic Objective 2: Develop a work force that identifies and responds to changing work force and work place needs.

Strategic Objective 3: Develop and deliver customer service that addresses customer needs and requirements.

Strategic Objective 4: Increase efficiency and customer value through performance accountability and continuous improvement.

Strategic Objective 5: Build external relationships that contribute to improved services that better the lives of lowans with disabilities.

Progress toward Strategic Plan objectives:

The IVRS administrator chairs a group of strategic objective leaders which continues to meet regularly to report progress on each objective. Each objective team leader has a designated group of IVRS employees who are involved in implementing actions intended to accomplish that objective. Bureau chiefs are regularly involved in meetings with Administrator regarding the

strategic plan and are accountable for supporting staff and activities within each one's bureau that contribute to accomplishment of the objectives.

Several steps have been taken to bring about each of the above objectives. One action of note is a "Performance Management" initiative that IVRS has undertaken to improve its efforts to align individual and agency performance goals and to enhance the use of the State's performance evaluation system. Impetus for this initiative arose from a survey of managers and employees which brought out concerns in this area. (The third in a series of three such surveys was undertaken in FY 09.) Other initiatives focused on enhancement of internal service delivery in the provision of IT Help Desk support by adding two field staff, and in increasing the efficiency and effectiveness of the IVRS screening/hiring process for new employees.

Performance Plan Results

See enclosed templates for **Key Results** and **Agency Performance Plan Results**.

Resource Reallocations

“Ownership” of the Jessie Parker Building remains with the Department of Administrative Services (DAS). IVRS concluded its second memorandum of agreement with DAS to provide specified building maintenance services using IVRS building operations staff who have been on the IVRS payroll for years. At the same time, IVRS pays the prescribed association fee to DAS (as do other inhabitants of the Parker Building) for the space occupied. IVRS building staff (three FTEs) did transfer to DAS/General Services Enterprise effective June 30, 2009 so IVRS will no longer have direct (or indirect) responsibility for maintenance functions for the Parker Building.

Other resource reallocations in IVRS relate primarily to human resource management as management continues to analyze the most effective staffing in relation to rehabilitation service delivery. The agency continues to operate at a level exceeding the standard for span of control – approximately 1:14 ratio of supervisor to employees (vs. 1:12 expectation). Instead of automatically filling vacant Rehabilitation Counselor jobs in the Rehabilitation Services Bureau, new staffing patterns have been applied which provide alternatives to the traditional type of staffing without diminishing the professional level of service required for IVRS clients.

KEY RESULTS 1 of 2

CORE FUNCTION

Name: Vocational Rehabilitation Services and Independent Living

Description: Iowa Vocational Rehabilitation Services (IVRS) provides a wide variety of services for persons with disabilities that lead to the attainment of their employment, independence and economic goals. Business and industry is also a customer of IVRS to whom various services are provided to help them meet their workplace and workforce needs. The desired outcome is fulltime or part time competitive employment in the integrated labor market. Services include: assessment, diagnosis and treatment of physical and mental impairments, training, personal assistance services, placement, rehabilitation technology services, maintenance, transportation, small business enterprise assistance, and post-employment services.

Why we are doing this: IVRS provides services to help persons with disabilities find and maintain employment, or to help them live independently (not in a group home or other care facility). This greatly enhances their quality of life and adds to the Iowa economy through reduced dependence on public support and through the payment of taxes.

What we're doing to achieve results: IVRS staff includes master's degreed rehabilitation counselors who are strategically located throughout the state. IVRS partners with nearly 100 organizations to provide comprehensive rehabilitation services. The Iowa Rehabilitation Services System (IRSS) has been developed and implemented to enhance internal operating efficiency. IVRS has met or exceeded both the quantity and quality performance measures, going back several years when there was insufficient non-federal funding. IVRS has a federal mandate to serve the most significantly disabled individuals first when resources are limited. The focus upon vocational placement and the action planning done in each area office contributed to the number of individuals placed into competitive employment. Through the expertise of the counseling staff, collaboration with clients and other services and resources, IVRS has been increasing the number of clients placed in competitive employment. Every employed client becomes a tax payer and a consumer thereby increasing Iowa's economy and tax base while also saving the State's support dollars.

Data Sources: Case Service Records.

Resources Used: Combination of 78.7% federal funds with 21.3% non-federal funds required to generate the federal funding. State appropriation for FY 09 was \$5.6 million. Total of 250.6 FTEs involved (out of budgeted 281.5).

Results

Performance Measure:

Number of Employment Outcomes

During FFY 09 IVRS used 4% of the ARRA funds received, which resulted in a 5% increase in employment outcomes from the prior year. The challenge will be during FFY 10 when the remainder of the funding must be used but with the hiring freeze does not allow IVRS to hire staff to deliver the service.

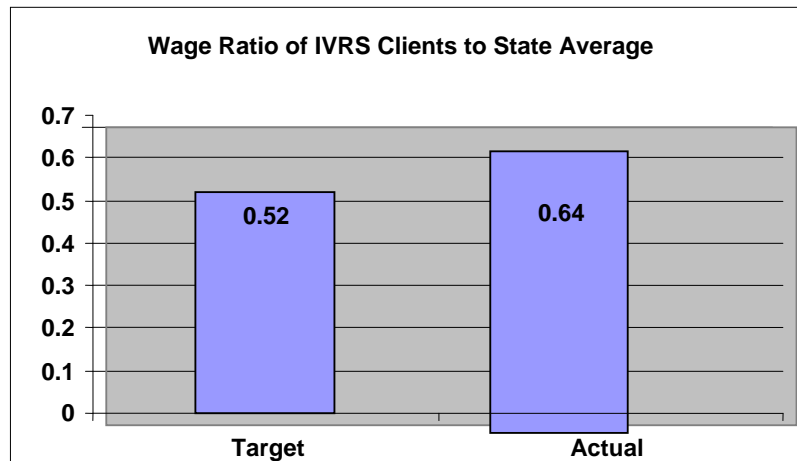
Performance Target:
2090

What was achieved: IVRS exceeded target by placing 2264 clients into competitive employment.

Performance Measure:

Wage ratio of IVRS clients to state average

Performance Target:
0.52



What was achieved: IVRS exceeded target by placing clients in positions paying an hourly rate of 64% of the average state hourly wage rate.

During FY 09 the average hourly earnings for a person with a disability placed into competitive employment by IVRS was \$11.37.

KEY RESULTS 2

CORE FUNCTION

Name: Economic Supports

Description: The IVRS provides disability determination services to claimants for Social Security Disability Insurance and Supplemental Security Income in Iowa through a relationship with the Social Security Administration (SSA) – per federal regulations.

Why we are doing this: To enhance economic independence for disabled Iowans through cash benefits and healthier Iowans through access to Medicare and Medicaid.

What we're doing to achieve results: The IVRS has successfully implemented a paperless process to determine claimant eligibility for social security benefits and the new system is operating effectively.

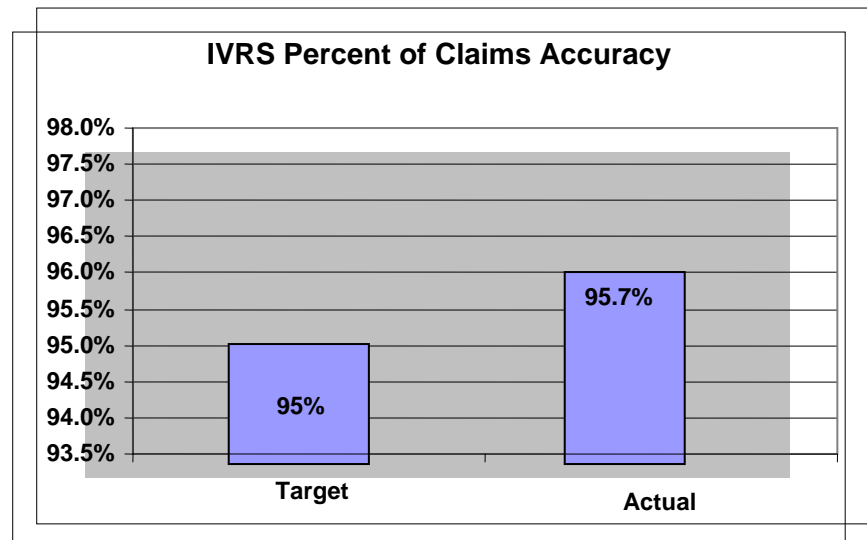
Data Sources: Social Security Administration Office of Quality Assurance and Performance Assessment

Resources Used: 100% federal funding of approximately \$21 million from the Social Security Administration. Total of 132 FTEs involved.

Results

94. *Performance Measure:*
Percent of claims accurately determined per SSA standards

Performance Target:
95%



What was achieved: IVRS virtually exceeded the goal of 95% with 95.7% accuracy rate.

AGENCY CONTACTS

- Stephen A. Wooderson, IVRS Administrator
- Keith Hyland, Chief, Administrative Services Bureau
- Matt Coulter, Chief Financial Officer
- Jeff Haight, Data Specialist

AGENCY PERFORMANCE PLAN

FY 2009

(Numbers in red indicate actual result)

Name of Agency: Department of Education, Iowa Vocational Rehabilitation Services			
Agency Mission: To work for and with individuals with disabilities to achieve their employment, independence and economic goals.			
Core Function	Performance Measure (Outcome)	Performance Target(s)	Link to Strategic Plan Goal(s)
CF: Vocational Rehabilitation Services and Independent Living	Wage ratio of IVRS clients to state average	0.52 .64	Goal 1 To maximize every client's opportunity to reach their economic, independence and employment goals.
Desired Outcome(s):			
Full-time, or if appropriate, part-time competitive employment in the integrated labor market.			Goal 2 Increase advocacy and support for rights of individuals with disabilities.
Services, Products, Activities	Performance Measures	Performance Target(s)	Strategies/Recommended Actions
1. Employment (Vocational Rehabilitation) Org# 0001-283-1000 0001-283-2000 0034-283-0704 0366-283-0708 0395-283-0703 0398-283-0093	A. Percent employed (federal reporting)	A. 55.8% 61.82	1. High quality client services and outcomes 2. Comprehensive system of job placement 3. Effective collaboration 4. Manage waiting lists for services
	B. Access to services ratio of minority to non-minority clients (federal reporting)	B. 0.80 .79	
	C. Number of employment outcomes (federal reporting)	C. 2090 2264	
	D. Number of Entrepreneurs with Disabilities that achieve start-up or expansion of a business	D. 45 46	
2. Independent Living (Vocational Rehabilitation) Org# 0001-283-0714	A. Percentage of persons meeting their goals	A. 55% 31%	1. High quality client services and outcomes 2. Effective collaboration 3. Enhanced external communication
	B. Number of persons able to continue to live independently in their homes	B. 100 54	

Name of Agency: Department of Education, Iowa Vocational Rehabilitation Services			
Agency Mission: To work for and with individuals with disabilities to achieve their employment, independence and economic goals.			
Core Function	Performance Measure (Outcome)	Performance Target(s)	Link to Strategic Plan Goal(s)
CF: Economic Supports	Percent of claims accurately determined per SSA standards (initial net accuracy)	95% 95.7 %	Goal 1 To maximize every client's opportunity to reach their economic, independence and employment goals.
Desired Outcome(s):			
Economic independence for disabled Iowans through cash benefits, and healthier Iowans through access to Medicare and Medicaid.			
Services, Products, Activities	Performance Measures	Performance Target(s)	Strategies/Recommended Actions
3. Disability Determination: Initial review of claims and continuing disability reviews (CDR) (Economic Supports Org# 0231-283-0716 0394-283-0702 0394-283-0712 0394-283-0722 0394-283-0723	A. Initial claim processing time B. Percent of budgeted CDRs completed	A. 85 days 77.3 B. 100% 113%	1. Develop quality management plan 2. Enhance training where needs are identified

Name of Agency: Department of Education, Iowa Vocational Rehabilitation Services

Agency Mission: To work for and with individuals with disabilities to achieve their employment, independence and economic goals.

Core Function	Performance Measure (Outcome)	Performance Target(s)	Link to Strategic Plan Goal(s)
CF: Agency Resource Management	<ol style="list-style-type: none"> 1. Percent of internal customer satisfaction with key support services 2. Percent of time IT network services are available to staff 	<ol style="list-style-type: none"> 1. 85% 85% 2. 95% 98% 	<p>Goal 3 Increase capacity to serve all VR clients. Goal 4 Increase interest and satisfaction in VR careers.</p>

Desired Outcome(s):

Resources are sufficient to provide services per IVRS mission and federal guidelines for Vocational Rehabilitation and Disability Determination.

Services, Products, Activities	Performance Measures	Performance Target(s)	Strategies/Recommended Actions
<p>4.Infrastructure (Resource Management) Org# 0001-283-3000 0001-283-4000</p>	<ol style="list-style-type: none"> A. Percent of required non-federal match dollars generated B. Ratio of employees to supervisors C. Inspection results on safety and health of Parker Building facilities 	<ol style="list-style-type: none"> A. 100% 96.6% B. 12:1 14:1 C. No major deficiencies (No major deficiencies) 	<ol style="list-style-type: none"> 1. Maintain and improve sustainability model 2. Use IRSS to forecast resource needs 3. Maintain IVRS responsibility for maintenance operations extending throughout Parker Building – including other agency - occupied space.